



Chronic Airflow Obstruction Briefing  
Technical Documentation

May 2012

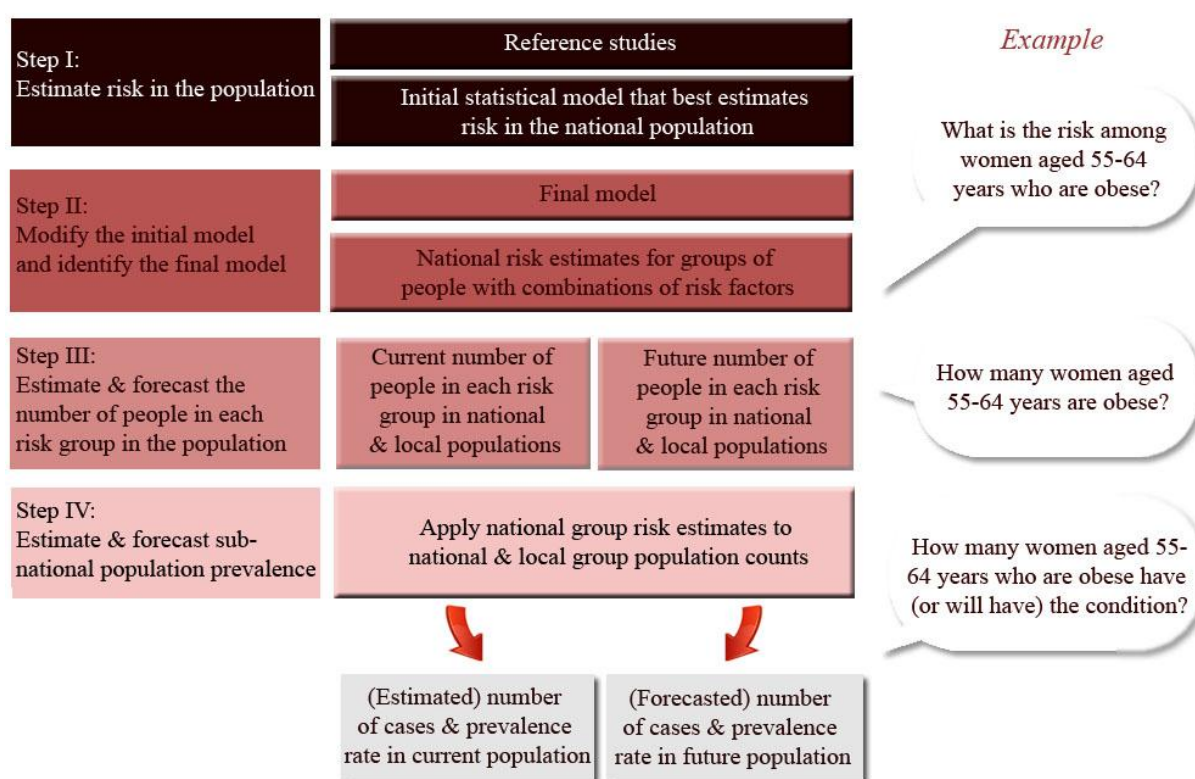
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## How the population prevalence models work

Estimating and forecasting population prevalence involved four steps that are summarised in this section. The succeeding sections provide more detailed descriptions of each step. The steps were implemented separately in the Republic of Ireland and Northern Ireland using data specific to the particular country. Sub-national areas of the Republic of Ireland were the 32 Local Health Offices (LHO) of the Health Service Executive. Sub-national areas in Northern Ireland were the 26 Local Government Districts (LGDs)

**Figure 1: How the population prevalence models work.**



### Step I: Estimating risk in the population

A reference study was used to build the best predictive model of risk in the national population. The best predictive model included a number of explanatory variables for the condition. The model:

- Divides the population into risk groups defined by the categories of the explanatory variables
- Provides an estimate of the risk (at national level) of having the condition in each of the risk groups.

## **Step II:**

### **Modify the initial model and identify the final model**

The initial model is the best predictive model of risk based on the reference study. However, it may not be the best model based on other criteria. Specifically, the initial model may be biased due to a small number of observations that are cases or small numbers of observations that comprise the risk groups; it may produce prevalence estimates that are not satisfactorily precise; or it may not be possible to satisfactorily estimate the number of people in the population in all the groups defined by the initial model. In Step II, a 'final model' – a possibly simplified version of the initial model – was identified that:

- Is as close as possible to the initial model
- Provides sub-national estimates of population prevalence that are as unbiased and precise as possible
- Allows the population size of the risk groups in each LHO/LGD to be estimated as satisfactorily as possible

## **Step III:**

### **Estimate and forecast the number of people in each risk group in the population**

Population-based data (for age and sex) and data from the reference studies (for the other explanatory variables in the final model) were used to estimate and forecast the number of people in each risk group in the population, by:

- Disaggregating the reference study's national sample by the risk groups defined by the categories of the explanatory variables in the final model
- Applying the sample's national proportions to LHO/LGD population counts. The proportions were specific to explanatory variables that were included in the final model, and available for populations at sub-national level (ie age and sex).

