

1 **Management of dental unit waterline biofilms in the 21st century**

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23 **Summary**

24 Dental chair units (DCUs) use water to cool and irrigate DCU-supplied instruments and tooth surfaces
25 and provide rinsewater during dental treatment. A complex network of interconnected plastic dental unit
26 waterlines (DUWLs) supplies this water to these instruments. DUWLs are universally prone to microbial
27 biofilm contamination seeded predominantly from microorganisms in supply water. Consequently,
28 DUWL output water invariably becomes contaminated by high densities of microorganisms, principally
29 Gram-negative environmental bacteria including *Pseudomonas aeruginosa* and *Legionella* species, but
30 sometimes contains human-derived pathogens such as *Staphylococcus aureus*. Patients and staff are
31 exposed to microorganisms from DUWL output water and to contaminated aerosols generated by DCU
32 instruments. A wide variety of approaches, many unsuccessful, have been proposed to control DUWL
33 biofilm. More recently, advances in biofilm science, chemical DUWL biofilm treatment agents, DCU
34 design, supply water treatment and development of automated DUWL biofilm control systems have
35 provided effective long-term solutions to DUWL biofilm control.

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51 **Introduction**

52
53 Microbial biofilms have a critical role in healthcare-associated infections, in particular,
54 infections linked to medical devices and equipment. Medical devices implanted in the body
55 either permanently or for extended periods of time, such as intravascular catheters, urinary
56 catheters and orthopedic appliances are the most significant in this respect [1]. However, many
57 other medical devices have been identified as significant causes of infection and cross-
58 contamination, especially in healthcare facilities [2-4]. Medical devices or components that are
59 wet or moist are particularly prone to biofilm growth and are frequently linked with cases of
60 infection.

61 In dentistry, the dental chair unit (DCU) is the most essential item of equipment
62 necessary for the practice of dentistry [5] and is classified as a medical device according to the
63 European Union Medical Devices Directive [6]. Over the last 40 years, the function of the DCU
64 has developed from simply providing physical support to advanced designs and configurations
65 which are comprised of several complex, integrated equipment systems, which provide all of the
66 services (e.g. water, air supply, electric power and suction) and dental instruments required for a
67 wide range of dental treatment procedures [5]. Dental instruments connected to DCUs (e.g.
68 ultrasonic scalers, air scalers, high-speed turbine dental handpieces, and conventional dental
69 handpieces) are cooled by DCU-supplied water, which also supplies three-way air/water syringes
70 to irrigate and cool tooth surfaces during dental treatment. Heat generated by instruments can be
71 harmful to teeth [7]. In addition, water is also supplied to the DCU cup filler outlet that is used
72 by patients for oral rinsing and to the bowl-rinse outlet which rinses the DCU spittoon. Each
73 DCU is equipped with an elaborate loom of interconnected narrow-bore (i.e. mostly 2-3 mm
74 internal diameter) flexible plastic tubing called dental unit waterlines (DUWLs) that supply
75 water to all of the DCU-supplied instruments, cup-filler and bowl-rinse water outlets [3,5]. In a
76 typical DCU, the DUWL network can consist of many metres of tubing. Due to the texture and

77 composition of the plastic tubing, microbial biofilms form readily, resulting in DCU output
78 water that is frequently heavily contaminated with microorganisms. This problem was first
79 identified almost fifty years ago, but is still significant today. Figure 1 shows an electron
80 micrograph of dense biofilm on the internal surface of DUWL tubing from a DCU. The purpose
81 of this article is to succinctly review the problem of biofilm contamination in DUWLs, its
82 causes, the approaches that have been used to control the problem, and their strengths and
83 limitations, and to highlight recent progress in DCU design changes and advances in automated
84 biofilm control systems that can provide long-term solutions to the problem.

85 **History and causes of DCU water contamination**

86 **History**

87 The first two reports on heavily contaminated DCU output water were published in the early
88 1960s and were followed by additional reports in the 1970s and 1980s, while a veritable flood of
89 reports followed in the 1990s and the 2000s [3,5,8-31]. Even today, in the second decade of the
90 21st century, reports on DUWL biofilm contamination continue to appear in the literature
91 [32,33].

92 **Causes**

93 The causes of microbial contamination of DCU output water are multifactorial. The contribution
94 by some factors is of prime importance (e.g. narrow bore waterlines and water stagnation), while
95 other factors contribute to a lesser extent (e.g. antiretraction valve failure and presence of water
96 heaters).

