

Coexistence of Giant Tunneling Electroresistance and Magnetoresistance in an All-Oxide Composite Magnetic Tunnel Junction

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We propose, by performing advanced *ab initio* electron transport calculations, an all-oxide composite magnetic tunnel junction, within which both large tunneling magnetoresistance (TMR) and tunneling electroresistance (TER) effects can coexist. The TMR originates from the symmetry-driven spin filtering provided by an insulating BaTiO₃ barrier to the electrons injected from the SrRuO₃ electrodes. Following recent theoretical suggestions, the TER effect is achieved by intercalating a thin insulating layer, here SrTiO₃, at one of the SrRuO₃/BaTiO₃ interfaces. As the complex band structure of SrTiO₃ has the same symmetry as that of BaTiO₃, the inclusion of such an intercalated layer does not negatively alter the TMR and in fact increases it. Crucially, the magnitude of the TER also scales with the thickness of the SrTiO₃ layer. The SrTiO₃ thickness becomes then a single control parameter for both the TMR and the TER effect. This protocol offers a practical way to the fabrication of four-state memory cells.

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Epitaxial magnetic tunnel junctions (MTJs), displaying giant tunneling magnetoresistance (TMR) at room temperature [1,2], represent the enabling technology for ultra-high density magnetic data storage. In MTJs the insulating barrier plays a dual role; it magnetically decouples the two ferromagnetic (FM) electrodes so that their magnetizations M can be arranged either parallel or antiparallel to each other, but it can also act as a spin filter, if epitaxially grown. This is due to the wave function symmetry selective decay of tunneling electrons across a crystalline insulator. As the two spin manifolds of the Fermi surface of a FM metal present different symmetries, such symmetry selectivity translates into spin selectivity. This is the case for Fe/MgO(001), where the barrier is more transparent to the tunneling of electrons with Δ_1 symmetry. These are present in Fe only for the majority spin [3,4], so that the Fe/MgO(001) stack effectively behaves as a half-metal.

In conventional MTJs, however, the insulating barrier is a passive element; i.e., its electronic structure cannot be changed by external stimuli. A different situation is encountered when using a ferroelectric (FE) material. A FE is intrinsically insulating and at the same time possesses a macroscopic order, the electrical polarization P . When FE materials are incorporated into a tunnel junction, one expects the junction resistance to become dependent on the direction of P with respect to the layer stack, an effect known as tunneling electroresistance (TER) [5]. It then becomes natural to think about devices combining materials with both FM and FE orderings [6]. Here, one can exploit the possibility of manipulating the two independent order parameters, P and M , by means of their conjugate fields, namely, the electric and magnetic fields. The fabrication of FE random access memories with nondestructive reading [7] demonstrates the potential of such an approach.

Although it is possible, at least in principle, to obtain a large TMR in MTJs with FE barriers [8,9], it is sensibly more complicated to obtain a large TER. The key ingredient for a MTJ to show TER is that it should exhibit inversion symmetry breaking. This is almost always the case in real devices as unintentional disorder breaks the symmetry. However, disorder is scarcely controllable. An ultimate solution may be the one proposed by Velev *et al.*, who investigated a junction where the entire surface termination is different at either side of the insulating barrier. This is a goal achievable during the device growth and indeed the authors demonstrated the coexistence of TMR and TER. However, even in this case the TER appears relatively modest.

A second strategy uses two magnetic electrodes made of different metals and thus different abilities to screen surface charges [5]. In typical metals with high carrier mobility, however, the screening length is short and the surface charge is strongly localized at the interface. The resulting potential profile thus remains approximately mirror symmetric upon polarization reversal and the expected TER is small. Even for Fe/BaTiO₃/La_{0.67}Sr_{0.33}MnO₃ junctions, where La_{0.67}Sr_{0.33}MnO₃ is quite a poor metal, a TER of only 37% has been observed [10].