## **Step IV:**

### **Estimate and forecast national and sub-national population prevalence**

The final model's national group risk estimates (Step II) were multiplied by the corresponding group population count estimates and forecasts (Step III) to estimate and forecast the number of people with the condition.

## Step I: Estimate risk in the population

### Reference studies

National health surveys were used as reference studies to identify the best predictive model of risk for chronic airflow obstruction (CAO) in each country.

In the Republic of Ireland, the Survey of Lifestyle, Attitudes and Nutrition (SLÁN) 2007 was used to identify the models. SLÁN consists of face-to-face interviews with 10,364 adults aged 18 years or more in 10,364 private residences in the Republic of Ireland (individual response rate=62%) and physical measurements of a sub-sample of 1,207 adults aged 45+ years.

The data were weighted to be representative of the age, sex, economic status, education, occupational category, ethnicity, household size, and geographical region distribution of the Republic of Ireland population (Department of Health and Children, 2008).

In Northern Ireland, the Health and Social Wellbeing Survey (HSWB) 2005/06 was used to identify the models. The HSWB survey 2005/06 consists of face-to-face interviews with 4,245 adults aged 16 years or more in 2,905 private residences in Northern Ireland (household response rate=66%). The data were weighted to be representative of the age and sex distribution of the Northern Ireland population (Northern Ireland Statistics and Research Agency (NISRA), 2005).

The models in Northern Ireland were adjusted for correlation of responses from people within the same household using Generalized Estimating Equations (GEE) with an exchangeable correlation matrix. This adjustment assumes that responses from people within a household are equally correlated but that there is no correlation between responses from people from separate households.

### Outcomes and explanatory variables

An initial set of outcomes and explanatory variables relating to CAO was identified from the reference studies. The outcomes were presence or absence of clinically diagnosed CAO.<sup>1</sup> The explanatory variables comprised appropriate and available biological, behavioural and social determinants of health. Definitions of the outcomes, explanatory variables and their categories for the CAO models can be found in Appendix 1.

### Identifying the best predictive model

A forward selection logistic regression procedure was applied to the reference studies to identify the best predictive model of risk for clinically diagnosed CAO (the 'initial' model) at national level. The forward selection procedure builds a statistical model by identifying explanatory variables that are associated with clinically diagnosed CAO from the initial set of

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<sup>1</sup> The CAO outcomes that are available for adults aged 18+ years in SLÁN 2007 and HSWB 2005/06 are based on self-reported doctor-diagnosed CAO. By definition, these outcomes exclude undiagnosed CAO and are clinical diagnosis rates rather than population prevalence rates.

explanatory variables (Appendix 1). The procedure begins with a null model and selects the explanatory variable with the largest significant association with the outcome. Further explanatory variables are selected in order of the size of their significant association with the outcome (adjusted for the explanatory variables already selected by the procedure). This selection order means that explanatory variables with more explanatory power are selected before explanatory variables with less explanatory power. The procedure stops when no further explanatory variables are significantly associated with the outcome. The forward selection logistic regression procedures were implemented in SAS Version 9.2 with a significance level of 0.05.

Table 1 shows the reference study, the outcome that was modelled and the explanatory variables that were selected for the initial model for CAO in the Republic of Ireland and Northern Ireland. The initial model:

- Divides the population into risk groups defined by the categories of the explanatory variables
- Provides an estimate of the risk (at national level) of having the condition in each of the risk groups.

**Table 1: The reference studies, the outcomes that were modelled and the explanatory variables that were selected for the initial CAO models in the Republic of Ireland and Northern Ireland**

Country	Reference study	Chronic condition	Definition of outcome in the reference study	Explanatory variables selected for the initial model
Republic of Ireland	Survey of Life, Attitudes and Nutrition (SLÁN 2007)	Chronic airflow obstruction	Self-reported, doctor-diagnosed chronic bronchitis, chronic obstructive lung (pulmonary) disease, or emphysema in the previous 12 months (Yes / No)	Age; Smoking; Education; Sex; Body Mass Index (BMI); Physical activity
Northern Ireland	Health and Social Wellbeing Survey (HSWB) 2005/06	Chronic airflow obstruction	Self-reported, doctor-diagnosed COPD or chronic obstructive pulmonary disease eg chronic bronchitis / emphysema or both disorders, ever (Yes / No)	Physical activity; Age; Employment; Smoking; Deprivation

## Step II: Modify the initial model and identify the final model

### Initial model and final model

The initial model is the best predictive model of risk based on the reference study. However, it may not be the best model based on other criteria. Specifically, the initial model may be biased due to a small number of observations that are cases or small numbers of observations that comprise the risk groups, it may produce prevalence estimates that are not satisfactorily precise, or it may not be possible to satisfactorily estimate the number of people in the population in all the groups defined by the initial model.