97 *Narrow-bore waterlines*

98 Microbial contamination of DUWLs originates, for the most part, from DCU supply water,
99 which usually contains relatively low numbers of microorganisms [25,29]. The flow of water in
100 narrow-bore DUWLs is laminar. The velocity of flow varies from virtually zero at the lumen
101 walls of DUWLs to a maximum along the centerline of the waterline lumen. A thin immobile

102 layer of fluid, called the hydrodynamic boundary layer, exists at the interface of the lumen wall
103 and the moving water within the DUWL [34]. Following connection to a water supply, a
104 conditioning pellicle or film of inorganic and organic chemicals from the water is gradually
105 deposited on the lumen surface of DUWLs [35,36]. Microorganisms in DCU supply water,
106 especially bacteria, on entering the hydrodynamic layer, adhere to the conditioning pellicle
107 through weak, reversible van der Waals forces and afterwards attach themselves more
108 permanently by other cell attachment and adhesion mechanisms. Adherent, early colonisers in
109 the DCU supply water provide more diverse adhesion sites for other microorganisms, called
110 secondary colonisers, which also commence growth themselves, giving rise to microcolonies.
111 Almost immediately, attached cells and microcolonies begin to secrete complex polymers into
112 the surrounding environment. This phenomenon is characteristic and essential for biofilm
113 formation [34]. A wide variety of bacteria, especially environmental bacterial species, are able to
114 secrete exopolysaccharides during biofilm formation, which contributes to cell protection against
115 adverse environmental conditions, aids attachment to surfaces and nutrient acquisition. These
116 exopolysaccharides are insoluble although highly hydrated and help to shield the
117 microorganisms from being dislodged. Over time, this gives rise to a biofilm consisting of
118 polysaccharide matrix harbouring individual cells and microcolonies [34]. Biofilms are highly
119 structured microbial communities that exhibit complex intracellular communication via
120 biochemical signalling, where cell phenotypes and function can vary significantly [34,37,38].
121 Biofilms resist penetration by a wide range of chemical agents including detergents, disinfectants
122 such as chlorine, and antibiotics and other antimicrobial agents [34]. Biofilms that develop under
123 laminar flow conditions, such as in DUWLs, have been found to be patchy and consist of rough
124 round cell aggregates interspersed heterogeneously by interstitial voids or channels through
125 which water can flow [34,39]. These channels provide a route for circulating nutrients, dissolved
126 oxygen and metabolic products and also provide a communications highway for the microbial
127 community. The external surface layer of microorganisms in biofilm grows rapidly and some of

128 these differentiate into robust planktonic (free-living) cells designed to travel and initiate new
129 biofilms. During DCU operation, the shear force generated within DUWLs detaches pieces of
130 biofilm along with planktonic forms of microorganisms. These can be deposited directly in the
131 mouths of patients, can seed biofilm growth at other sites within the waterline network, or can be
132 aerosolized and subsequently inhaled into the respiratory tracts of patients and dental staff when
133 dynamic dental instruments such as ultrasonic scalers are used [3,5,22,25,40-43]. Consequently,
134 DUWL biofilm functions as a reservoir for continuous contamination of DUWL output water.
135 Microbial contamination of DUWL output water is a universal phenomenon in standard DCUs
136 and all untreated DUWLs in DCUs will harbour resident biofilms and yield contaminated output
137 water. Biofilms can form within the DUWLs of new DCUs within several hours of connection to
138 a mains supply [44,45].

139 *Water stagnation*

140 Water stagnation in DUWLs, when DCUs are not in use, further encourages the growth of
141 biofilm. Most DCUs are probably not used for more than 12 hours per day, five days per week
142 and thus water stagnation is a significant contributory factor to DUWL output water
143 contamination.

144 *Heating of DCU output water*

145 Individual DCU models may come equipped with a water heating unit which provides DUWL
146 output water at a temperature that is comfortable for the patient [5]. Heating DUWL output water
147 to >20°C may selectively encourage the growth of particular bacterial species. Examples include
148 *Legionella pneumophila* (the most common cause of Legionnaire's disease and Pontiac Fever)
149 which readily proliferates at temperatures between 25 and 37°C and *Comamonas acidovorans*,
150 an opportunistic pathogen of immunocompromised patients [46,47]. *Legionella* bacteria have
151 often been reported in DUWL output water. DCUs should not be equipped with water heaters

152 unless effective DUWL biofilm control systems or protocols are also present [5]. Recent studies
153 in the authors' laboratory indicate that the temperature of DUWL water in DCUs can rise
154 significantly following several hours continuous DCU use, probably due to heat transfer from
155 both the dental clinic environment and from internal DCU components (M. Boyle and D.
156 Coleman, unpublished observations).