A recent theoretical work utilizes the concept of having different screening lengths at the two sides of the FE layer to great effect [11]. Zhuravlev and co-workers use a free-electron model for a generic tunnel junction to show that the TER can be engineered by including a second insulator (INS) in the stack. Furthermore, they demonstrate that in the FM/INS/FE/FM junction the thickness of the second insulator governs the magnitude of the effect. An interesting example of such structure is when the INS is vacuum, as in a scanning tunnel microscopy experiment,

for which an extremely large TER has already been measured [12,13].

In this Letter, we take these early results as a starting point and propose, by first principles electronic transport calculations, an all-oxide device where TMR and TER can both coexist and be tuned. In particular, we show that intercalating a few monolayers of SrTiO₃ into a SrRuO₃/BaTiO₃/SrRuO₃ junction creates an additional efficient potential barrier that is switchable with the ferroelectric polarization. Furthermore, as SrTiO₃ is electronically very similar to BaTiO₃ and thereby provides comparable spin filtering for SrRuO₃ [8,9], the junction also displays a remarkably large TMR. Importantly, both the TMR and the TER effects increase exponentially with the SrTiO₃ thickness, rendering it the single control parameter for both effects.

The electronic structure of the junction is calculated by using density functional theory (DFT) as numerically implemented in the SIESTA code [14]. Structural relaxation is performed with the Perdew-Burke-Ernzerhof generalized gradient approximation (GGA) [15] to the exchange and correlation functional, with a $6 \times 6 \times 1$ k -point Monkhorst-Pack mesh and a grid spacing equivalent to a plane-wave cutoff of 800 eV. In contrast, for the electronic properties and the transport we use the atomic self-interaction correction (ASIC) scheme [16] built over the local spin density approximation. ASIC has been previously found to improve the electronic properties of bulk BaTiO₃ [17] and SrRuO₃ [18] and it is vital in transport calculations where one has to ensure a good band alignment between dissimilar materials [19]. Unfortunately, even in its variational form Ref. [20] the ASIC scheme does not describe the FE phase accurately enough, so that a compromise is required; the GGA is used for the relaxation and the ASIC for the transport calculations. Electron transport is computed with the *ab initio* code SMEAGOL [21–23], which combines DFT with the nonequilibrium Green's functions scheme. SMEAGOL uses SIESTA as its DFT platform so that the same convergence parameters are employed for the transport, except for the k -point sampling where we consider a much larger $100 \times 100 \times 1$ mesh.

The supercell considered here comprises 6 BaTiO₃ unit cells (~ 2.5 nm) and 3 unit cells of SrRuO₃ attached at each side to function as electrodes. Furthermore, we intercalate a thin SrTiO₃ layer between BaTiO₃ and SrRuO₃ at one side of the junction so that the final stack is (SrO-RuO₂)₃/(SrO-TiO₂) _{m} /(BaO-TiO₂)₆/(SrO-RuO₂)₃, where $m = 0, 1, 2$. The in-plane lattice parameter is set to that of bulk SrTiO₃ (3.95 Å) to mimic the effect of a SrTiO₃ substrate. This applies compressive strain to both SrRuO₃ and BaTiO₃ and in doing so increases the polarization of BaTiO₃. The Berry phase method gives a GGA polarization of $43.8 \mu\text{C}/\text{cm}^2$ for the bulk ($c/a = 1.05$) and $48.1 \mu\text{C}/\text{cm}^2$ for the strained structure ($c/a = 1.08$). Note that the GGA

systematically overestimates the polarization of FE oxides, but such a detail does not affect our conclusions.

We consider two alternative geometries for the junction, characterized by the BaTiO₃ polarization pointing in opposite directions. Both geometries are relaxed to a tolerance of $40 \text{ meV}/\text{\AA}$ (less than $4 \text{ meV}/\text{\AA}$ for the $m = 0$ case). When BaTiO₃ is included in the capacitor structure the displacements at the center of the supercell correspond to a polarization of $35.5 \mu\text{C}/\text{cm}^2$, i.e., sensibly reduced from its bulk value. Note that here BaTiO₃ remains ferroelectric even if its thickness is close to the critical thickness for ferroelectricity [24]. This is because of the in-plane compressive strain imposed by the SrTiO₃ substrate.