In Step II, a 'final model' – a possibly simplified version of the initial model – was identified that:

- Is as close as possible to the initial model
- Provides sub-national estimates of population prevalence that are as unbiased and precise as possible
- Allows the population size of the risk groups in each LHO/LGD to be estimated as satisfactorily as possible

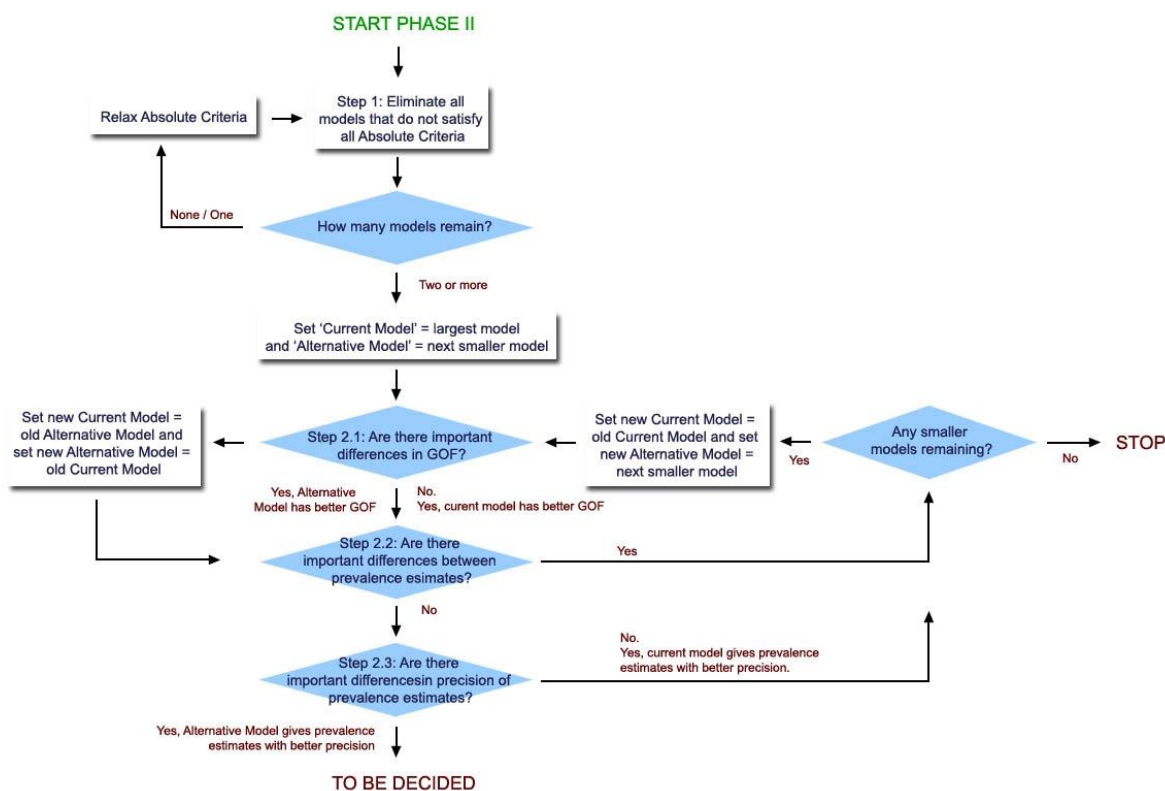
A series of 'candidate' models was generated for evaluation to identify the final model. The series of candidate models began with the initial model and nested models were generated by backward elimination of explanatory variables (ie successively removing the explanatory variable with the least explanatory power) until a null model remained. Appendix 2 shows the series of candidate models for CAO in the Republic of Ireland and Northern Ireland.

### Identifying the final model

Evaluation of the candidate models to identify the final model was a two-part process. In Part 1, candidate models were eliminated based on absolute criteria. In Part 2, the remaining candidate models were compared on comparative criteria and the final model was identified. Appendix 3 defines the metrics and thresholds for the absolute and comparative criteria.

Figure 2 shows the decision flowchart used to evaluate candidate models and identify the final model. Appendix 4 documents the decision flowchart to identify the final model in Northern Ireland and the Republic of Ireland.

**Figure 2: Flowchart for evaluating candidate models and identifying the final model.**



**Part 1:**

**Eliminate candidate models that do not satisfy all of the absolute criteria**

A candidate model was eliminated if it did not satisfy all four of the absolute criterion below.

Criterion A.1: Number of outcomes per explanatory variable in the model (Peduzzi et al, 1996)

Criterion A.2: Percentage of risk groups with a small number of observations (Bishop et al, 1975)

Criterion A.3: Relative standard error of population prevalence estimates (Centers for Disease Control and Prevention, 2010)

Criterion A.4: Utility - Inclusion of modifiable explanatory variables in the model

Appendix 3 defines the metrics and thresholds for the absolute criteria. Table 2 shows the possible results, decisions and rationales when the absolute criteria are applied. Note that Part 2’s assessment of bias and precision required at least two candidate models to go forward from Part 1.



**Table 2: Possible results, decisions and rationales for the absolute criteria**

Possible results of applying the absolute criteria	Decision for Part 1 based on accumulated results	Rationale
No candidate models or one candidate model remains	Abolish absolute criterion A.4 and check how many candidate models satisfy the other three absolute criteria.  If no or one candidate model remains then abolish absolute criterion A.3 and check how many candidate models satisfy the other two absolute criteria.	Applying all four absolute criteria means that fewer candidate models remain than the minimum of two required implementing Part 2.  Abolishing absolute criteria A.4 and A.3 in sequence means that at least two candidate models remain to implement Part 2. <sup>2</sup>
At least two candidate models remain	Set Current Model to be the largest remaining candidate model, and set Alternative Model to be the next smaller remaining candidate model. Go onto Part 2.	It is now possible to go on and use the comparative criteria to decide between the remaining candidate models.