157 *Antiretraction valve failure*

158 Dental instruments that are attached to DCUs and connected to DUWLs (e.g. ultrasonic scalers,
159 turbine and conventional handpieces and three-in-one air/water syringes) should be equipped
160 with integrated antiretraction devices (usually valves) that prevent backflow of fluids from the
161 oral cavity into DUWLs during instrument use [5]. However, a number of studies have shown
162 that oral fluids can be retracted into DUWLs during dental instrument use [48-50]. Furthermore,
163 the detection of blood, oral bacteria and other microorganisms of human origin in DUWL output
164 water have provided indirect evidence for antiretraction valve failure [3,29,31]. A study in Italy
165 of 54 DCUs, consisting of a wide range of models by several different manufacturers
166 documented malfunction of antiretaraction devices in 74% of cases [48]. Therefore, retraction or
167 back-siphonage of oral fluids into DUWLs during dental instrument use can expand the range of
168 microorganisms present both in DUWL biofilm and output water. This increases the possibility
169 of transmission of more pathogenic human-derived microorganisms such as *Staphylococcus*
170 *aureus* to staff and patients. *Staphylococcus aureus* is carried in the nasal cavity of a significant
171 proportion of humans and is readily trafficked from the nasal cavity to the oral cavity. One
172 recent study reported the isolation of *S. aureus* from saliva in 46% of patients sampled and from
173 34% of plaque samples tested [51]. *Staphylococcus aureus* is a major human pathogen with the
174 potential to express a considerable arsenal of chromosomal, plasmid and bacteriophage-encoded
175 virulence and immune evasion factors and antimicrobial agent resistance determinants [52-55].
176 Another recent study reported the detection of hepatitis C virus (HCV) RNA in DUWLs from

177 DCUs where the antiretraction valves had been deactivated and from DCUs without
178 antiretraction valves following treatment of known HCV-infected patients [56]. The Centers for
179 Disease Control and Prevention (CDC) guidelines for infection control in dentistry advocate that
180 dental handpieces should be flushed for 20-30 seconds to elute water and air after completion of
181 individual patient treatments in order to minimise the potential impact of the retraction of oral
182 fluids into DUWLs [57]. Dental instruments equipped with antiretraction devices should be
183 subject to routine efficacy testing and preventive maintenance to minimise instances of
184 antiretraction valve failure [5].

185 *Contamination of reservoir bottles*

186 Some DCUs use independent water reservoir bottles to provide water to the DUWLs. These
187 bottles are manually filled with water (mains water, distilled water or sterile water) but can easily
188 become contaminated with skin bacteria such as *Staphylococcus epidermidis* and *S. aureus*, the
189 latter a common human pathogen, thus introducing additional human microorganisms into
190 DUWLs [58]. To avoid this problem, DCU reservoir bottles should be handled with care to
191 minimise contamination with skin squames and should be cleaned and disinfected regularly.
192 Preferably, reservoir bottles should be regularly sterilised in an autoclave after thorough cleaning
193 before refilling and re-use [59].

194 **Microorganisms found in DUWL output water**

195 *Environmental microorganisms*

196 Gram-negative aerobic heterotrophic environmental species of low pathogenicity comprise the
197 majority of microbial species found in DUWL output water [25,27,29,60-63]. The types and
198 range of environmental bacterial species present may vary from one geographic area to the next.
199 Some of these bacterial species may be of concern in the treatment of immunocompromised
200 patients. The environmental bacteria are of concern as they predominantly initiate biofilm

201 formation and often are responsible for the excreted protective polymeric matrix which affords
202 protection to more pathogenic species. They may also produce enzymes (e.g. catalase) or other
203 substances that reduce the efficacy of disinfectants and over time, these populations may become
204 selectively enriched [62].

205 Fungi, yeasts, protozoa and amoebae can also be present in DUWL output water,
206 although contamination by these microorganisms is less prevalent and the organisms are present
207 at lower densities than bacteria [14,64-67]. However, protozoa and amoebae can host legionellae
208 and may predispose DUWL output water to contamination with *Legionella* bacteria [3]. Known
209 human bacterial pathogens recovered from DUWL output water include *Pseudomonas* species,
210 particularly *Pseudomonas aeruginosa*, *Legionella* species, particularly *L. pneumophila* and non-
211 *tuberculosis Mycobacterium* species [21,40,42,68-70].