An indication of the polarization structure is obtained from Fig. 1, where we show the atomic displacements δ along the MTJ stack. Here $\delta = (z_{\text{cation}} - z_{\text{O}})$, where z_{cation} and z_{O} denote, respectively, the cation and the O position in a particular plane. As such, $\delta > 0$ defines a structure with the polarization pointing parallel to the substrate normal and away from the intercalated SrTiO₃ layer (P_{\leftarrow}), while $\delta < 0$ define a structure with the polarization pointing in the opposite direction (P_{\rightarrow}). Clearly, as far as the displacement is concerned there are no significant differences between the intercalated SrTiO₃ and BaTiO₃, which means that SrTiO₃, an incipient FE, takes on the FE distortion of BaTiO₃. This is valid only for thin SrTiO₃ films ($m = 1, 2$) while we expect that thicker layers will lose the FE state. The relaxed structures calculated here are thus similar to those previously obtained with the local density approximation [25].

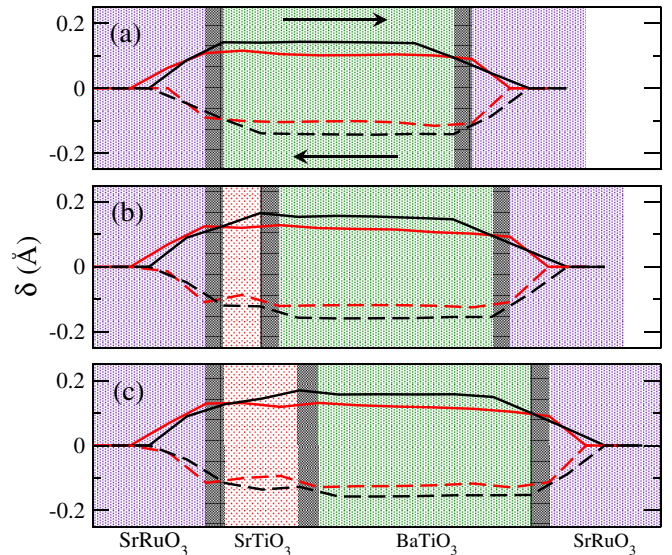


FIG. 1 (color online). Relative cation-oxygen displacements along the z axis (the junction stack direction) for the fully relaxed structure: (a) $m = 0$, (b) $m = 1$, and (c) $m = 2$. The black [gray (red)] line corresponds to displacements in the BO₂ (AO) planes of ABO₃. The solid (dashed) lines indicate P_{\leftarrow} (P_{\rightarrow}).

The electronic structures of SrTiO₃ and BaTiO₃ are also rather similar to each other as shown in Fig. 2 and previous works [8,9,26], where one can observe that the real band structures of the two materials almost coincide. Furthermore, and more importantly here, the symmetry of the complex part of the band structure is identical in both materials, with a Δ_1 symmetry band dominating the lower energy part of the band gap and a Δ_5 one defining the region near the conduction band. We then expect that intercalating a SrTiO₃ layer will give a MTJ with the same spin-filtering properties of the SrRuO₃/BaTiO₃/SrRuO₃ stack [9]. Note that this analysis based on the electronic structure at the Gamma point is only illustrative and that all our results are obtained by performing an extensive integration over the entire Brillouin zone. Such an analysis is justified for junctions using SrRuO₃ electrodes and either SrTiO₃ or BaTiO₃ barriers, but it is not true in general [26]. A detailed discussion of the k dependence of the transmission coefficient is provided in the Supplemental Material [27]. Here, we use the “optimistic” TMR ratio, $R_{\text{TMR}} = \frac{G_{\uparrow} - G_{\downarrow}}{G_{\uparrow}}$, where G_{\uparrow} (G_{\downarrow}) is the total conductance for the parallel (antiparallel) orientation of the magnetization. The TMR is found to increase with SrTiO₃ thickness due to the increasing length of the spin-filtering barrier. In particular, for $m = 2$ at zero bias R_{TMR} exceeds 10% (the actual value depending on the polarization direction), meaning that at these thicknesses the barrier acts as an almost perfect spin filter.