## Part 2:

### Compare remaining candidate models on comparative criteria

The remaining candidate models were compared on comparative criteria to identify the final model. The comparative criteria relate to the models' goodness of fit, the similarity of the sub-national population prevalence estimates they produced, and the precision of the sub-national population prevalence estimates they produced. Appendix 3 defines the metrics and thresholds for the comparative criteria.

The models were compared two at a time and the comparative criteria identified the more appropriate of the two models. At the start of Part 2 the Current Model was the largest remaining candidate model from Part 1 and the Alternative Model was the next smaller remaining candidate model. Part 2 was repeated until all remaining models were considered and the final model was identified. Note that the comparative process favoured the smaller Alternative Model only when it was necessary to meet the criteria.

Compared to the "alternative model", the "current model" model:

- Has the better goodness of fit (in the terms of the LRT statistic used in the forward selection procedure used in Step 1)
- Is the less parsimonious but is closer to the best risk prediction model

### Part 2.1

#### Criterion C.1: Are there 'important' differences in the goodness of fit of the Current Model and the Alternative Model?

The larger Current Model should have better goodness fit than the Alternative Model because a larger model always has higher likelihood score that a smaller model nested

<sup>2</sup> Note that this was the situation for the models considered here. It would not necessarily be the situation for other models and other conditions.

within it. Part 2.1 compared the models' goodness of fit to ensure that the Current Model had better goodness of fit as defined in Appendix 3.

**Table 3: Possible results, decisions and rationales for the comparative criterion C.1: Are there 'important' differences in the goodness of fit of the Current Model and the Alternative Model?**

Possible results of applying the comparative criterion	Decision for Part 2.1 based on accumulated results	Rationale
No important differences	Go to Part 2.2.	No change of the Current Model is necessary.
Yes, Current Model has better goodness of fit	Go to Part 2.2.	Confirms the better goodness of fit suggested by the LRT statistic and no change of the Current Model is necessary.
Yes, Alternative Model has better goodness of fit <sup>3</sup>	Swap Current Model and Alternative Model before continuing: set the new Current Model to be the existing Alternative Model, set new Alternative Model to be the Current Model. Go to Part 2.2.	We now know that the LRT statistic from the forward selection logistic regression procedure hides some important lack of fit of the Current Model, and the Alternative Model now has better goodness of fit and so should be carried forward as the new Current Model.

**Part 2.2 Criterion C.2: Are there 'important' differences between the sets of sub-national population prevalence estimates produced by the Current Model and the Alternative Model?**

Part 2.2 compared the Current Model's and the Alternative Model's sets of sub-national prevalence estimates. 'Important' differences for Part 2.2 are defined in Appendix 3.

**Table 4: Possible results, decisions and rationales for the comparative criterion C.2: Are there 'important' differences between the sets of sub-national population prevalence estimates produced by the Current Model and the Alternative Model?**

Possible results of applying the comparative criterion	Decision for Part 2.2 based on accumulated results	Rationale
Important differences	Retain the Current Model and consider the next smaller candidate model: set the new Current Model to be the existing Current Model, set new Alternative Model to be the next	The two models produce population prevalence estimates that exhibit important differences. In this case we favour the model with better goodness of fit: no change of the

<sup>3</sup> This situation did not arise in any of model comparisons for the conditions considered here.

	smaller candidate model and go back to Part 2.1 with these new settings. If no smaller candidate model exists, then STOP.	Current Model is necessary.
No important differences	Go to Part 2.3.	No change of the Current Model is necessary.

**Part 2.3 Criterion C.3: Are there ‘important’ differences in the precision of the sub-national population prevalence estimates produced by the Current Model and the Alternative Model?**

Part 2.3 compared the precision of the Current Model’s and the Alternative Model’s sets of sub-national prevalence estimates. ‘Important’ differences for Part 2.3 are defined in Appendix 3.

**Table 5: Possible results, decisions and rationales for the comparative criterion C.3: Are there ‘important’ differences between the sets of sub-national population prevalence estimates produced by the Current Model and the Alternative Model?**

Possible results of applying the comparative criterion	Decision for Part 2.3 based on accumulated results	Rationale
No important differences	Retain the Current Model and consider the next smaller candidate model: set the new Current Model to be the existing Current Model, set new Alternative Model to be the next smaller candidate model and go back to Part 2.1 with these new settings. If no smaller candidate model exists, then STOP.	The two models produce similar population prevalence estimates (Part 2.2) with no important differences in their precision (Part 2.3). In this case we favour the model with better goodness of fit: no change of the Current Model is necessary.
Yes, Current Model has better precision	Retain the Current Model and consider the next smaller candidate model: set the new Current Model to be the existing Current Model, set new Alternative Model to be the next smaller candidate model and go back to Part 2.1 with these new settings. If no smaller candidate model exists, then STOP.	The two models produce similar population prevalence estimates (Part 2.2). The Current model has better goodness of fit and provides more precise population prevalence estimates (Part 2.3). In this case, no change of the Current Model is necessary.
Yes, Alternative Model has better	This situation did not arise in any of the model comparisons considered here.	The two models produce similar population prevalence estimates

precision		(Part 2.2). However, the Current Model has better goodness of fit while the Alternative Model provides more precise population prevalence estimates (Part 2.3). We need to decide if we want to change the Current Model
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Once we've STOPPED, the final model is the last Current Model. Table 6 shows the outcome that was modelled and the explanatory variables in the initial and final model for CAO in the Republic of Ireland and Northern Ireland.