212 *Human-derived microorganisms*

213 As outlined in the previous sections, oral and skin bacteria have been reported in contaminated
214 DUWL output water, most likely due to retraction of oral fluids into DUWLs following DCU
215 instrument use in the oral cavity and from contamination of reservoir bottles or bulk storage
216 containers with skin squames when bottles are being handled or filled.

217 **Evidence for disease associated with contaminated DUWLs**

218 *Microorganisms*

219 In 1987, a study by Martin described an association between *P. aeruginosa* isolates recovered
220 from oral abscesses in two cancer patients and their recent exposure to contaminated DUWL
221 water during dental treatment from separate DCUs in the same dental clinic [20]. For each
222 patient, pairs of *P. aeruginosa* isolates, one isolate recovered from the patient's abscess and one
223 recovered from DUWL output water from the DCU used to treat the patient, had the same

224 pyocin type. Different pyocin types were recovered from each of the two patients with abscesses.
225 These findings have been cited repeatedly as providing convincing evidence for disease
226 transmission from contaminated DUWL output water. However, *P. aeruginosa* has only a
227 limited number of pyocin types and thus discriminatory power is somewhat restricted. In this
228 regard, the study of Martin (1987) does not provide definitive proof that the patient isolates
229 belonged to the same strain as the isolates recovered from DUWLs, although it is possible.

230 *Legionella* spp. (*L. pneumophila* and approximately 40 other spp.) are frequently present in
231 man-made water distribution systems and can cause Legionnaire's disease (pneumonia resulting
232 from inhalation) or Pontiac fever (a flu-like illness without pneumonia). Legionellae are
233 intracellular parasites of a range of amoebae and protozoa that live in soil and water, often in
234 conjunction with biofilms. Many reports have identified *Legionella* bacteria in DUWL output
235 water [42,63,65,70-72]. Interestingly, Barbeau and Buhler (2001) found that the density of free
236 living amoeba was up to 300 times higher in DUWL output water samples compared to tap water
237 within the same clinical environment [73]. However, to date there is no unequivocal published
238 data documenting acquisition of Legionnaire's disease following exposure to contaminated
239 DUWL output water. One study concluded that the death of a dentist from Legionnaire's disease
240 was likely caused by occupational exposure to *Legionella* bacteria-contaminated aerosols [42].
241 High levels of *Legionella* bacteria (>10,000 organisms per ml) were detected in the DUWL
242 output water in the dental surgery, whereas low levels (<100 organisms per ml) were detected
243 from the dentist's domestic water supply. However, it was not possible to definitively prove that
244 the cause of death was due to *Legionella* bacteria contracted from contaminated DUWL output
245 water. A number of studies have indicated that occupational exposure of dental healthcare staff
246 to aerosols of waterborne bacteria generated by dental instruments attached to DUWLs may lead
247 to a higher prevalence of antibodies to *Legionella* [40,74]. Fotos *et al.* reported that 23% of
248 dental healthcare staff that worked in practice for more than two years were serum anti-*L.*

249 *pneumophila* IgG antibody-positive and 19% were serum anti-*L. pneumophila* IgM antibody-
250 positive. In contrast, only 8% of subjects tested who had no clinical contact were anti-*L.*
251 *pneumophila* IgG antibody-positive [40].

252 A recent case report highlighted the risks that may be associated with amoebae in DUWL
253 output water [75]. A patient with contact lenses received a stream of water from a dental
254 handpiece into the right eye during dental treatment. The patient subsequently experienced pain
255 in the eye, and consulted several ophthalmologists, who identified abrasive lesions of the cornea
256 and inflammation. Despite antibacterial and anti-inflammatory therapy, the patient's eye
257 condition worsened. A microbiological examination nearly two months later identified amoebae
258 in corneal samples and a lawsuit against the dental practitioner was initiated, which was
259 unsuccessful on the grounds of failure to definitively establish a causal relationship with the
260 dental treatment and the presence of amoebae in the patient's eye. The patient used to routinely
261 rinse her contact lenses using tap water and this may have been the source of the amoebae.
262 Nevertheless, this case highlights that high densities of amoebae in DUWL output water may
263 present a risk if a patient with pre-existing corneal lesions is splashed. This case reinforces the
264 importance of having patients wear safety glasses during dental treatments, and more
265 importantly, of ensuring good quality DUWL output water.