We now discuss the TER effect in the junction by first looking at the electrostatic potential profile. In order to sustain the internal electric field associated with a FE material, the electrostatic potential profile must display a finite slope. Concurrently, assuming that the two electrodes are at equilibrium, i.e., they have the same Fermi energy, the average potential in the electrodes should be identical. As a consequence, it is necessary that surface charge forms at the interface between the FE layer and the metallic electrodes. This creates a depolarizing field so that the potential across the interface can be matched and also sets the critical thickness for the onset of the FE state in a thin film [24].

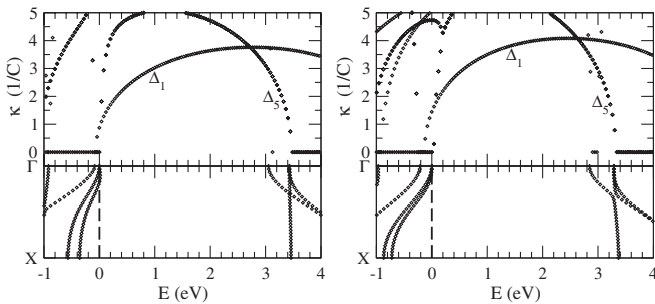


FIG. 2. Complex and real band structure of bulk SrTiO₃ (left panel) and bulk BaTiO₃ (right panel), calculated for the FE structure constrained to the in-plane lattice parameter of SrTiO₃.

In Fig. 3 we present both the charge density and the electrostatic potential profile across the $m = 2$ junction. These are obtained as the planar average of the difference between the relevant quantity calculated for the centrosymmetric and FE configurations. The atomic oscillations thereby cancel and one is left with the modifications of the charge density and the potential due to the onset of the FE phase. In general, we observe that charge density of opposite sign forms at either side of the FE layer resulting in the expected potential difference. As we move into the metallic layers at the BaTiO₃/SrRuO₃ interface an additional peak in the charge density can be seen, which acts as a depolarization charge and brings the potential back to zero. In contrast, at the SrTiO₃/BaTiO₃ interface there are not sufficient screening charges so that the depolarization charge forms instead at the metallic SrRuO₃ electrode. This leaves the potential in SrTiO₃ pinned to that at the interface with BaTiO₃. Thus, when one reverses P the potential in the SrTiO₃ layer is rigidly shifted. Note that, as a consequence of such charge distribution, the average electrostatic potential in SrTiO₃ remains flat despite the ionic displacement.

This rigid shift in the potential can be appreciated by looking at Fig. 4, where we show that the density of states (DOS) projected onto the SrTiO₃ layer is rigidly displaced by the reversal of the BaTiO₃ polarization direction. In particular, for the P_- configuration the SrTiO₃ conduction band edge is considerably closer to the junction Fermi level E_F than for the P_+ case. This means that the height of the SrTiO₃ potential barrier presented to the tunneling electrons changes according to the direction of P . In summary, the overall scattering potential appears as follows: for P_- there is a high barrier in SrTiO₃ followed by a triangular barrier in BaTiO₃, which decreases as one moves away from the TiO₂/BaO interface [see insets of Fig. 4(a)]. In contrast, for P_+ the SrTiO₃ barrier is small while the triangular BaTiO₃ barrier increases away from the interface. As a consequence, tunneling through BaTiO₃ is