**Table 6: The reference studies, the outcomes that were modelled and the explanatory variables in the initial and final models in the Republic of Ireland and Northern Ireland**

Country	Reference study	Chronic condition	Definition of outcome in the reference study	Explanatory variables selected for the initial model	Explanatory variables included in the final model
Republic of Ireland	Survey of Life, Attitudes and Nutrition (SLÁN 2007)	Chronic airflow obstruction	Self-reported, doctor-diagnosed chronic bronchitis, chronic obstructive lung (pulmonary) disease, or emphysema in the previous 12 months (Yes / No)	Age; Smoking; Education; Sex; Body Mass Index (BMI); Physical activity	Age; Smoking
Northern Ireland	Health and Social Wellbeing Survey (HSWB) 2005/06	Chronic airflow obstruction	Self-reported, doctor-diagnosed COPD or chronic obstructive pulmonary disease eg chronic bronchitis / emphysema or both disorders, ever (Yes / No)	Physical activity; Age; Employment; Smoking; Deprivation	Physical activity; Age

The final model:

- Divided the population into risk groups defined by combinations of the categories of the explanatory variables in the final model
- Provided an estimate of the risk (at national level) of having the condition in each of the risk groups

It was then necessary to estimate and forecast the number of people in each of these risk groups (Step III) so that group risk estimates could be multiplied by group population count estimates and forecasts to give the estimated/forecasted number of cases (Step IV).

## **Step III: Estimate and forecast the number of people in each risk group in the population.**

We combined population-based data (for age and sex) and data from the reference studies (for the other explanatory variables) to estimate and forecast the number of people in each risk group in the population.

### **Population-based data: Republic of Ireland**

Population data were provided by the Central Statistics Office (CSO). Population estimates for 2010 and population projections for 2015 and 2020 were based on the usually resident population at Census 2006. CSO (2008) prepared different population projection scenarios based on different assumptions about trends in mortality, fertility, international migration, and internal migration. Four scenarios were prepared at sub-national level:

1. M0F1 Traditional
2. M0F1 Recent
3. M2F1 Traditional
4. M2F1 Recent

where M0: Net international migration=0

M1: Moderately positive but declining net international migration

F1: Fertility rate remains constant at 2006 level (1.9)

Traditional: Internal migration follows the patterns traditionally observed

Recent: Internal migration follows the patterns recently observed

See [CSO \(2008\)](#) for details.

IPH's original population prevalence forecasts (Balanda et al, 2010) were based on the M2F1 Traditional scenario. However, population estimates published since then (CSO, 2011) suggest that net international migration is negative so M0 (international migration=0) may now be the most appropriate international migration assumption available. The CSO did not identify a preferred population projection scenario so we produced population prevalence forecasts based on first three scenarios above: M0F1 Traditional; M0F1 Recent; M2F1 Traditional.

Sub-national population estimates and projections were not available for LHOs but were available for eight Regional Authorities. Age-sex specific changes in population from Census 2006 to 2010 (estimates), 2015 and 2020 (both projections) were calculated for each Regional Authority. These Regional Authority adjustment factors were applied to Census 2006 LHO data. For this we assumed that age-sex specific changes at Regional Authority level apply to each of the LHOs within that Regional Authority.

### **Population-based data: Northern Ireland**

Population data were provided by NISRA. Population estimates for 2010 and population projections for 2015 and 2020 were based on the usually resident population. Population estimates for 2010 were based on Census 2001. Population projections for 2015 and 2020 were based on 2008 population estimates as these were the most up-to-date population

projections available for LGDs. Populations projections for LGDs by age and sex are only produced for a principal projection scenario which incorporates what are considered to be the best assumptions, based on historical trends, about mortality, fertility and migration. The principal scenario assumes declining mortality, small positive net migration, and a fertility rate of 1.95. See [NISRA's population projections](#) for details.

## **Reference study data**

Population data were not available for some of the explanatory variables in the final models. In the Republic of Ireland and Northern Ireland, the reference study was used to estimate the distribution of these explanatory variables (ie explanatory variables apart from age and sex). To do this we:

1. Disaggregated the reference study's national sample by all the explanatory variables in the final model.
2. Calculated the reference study's national sample percentages specific to the explanatory variables in the final model for which we had population-based data (ie age and sex).
3. If age and sex were in the final model, we applied the age-sex-specific percentages from the reference study's national sample to the age-sex specific LHO/LGD population counts.
4. If age (but not sex) was in the final model, we applied the age-specific percentages from the reference study's national sample to the age specific LHO/LGD population counts.