266 Although only a few cases of infection associated with contaminated DUWL output water
267 have been reported [20,42], it is conceivable that such infections have not been identified
268 because of the failure to associate infections with exposure to DUWL output water and
269 associated aerosols [63]. Sporadic infections not requiring hospitalisation are also less likely to
270 be investigated. Furthermore, it would be extremely difficult to trace the origin of an infection
271 contracted from contaminated DUWL output water where the clinical manifestations develop a
272 number of weeks after exposure.

273 *Endotoxins*

274 DUWL output water can be a major source of bacterial endotoxins (lipopolysaccharide (LPS))

275 released from the cell walls of Gram-negative bacteria). Levels up to 100,000 endotoxin units
276 (EU) per millilitre have been reported in DUWL output water [28,63,76]. Significant endotoxin
277 levels ($> 100 \text{ EU m}^{-3}$) have also been reported in aerosols generated from contaminated DUWL
278 output water by dental instruments [76]. The maximum level of endotoxin permissible in sterile
279 water for irrigation in the USA is 0.25 EU per ml. Inhaled endotoxin can exacerbate airflow
280 obstruction and airway inflammation in individuals with allergic asthma and asthma severity is
281 directly correlated with concentration of endotoxin [77]. In medical devices that are prone to
282 biofilm growth and endotoxin accumulation such as humidifiers, a hypersensitivity pneumonitis
283 due to endotoxin exposure is well recognized [63]. A study by Putnins *et al.* indicated that
284 endotoxin present in DUWL output water might stimulate the release of pro-inflammatory
285 cytokines in gingival tissue during oral surgery and adversely affect healing [28]. Only sterile
286 solutions should be used for irrigation during oral surgery procedures. In addition, data from a
287 single, large, practice-based cross-sectional study reported a temporal association between
288 occupational exposure to contaminated DUWL output water with aerobic bacterial counts of
289 >200 colony-forming units per millilitre (cfu/ml) at 37°C and development of asthma in a sub-
290 group of dentists in whom asthma arose following the commencement of dental training [78].

291 **Dental unit supply and output water quality**

292 *DCU supply water*

293
294 The majority of DCUs in countries within the European Union (EU) are supplied with potable
295 quality mains water [31]. The water supply in some DCUs is provided from water reservoir
296 bottles integrated in the main body of the DCU. These are filled with water from a variety of
297 sources as required, including mains water, distilled water or sterile water. However, in dental
298 hospitals and large clinics equipped with many DCUs, the water provided to DCUs frequently is
299 supplied from water storage tanks supplied with mains water [3,5]. It follows that the more
300 microorganisms present in DCU supply water, the more readily biofilm will form with DUWLs.

301 The current potable water standard for the EU and the USA stipulate the absence of

302 faecal coliforms but do not specify an upper limit for aerobic heterotrophic bacteria, the bacterial
303 species most frequently isolated from contaminated DUWL output water [79,80]. In contrast,
304 potable water sold in bottles or containers in the EU should not exceed 100 cfu/ml of aerobic
305 heterotrophic bacteria. DCU supply water from storage tanks filled from a potable supply tends
306 to have higher densities of bacteria than potable quality water, most likely due to biofilm
307 formation on the inner surfaces of the tanks and/or due to the presence of sediment [81].
308 Furthermore, the condition of the mains water distribution pipe work and water storage tanks,
309 together with the presence of sediment, sludge or corrosion deposits throughout the water
310 distribution system can also contribute significantly to a reduction in the quality of water
311 supplied to DCUs. The quality of water supplied to DCUs from reservoir bottles is influenced by
312 several factors, including the quality of the water itself and the presence of biofilms on the
313 internal surfaces of reservoir bottles. Furthermore, if reservoir bottles are supplied with distilled
314 water, the microbiological quality will be influenced by the condition and cleanliness of the
315 distilled water storage containers, on how long and under what conditions the water is stored
316 prior to use and on the condition and cleanliness of the distillation unit. Furthermore, distilled
317 water is often purchased from third party suppliers and is often stored in plastic containers,
318 frequently for extended periods. In other cases, water from a distillation unit is stored in plastic
319 containers that are reused repeatedly. The growth of biofilm on the internal surfaces of these
320 containers can cause a rapid deterioration in the microbiological quality of the water used to fill
321 reservoir bottles. Finally, contamination of water stored in containers, (including distilled and
322 sterile water) with skin bacteria can add to the burden of bacteria and reduce the quality of water
323 supplied to DCUs.