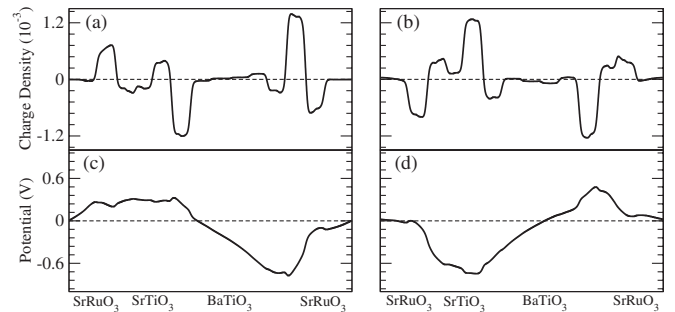


FIG. 3. Difference in charge distribution and electrostatic potential profile through the $m = 2$ junction. These are the differences between the relative quantities (charge density and electrostatic potential) as calculated for the FE and centrosymmetric structures and averaged over the plane perpendicular to the junction stack. The left (right) panel is for P_+ (P_-).

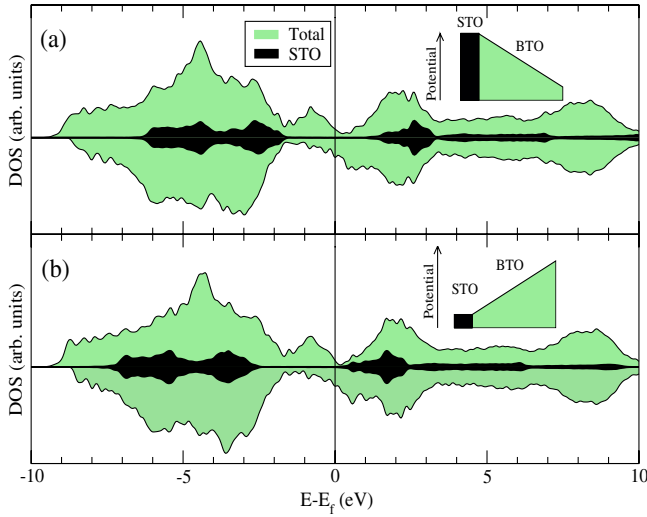


FIG. 4 (color online). Total density of states (DOS) for the $m = 2$ junction (green shaded area) and DOS projected over the SrTiO₃ layer (solid black area) for the two different polarization directions, namely, (a) P_- and (b) P_+ . Note the rigid shift in the SrTiO₃ DOS as P is reversed. In the insets we show a schematic of the SrTiO₃ (STO)/BaTiO₃ (BTO) barrier profile for both polarization directions.

essentially insensitive to the polarization direction, as the two triangular barriers are identical. However, the barrier height across SrTiO₃ changes significantly with the P direction. Such a polarization-dependent change in the SrTiO₃ barrier is the cause of the TER effect in this junction.

In Table I we summarize our transport results. In particular, we present the junction conductance at zero bias for the two different P directions (either \leftarrow or \rightarrow) and the two different magnetic arrangements of the electrodes (either parallel $\uparrow\uparrow$ or antiparallel $\uparrow\downarrow$ orientation) for $m = 0, 1$, and 2 . In the table, in addition to R_{TMR} , we also report the figure of merit for the TER effect, namely, the TER ratio $R_{\text{TER}}^{\sigma\sigma'} = \frac{G_{\alpha}^{\sigma\sigma'} - G_{\alpha}^{\sigma\sigma'}}{G_{\alpha}^{\sigma\sigma'}}$. Note that the TMR is now dependent on the polarization direction so the ratio is defined as $R_{\text{TMR}}^{\alpha} = \frac{G_{\alpha}^{\uparrow\uparrow} - G_{\alpha}^{\uparrow\downarrow}}{G_{\alpha}^{\uparrow\uparrow}}$, with $G_{\alpha}^{\sigma\sigma'}$ the junction conductance for the $\sigma\sigma'$ magnetic configuration and P pointing in the α direction.