This method assumes that:

- Each LHO/LGD has the same national age-specific and age-sex-specific distribution of explanatory variables. This was necessary because of limited availability of data on explanatory variables at sub-national level – sample sizes were not large enough to provide robust sub-national disaggregation of the reference study's sample by all the explanatory variables in the final model (see 1 above).
- The age-specific and age-sex-specific distribution of explanatory variables will not change in future years (ie the age-sex-specific prevalence of explanatory variables will remain constant at current levels).

## **Step IV: Estimate and forecast national and sub-national population prevalence**

### **Population prevalence estimates and forecasts**

The final model's national group risk estimates (Step II) were multiplied by the corresponding group population count estimates and forecasts (Step III) to estimate and forecast the number of people with the condition.

### **Confidence intervals**

The statistical models of risk are based on reference studies that use samples from the population rather than the whole population. Therefore the population prevalence estimates and forecasts provide an imprecise estimate of the true population value. To quantify the imprecision of the estimates and forecasts we calculated 95% confidence intervals.

Because the estimates and forecasts use the same reference studies to develop the risk model and to estimate the number of people in the corresponding risk groups, assumptions had to be made to allow the standard errors of the population prevalence estimates and forecasts to be calculated. In particular, we assumed that:

- The group risk estimates and the group population count estimates and forecasts in each LGD/LHO are statistically independent
- The number of people in each risk group in each LHO/LGD population is known without error.

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## **Appendix 1: Coding of the outcomes and explanatory variables**

<b>TABLE A1: CHRONIC AIRFLOW OBSTRUCTION</b>				
<b>CODING OF THE CHRONIC AIRFLOW OBSTRUCTION MODELS' OUTCOMES AND EXPLANATORY VARIABLES INCLUDED IN THE VARIABLE SELECTION PROCEDURE</b>				
<b>Outcomes and explanatory variables</b>	<b>Recoding used in models for estimating risk and estimating the number of people in each risk group in the population</b>		<b>Original coding in reference study</b>	
	<b>Republic of Ireland</b>	<b>Northern Ireland</b>	<b>Republic of Ireland</b>	<b>Northern Ireland</b>
<b>Outcomes</b>				
<b>Chronic airflow obstruction</b>	Self-reported, doctor-diagnosed chronic bronchitis, chronic obstructive lung (pulmonary) disease, or emphysema in the previous 12 months (Yes / No)	Self-reported, doctor-diagnosed COPD or chronic obstructive pulmonary disease e.g. chronic bronchitis / emphysema or both disorders, ever (Yes / No)	Self-reported, doctor-diagnosed chronic bronchitis, chronic obstructive lung (pulmonary) disease, or emphysema in the previous 12 months (Yes / No)	Self-reported, doctor-diagnosed COPD or chronic obstructive pulmonary disease e.g. chronic bronchitis / emphysema or both disorders, ever (Yes / No)
<b>Explanatory variables</b>				
<b>Sex</b>	Male Female	Male Female	Male Female	Male Female
<b>Age</b>	18-34 years 35-44 years 45-54 years 55-64 years 65-74 years 75+ years	18-54 years 55-74 years 75+ years	Single year 18+	Single year 16+

<b>TABLE A1: CHRONIC AIRFLOW OBSTRUCTION</b>				
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<b>Outcomes and explanatory variables</b>	<b>Recoding used in models for estimating risk and estimating the number of people in each risk group in the population</b>		<b>Original coding in reference study</b>	
	<b>Republic of Ireland</b>	<b>Northern Ireland</b>	<b>Republic of Ireland</b>	<b>Northern Ireland</b>
<b>Ethnicity</b>	White Non-white	White Non-white	Irish Irish Traveller Any other white background African Any other black background Chinese Any other Asian background Other	White Chinese Irish traveller Indian Black - Caribbean Mixed ethnic Other
<b>Body Mass Index (BMI)</b>	Underweight / Normal <25 Over weight 25-29.99 Obese >=30	Underweight/Normal <25 Over weight 25-29.99 Obese >=30	Physically measured and self-reported BMI value	Physically measured BMI value
<b>Physical activity</b>	Low Moderate High	Sedentary Intermediate Above recommended levels	Low Moderate High	Sedentary Intermediate Above recommended levels
<b>Cigarette smoking</b>	Former smoker Never smoked	Former smoker Never smoked	Former smoker Never smoked	Former smoker Never smoked