324 Temperature and the presence of suspended material, particulate matter, organic material
325 and suspended and dissolved inorganic compounds in DCU supply water can directly affect the
326 development and proliferation of biofilms within DUWLs [3,5]. Aerobic heterotrophic bacteria
327 can convert organic material in supply water into biomass locally, thus contributing to the

328 growth of biofilm [82]. The level of inorganic nutrients present in supply water can also
329 influence biofilm growth within DUWLs. The chemical and microbial content of mains water
330 supplied to DCUs will vary according to geographic area and the extent of water treatment by
331 municipal authorities. Hard water areas can also be a source of additional problems for DCUs
332 and DUWLs. Hard water is water with a high dissolved mineral content and usually contains
333 high concentrations of Ca^{++} and Mg^{++} ions. These dissolved minerals and ions enter a water
334 supply by leaching from naturally occurring minerals such as calcite, gypsum and dolomite and
335 form insoluble deposits, composed mainly of calcium carbonate, magnesium hydroxide and
336 calcium sulphate, on the internal surfaces of water network pipes and tanks. The extent of water
337 hardness depends on the levels of dissolved magnesium and calcium minerals. If hard water (e.g.
338 200 ppm hardness minerals) is supplied to DCUs, insoluble mineral deposits precipitate within
339 DUWLs and associated valves increasing the surface area within DUWLs, thus allowing more
340 biofilm to form [3,5]. It may be necessary to implement pre-treatment of DCU supply water in
341 situations where the quality of supply water is poor or varies considerably. This is discussed in a
342 later section.

343 *DUWL output water*

344 Heavily contaminated DUWL output water, containing up to 10^8 bacteria per ml, is not
345 consistent with infection prevention and control best practice [3,5,63,78,82]. However, there are
346 no standards or legislation specifically pertaining to the microbiological quality of DUWL output
347 water and until recently DCU manufacturers have only provided limited direction in this regard,
348 despite the fact that DCUs are classified as medical devices [5,6]. The fundamental underlying
349 rationale for the lack of specific DUWL quality standards stems from the reality that the purpose
350 of this water is to cool and irrigate dental instruments and tooth surfaces rather than for human
351 consumption. Nonetheless, DUWL output water is usually swallowed in small amounts during
352 treatment and aerosols generated by dental instrument use are inhaled. Therefore, the
353 microbiological quality of DUWL output water should be such that potential cross-infection

354 risks and other health risks are minimised. This raises the question, should DUWL output water
355 be of potable quality? However, the potable water standards for the EU and USA do not specify
356 an upper limit for aerobic heterotrophic bacteria, the most frequently encountered
357 microorganisms found in DUWL output water [79,80]. In an attempt to address this issue, the
358 American Dental Association (ADA) Council on Scientific Affairs set a goal for the year 2000
359 that water used for dental treatment should contain ≤ 200 cfu/ml of aerobic heterotrophic bacteria
360 [84]. Many experts in the field have endorsed this recommendation [85], but in fact it has not
361 been widely achieved [5,63]. The current CDC guidelines for infection control in dental
362 healthcare settings recommend that DUWL output water should not exceed 500 cfu/ml of
363 aerobic heterotrophic bacteria [57]. In 2004, the ADA revised their recommendation on DUWL
364 output water quality to be consistent with the CDC guideline [86].

365 **Controlling microbial contamination of DUWL output water**

366 A variety of approaches to reducing the microbial density in DUWL output water have been
367 tested over the last twenty years or more (Table 1). These include the disinfection of DUWLs
368 with chemical and other non-chemical-based approaches. Overall, chemical-based approaches
369 have been the most successful.

370 *Non-chemical approaches*

371 Flushing DUWLs with water has been used widely to reduce the density of microorganisms in
372 DUWL output water [3,87]. This approach does reduce the levels of microorganisms in DUWL
373 output water to some extent, but it is not effective as a means of ensuring good quality DUWL
374 output water because it has no impact on biofilms present in DUWLs. Another approach to
375 improve the quality of DUWL output water involves the use of sterile water, distilled water or
376 deionized water in DCU reservoir bottles (Table 1). This approach is ineffectual if biofilms are
377 already resident in DUWLs as the biofilms will continue to shed planktonic organisms and
378 pieces of biofilm into the DUWL output water. Draining DUWLs after use and drying them with
379 pressurised air has also been attempted as a means of improving the quality of DUWL output