Firstly, it can be observed that our MTJ sustains a very robust TMR regardless of the direction of the polarization vector. This is simply a consequence of the spin-filtering effect and of the fact that the electronic structure of SrTiO₃ and BaTiO₃ is rather similar. More interesting is the dependence of the TER on the SrTiO₃ barrier. Since in our junction the TER originates from a change in the SrTiO₃ barrier height, the effect is expected to be magnified by increasing the barrier width, i.e., the SrTiO₃ layer thickness. This is indeed the case, as demonstrated by the dependence of R_{TER} on m reported in Table I. In particular, we find that $R_{\text{TER}} \sim 0$ for $m = 0$, i.e., when there is no intercalated SrTiO₃. It then increases drastically for $m = 1$ and $m = 2$. This increase is, in fact, exponential in m , and it goes as $e^{-(\Delta^+ - \Delta^-)m}$, where Δ^{α} is the SrTiO₃ barrier height in the P_{α} configuration. This is an important result, as it demonstrates that the TER can be tuned to a great degree by simply controlling the SrTiO₃ layer thickness.

It is also important to note that for a given ($m \neq 0$) junction there are four very distinct conductance states depending on both the magnetization direction of the electrodes and the polarization direction of the ferroelectric layer. This means that our proposed device can operate as a four-state memory cell with four well-separated conductive states. Finally, one can quantify the dependence of the TMR on the P direction by calculating the tunneling electromagnetoresistance ratio, defined as $R_{\text{TEMR}} = \frac{R_{\text{TMR}}^{\rightarrow} - R_{\text{TMR}}^{\leftarrow}}{R_{\text{TMR}}}$. For $m = 2$ we find $R_{\text{TEMR}} = 460\%$, a value which is comparable to those reported experimentally for Fe/BaTiO₃/La_{0.67}Sr_{0.33}MnO₃ thin film structures (ranging between 140 and 450%) [10].

In conclusion, we have discussed the effects of including a wideband gap insulator in a MTJ based on a FE barrier. We have demonstrated that in such a junction the tunneling barrier profile can be tuned by reversing the direction of the macroscopic electrical polarization. This results in a tunable TER effect which may coexist with a TMR effect. In particular, the choice of SrTiO₃ and BaTiO₃, which both offer excellent spin filtering to spins injected from SrRuO₃, results in a device which also displays remarkably large TMR ratios. Importantly, both the TMR and the TER are tunable and increase with the SrTiO₃ layer thickness. As such, our proposed stack offers a robust protocol for

TABLE I. Layer conductance (in units of $\Omega^{-1} \text{cm}^{-2}$) and both TMR and TER ratios (in %) for different m . Here $G_{\alpha}^{\sigma\sigma'}$ is the layer conductance for the magnetic configuration $\sigma\sigma'$ and the electrical polarization pointing in the α direction. Note that the TER depends on the magnetic configuration of the junction and the TMR depends on the electrical configuration.

m	$\sigma\sigma'$	$G_{\alpha}^{\sigma\sigma'}$	$G_{\alpha}^{\sigma\sigma'}$	$R_{\text{TER}}^{\sigma\sigma'}$	$R_{\text{TMR}}^{\rightarrow}$	$R_{\text{TMR}}^{\leftarrow}$
0	$\uparrow\uparrow$	4.05×10^6	4.06×10^6	0.31		
1	$\uparrow\uparrow$	6.82×10^4	9.49×10^4	39.07		
2	$\uparrow\uparrow$	2.86×10^3	8.95×10^3	212.84		
2	$\uparrow\downarrow$	1.12×10^{-4}	1.83×10^{-3}	1533.93	2.5×10^9	4.75×10^8

constructing devices displaying simultaneous TER and TMR effects. These can operate as a four-state memory element for data storage applications.

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