<b>TABLE A1: CHRONIC AIRFLOW OBSTRUCTION</b>				
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<b>Outcomes and explanatory variables</b>	<b>Recoding used in models for estimating risk and estimating the number of people in each risk group in the population</b>		<b>Original coding in reference study</b>	
	<b>Republic of Ireland</b>	<b>Northern Ireland</b>	<b>Republic of Ireland</b>	<b>Northern Ireland</b>
	Current smoker	Current smoker	Current smoker	Current smoker
<b>Alcohol consumption</b>	Never / Monthly or less / 2-4 times a month 2-3 times a week >=4 times a week	Never / Not at all in last 12 months / 1 or 2 times a year / Once every couple of months / 1 or 2 times a month 1 or 2 times a week / 3 or 4 times a week 5 or 6 times a week / Almost every day	Never Monthly or less 2-4 times a month 2-3 times a week 4 or more times a week	Never Not at all in last 12 months 1 or 2 times a year Once every couple of months 1 or 2 times a month 1 or 2 times a week 3 or 4 times a week 5 or 6 times a week Almost every day
<b>Fruit and vegetable consumption</b>	< 5 a day >= 5 a day	< 5 a day >= 5 a day	Number of portions derived from Food Frequency Questionnaire	Number of portions
<b>Highest level of education</b>	Primary level Secondary level Third level	Primary (No Qualifications/Other Qualifications) Secondary (GCSE D-G, GCSE, A-C, A GCE)	Some primary Primary Intermediate/Junior/Group Leaving Certificate	No qualifications Other qualifications GCSE D-G, GCSE, A-C,

<b>TABLE A1: CHRONIC AIRFLOW OBSTRUCTION</b>				
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<b>Outcomes and explanatory variables</b>	<b>Recoding used in models for estimating risk and estimating the number of people in each risk group in the population</b>		<b>Original coding in reference study</b>	
	<b>Republic of Ireland</b>	<b>Northern Ireland</b>	<b>Republic of Ireland</b>	<b>Northern Ireland</b>
		Third level (Higher education/Degree) Unknown (People aged 70 years or more)	Diploma/Certificate Primary degree Postgraduate/Higher degree	A GCE Higher education Degree
<b>Employment status</b>	Employed (Employee; Self-employed outside farming; Farmer)  Unemployed (Unemployed, actively looking for a job)  Economically inactive (Student; State training scheme; Long-term sickness or disability; Home duties/looking after home or family; Retired; Other)	Employed (Worked last week / Away from work last week)  Unemployed (Waiting / Looking / Not looking) & Economically inactive	Employee Self-employed outside farming Farmer Student (full time) State training scheme Unemployed, actively looking for job Long-term sickness or disability Home duties/ looking after home or family Retired Other (please specify)	Worked last week Away from work last week Waiting to take up job Looking for work Not looking sick Economically Inactive
<b>Social class</b>	SC 1-2 (Professional and managerial)  SC 3-4 (Non-manual and skilled	Professional / Managerial  Skilled non-manual and skilled manual	SC 1-2 (Professional / Managerial)  SC 3-4 (Skilled non-manual and	Professional / Managerial  Skilled non manual

<b>TABLE A1: CHRONIC AIRFLOW OBSTRUCTION</b>				
<b>CODING OF THE CHRONIC AIRFLOW OBSTRUCTION MODELS' OUTCOMES AND EXPLANATORY VARIABLES INCLUDED IN THE VARIABLE SELECTION PROCEDURE</b>				
<b>Outcomes and explanatory variables</b>	<b>Recoding used in models for estimating risk and estimating the number of people in each risk group in the population</b>		<b>Original coding in reference study</b>	
	<b>Republic of Ireland</b>	<b>Northern Ireland</b>	<b>Republic of Ireland</b>	<b>Northern Ireland</b>
	manual) SC 5-6 (Semi-skilled and unskilled) Unclassified	Partly skilled / Unskilled Unclassified	Skilled manual) SC 5-6 (Semi-skilled and unskilled) Unclassified	Skilled manual Semi-skilled Unskilled Economically inactive
<b>Area deprivation</b>	1 to 5 (Least deprived to Most deprived)	1 to 5 (Least deprived to Most deprived)	Observations assigned to one of 32 LHOs	Observations assigned to one of 890 SOAs
<b>Location of residence</b>	Open country / Village Town (1,500+) City (other than Dublin) Dublin City or County	Not available in reference study	Open country Village Town (1,500+) City (other than Dublin) Dublin City or County	Not available in reference study

## **Notes**

### **Republic of Ireland's SLÁN 2007 Body Mass Index**

Physically measured BMI was available for 2,170 respondents and self-reported BMI was available for all respondents. We used physically measured BMI where available and adjusted self-reported BMI for the other respondents. Self-reported BMI was adjusted by age- sex-specific factors that were calculated by comparing measured BMI with self-reported BMI for the 2,170 respondents who had both.

### **Northern Ireland's HSWB 2005/06 Highest level of education**

Highest level of education of people aged 70 years or more was coded as 'unknown' because this question was not asked of people aged 70 years or more.

### **Assigning observations to area-based deprivation categories**

In the Republic of Ireland, deprivation scores for Electoral Divisions (EDs) were taken from New Measures of Deprivation for the Republic of Ireland (Haase and Pratschke, 2008). Five deprivation categories were created by ordering the deprivation scores for all EDs and identifying cut-off scores that created five categories with approximately equal numbers of EDs. SLÁN 2007 observations were assigned to one of 32 LHOs as ED-level data were not available. An LHO's deprivation score was calculated as the population weighted average of the deprivation scores of the EDs within that LHO. The LHO deprivation score was then assigned to an ED based deprivation category. Therefore, there was not an equal number of LHOs within each category.