380 water [88]. However, following reconnection of the DUWLs to the water supply, the number of
381 viable microorganisms in DUWL output water was not reduced significantly probably because
382 biofilm matrix, being highly hydrated, can withstand desiccation for extended periods and thus
383 protects resident microorganisms. Fitting microbial filters to DUWLs near the dental instrument
384 attachment sites or to the DCU supply have also been used to improve the quality of DUWL
385 output water [89-91]. This approach can be very effective but disadvantages include frequent
386 clogging of filters and thus the requirement to change filters often with consequent increased
387 maintenance and running costs. Furthermore, filters have no impact on biofilms resident in
388 DUWLs. A number of studies investigated the effect of DUWL composition on biofilm
389 formation and reducing microbial contamination of DUWL output water [3,5]. One such study
390 reported that some materials, such as polyvinylidene fluoride, were more effective at resisting
391 biofilm formation and in reducing the level of contamination in DUWL output water than
392 conventional DUWL tubing made of polyurethane [92]. However, despite this reduction, levels
393 of bacteria in DUWL output water remained unacceptably high.

394 *Application of chemical disinfectants*

395 Many studies have shown that the most effective way of ensuring good quality DUWL output
396 water is regular treatment/disinfection of DUWLs with a chemical disinfectant, biocide or
397 cleaning agent that efficiently removes biofilm from DUWLs [5,29-31,61,62,93]. Because
398 biofilm regrowth in DUWLs occurs shortly following disinfection/cleaning due to recolonisation
399 by microorganisms in supply water and/or from fluids retracted back into DUWLs from dental
400 instruments, DUWLs have to be treated regularly to control biofilm [3,22,29,30,61,62,94].
401 Numerous studies have demonstrated the effectiveness of a broad range of DUWL treatment
402 products that eradicate biofilm and reduce bacterial levels in DUWL output water to potable
403 water quality or better (Table 1). However, a significant number of these studies were
404 undertaken *in vitro* and relatively few investigated the efficacy of DUWL treatment products in
405 DCUs [29,30,61,62,81,95-97]. Moreover, only a small proportion of studies investigated the

406 long-term efficacy of DUWL treatment agents in DCUs in the clinical setting [61,62,81].

407 *DUWL biofilm treatment agents*

408 DUWL treatment agents can be divided into periodic or intermittent DUWL treatment (e.g. used
409 once weekly) agents and agents for continuous or residual DUWL treatment. Table 1 lists the
410 range of DUWL treatment agents that have been used to control biofilm in DUWLs. Laboratory
411 and field-testing studies have shown that their efficacy varies widely. Treatment agents that
412 remove DUWL biofilm provide the best approach for improving the quality of DUWL output
413 water [3]. Walker *et al.* (2007) appraised a range of chemical DUWL treatment agents and
414 reported that only some have been shown to successfully remove biofilm and consistently reduce
415 the microbial load of DUWL output water to <200 cfu/ml [98]. The more common DUWL
416 treatment agents are based on a range of chemical compounds including hydrogen peroxide,
417 hydrogen peroxide combined with silver ions, sodium hypochlorite, chlorine dioxide,
418 chlorhexidine, peracetic acid and citric acid. Other products such as electrochemically-activated
419 solutions have also shown good potential for microbial control of DUWL output water [81,99-
420 102]. In a large EU study in which a range of DUWL treatment agents were tested for efficacy in
421 DCUs (n = 134) in general practice in several European countries, some of the most effective
422 treatment agents evaluated contained hydrogen peroxide or a combination of hydrogen peroxide
423 and silver ions, which efficiently remove DUWL biofilm [98]. Overall, continuously applied
424 products performed better than those applied periodically.

425 *Unfavourable effects of DUWL biofilm treatment agents*

426 The use of chemical agents to control biofilm formation in DUWLs has potential for adverse
427 effects on DCU components and instruments, on patient oral tissues and on dental restorative
428 materials. This is particularly pertinent for residual treatment agents that are present in DUWL
429 output water and which enter the patient's oral cavity and may also be swallowed or inhaled
430 from aerosols generated by dental instruments. Only a few studies of the long-term effectiveness

431 of DUWL treatment agents have been reported to date and thus there is a dearth of independent
432 information on potential adverse effects. In the case of residual DUWL treatment agents, very
433 few independent studies have investigated potential interactions of residual agents and their by-
434 products on oral tissues and teeth. Furthermore, many DUWL treatment agents have not been
435 tested or endorsed by DCU manufacturers, but have been developed independently by other
436 manufacturers [5]. In this regard there is considerable potential for incompatibility of DUWL
437 treatment agents with components of the DUWL network as well as with dental instruments
438 supplied by DUWLs [5].