In Northern Ireland, deprivation scores for Super Output Areas (SOAs) were taken from the Northern Ireland Multiple Deprivation Measure 2005 (NISRA, 2005). Five deprivation categories were created by ordering the deprivation scores for all SOAs and identifying cut-off scores that created five categories with approximately equal numbers of SOAs. HSWB 2005/06 observations were assigned to an SOA and to an SOA based deprivation category.

## **Appendix 2:**

### **Candidate models**



APPENDIX 2: CANDIDATE MODELS FOR CHRONIC AIRFLOW OBSTRUCTION (CAO)			
Country	Condition	Candidate models and final model	Explanatory variables
Republic of Ireland	Chronic airflow obstruction	CAO_1	Age
		CAO_2 (Final model)	Age; Smoking
		CAO_3	Age; Smoking; Education
		CAO_4	Age; Smoking; Education; Sex
		CAO_5	Age; Smoking; Education; Sex; BMI
		CAO_6 (Initial model)	Age; Smoking; Education; Sex; BMI; Physical activity
Northern Ireland	Chronic airflow obstruction	CAO_1	Physical Activity
		CAO_2 (Final model)	Physical Activity; Age
		CAO_3	Physical Activity; Age; Employment
		CAO_4	Physical Activity; Age; Employment; Smoking
		CAO_5 (Initial model)	Physical Activity; Age; Employment; Smoking; Deprivation

## **Appendix 3:**

### **Definitions of the absolute and comparative criteria**

APPENDIX 3: DEFINITIONS OF THE MODELS' ABSOLUTE AND COMPARATIVE CRITERIA			
Criterion	Metric	Cut-off	Result
<b>Absolute criteria</b>			
A.1 Number of outcomes per explanatory variable in the model (Peduzzi et al, 1996)	Number of outcomes in the sample ----- Number of explanatory variables in the model	>= 10	Don't eliminate the model
		< 10	Eliminate the model
A.2 Percentage of risk groups with a small number of observations (Bishop et al, 1975)	100 * Number of risk groups with < 5 observations ----- Number of risk groups	<= 5%	Don't eliminate the model
		> 5%	Eliminate the model
A.3 Relative Standard Error (RSE) of population prevalence estimates (Centers for Disease Control and Prevention, 2010)	100 * Max RSE (over all LHOs/LGDs)	<= 30%	Don't eliminate the model
		> 30%	Eliminate the model
A.4 Utility: Inclusion of modifiable explanatory variables in the model	Number of explanatory variables other than age or sex in the model	>= 1	Don't eliminate the model
		0	Eliminate the model
<b>Comparative criteria</b>			
C.1 Goodness of fit criterion: Are there 'important' differences between the goodness of fit of the current and the Alternative Model?	<p>Indications of goodness of fit for a model:</p> <p>a) Likelihood Ratio Test significant at 5% level</p> <p>b) Area under Receiver Operating Characteristic curve (c index) is significantly larger (at 5% level)  </p> <p>c) A more acceptable residual plots (based on visual assessments)</p> <p>To each model:</p> <ul style="list-style-type: none"> <li>• Assign 1 point if favoured by a)</li> <li>• Assign 1 point if favoured by b)</li> <li>• Assign ½ point if favoured by c)</li> </ul> <p>Assign no points if an indication is inconclusive.</p> <p>Sum scores for each model. Then the decision in next column is based on size of total score.</p>	Models have equal cores	No Important differences

APPENDIX 3: DEFINITIONS OF THE MODELS' ABSOLUTE AND COMPARATIVE CRITERIA			
Criterion	Metric	Cut-off	Result
		Models have unequal scores	Important differences: model with the highest score has better goodness of fit
C.2 Similarity of prevalence estimates: Are there 'important' differences between the sets of sub-national population prevalence estimates produced by the Current Model and the Alternative Model?	Set of values (over all LHOs / LGDs) of: 100*Estimated number of cases (Alternative Model) ----- Estimated number of cases (Current Model)	All the values are >= 99% and a <= 101%	No important differences <sup>4</sup>
		One or more of the values are < 99% or at least one value > 101%	Important differences
C.3: Precision of sub-national population prevalence estimates: Are there 'important' differences between the precision of the sub-national population prevalence estimates based on the Current Model and the Alternative Model	Set of values (over all LHOs/LGDs) of :	More than half of the areas have values >120%	Important differences: Current Model has better precision
	RSE (Alternative Model) ----- RSE (Current Model)  Relative standard error = Square root (variance of estimated number of cases) / Estimated number of cases	More than half of areas have values < 80%	Important differences: Alternative model has better precision
		All other circumstances	No important differences <sup>5</sup>

<sup>4</sup> So there is no important differences if no estimate from the Alternative Model differs from the corresponding estimate from the Current Model by more than 1%

<sup>5</sup> If more than half of all areas have an RSE (Alternative Model) and an RSE (Current Model) that differ by at most 20%, there are 'no important differences'. The converse is not necessarily true as long as neither of the critical ranges < 80%, > 120% dominates

## **Appendix 4:**

### **Decision flowcharts for identifying the final models**