439 A study of DUWL disinfection using an alkaline hydrogen peroxide agent for periodic use
440 reported obstruction of DUWLs by disinfectant deposits in three out of six DCUs tested [29].
441 The problem became evident after four weeks of once-weekly treatment in the three DCUs, and
442 in one of these, after 14 weeks the DUWL supplying the air/water syringe DUWL became
443 completely blocked. The presence of disinfectant deposits in DUWLs caused the output water
444 from these DCUs to remain alkaline for extended periods, despite extensive daily flushing with
445 fresh mains water [3,29], highlighting a potential risk to patients from exposure to disinfectant
446 residue in DUWL output water. A separate study on the long-term effectiveness of the hydrogen
447 peroxide-and silver-ion-containing, intermittent-use DUWL disinfectant Planosil reported
448 adverse effects on several DCU components, including corrosion of aluminium components and
449 valve damage caused by hydrogen peroxide, after prolonged use [62]. The study reported that the
450 problems identified were resolved in collaboration with the DCU manufacturer by replacing the
451 affected DCU components with parts that were resistant to corrosion by hydrogen peroxide [62].
452 This report highlighted both the importance of examining the long-term effects of prolonged
453 usage of DUWL treatment agents on DCUs and the role of DCU manufacturers in ongoing
454 research and development.

455 Most DCUs are provided with an integrated suction system to remove surplus fluids and
456 debris from the oral cavity during dental treatment and to minimize aerosol release during the
457 use of dental handpieces and ultrasonic scalers [103]. Fluids removed from the oral cavity by
458 DCU suction hoses are eventually released into the wastewater stream after particle and dental
459 amalgam removal, and disinfection. Amalgam separators form part of the DCU suction system
460 and trap amalgam particles removed from the oral cavity by DCU suction hoses as amalgam
461 contains mercury [3]. The effectiveness of amalgam separators varies and consequently the
462 mercury concentration in DCU waste water [104]. Stone *et al.* reported that residual treatment of
463 DUWLs with low concentrations of iodine to control biofilm formation might cause the release
464 of toxic dissolved mercury into the environment from DCU waste water [105]. However, other
465 authors disputed these findings and argued that chloramine (a chemical disinfectant) used to
466 disinfect municipal water was more likely to have been responsible for the increase in mercury
467 levels [106]. Two other laboratory studies reported that a number of chemical agents used to
468 treat DCU waste water lines also cause the release of mercury from dental amalgam and
469 concluded that agents containing high levels of chlorine were the most problematic in this regard
470 [107,108]. Thus, chlorine-containing DUWL treatment agents may also mobilise mercury from
471 amalgam in DCU wastewater into the environment.

472 A number of studies reported that some DUWL treatment products containing citric acid,
473 sodium hypochlorite and chlorhexidine gluconate combined with 12% ethyl alcohol, may
474 adversely affect bonding of composite material to dentine and enamel [109,110].

475 Very few independent studies have actually demonstrated the safety of DUWL treatment
476 agents that come in direct contact with the oral cavities of patients [3,5]. This applies mainly to
477 residual agents as periodic DUWL treatment agents should be flushed from DUWLs with fresh
478 water following DUWL treatment. One recent study investigated the biosafety of Trustwater
479 Ecasol, an effective residual DUWL treatment agent consisting predominantly of metastable

480 hypochlorous acid generated by electrochemical activation of a dilute salt solution [102]. Levels
481 of Trustwater Ecasol used for DUWL treatment ranged from 1-2.5 ppm. This study used two *in*
482 *vitro* model systems to investigate potential cytotoxic effects of Ecasol. TR146 human
483 keratinocyte monolayers and reconstituted human oral epithelium (RHE tissue, a three-
484 dimensional tissue culture model comprised of TR146 cells grown on filters), were treated with
485 Ecasol (2.5-100 ppm) for 1 h periods following thorough washing with phosphate buffered saline
486 to remove culture medium. Similar experiments were undertaken with Ecasol that was pre-
487 treated with 1-2 µg/ml bovine serum albumin corresponding to the protein concentration in
488 human saliva [102]. Cytotoxic effects on TR146 monolayers were determined using the Alamar
489 Blue assay (assesses cell viability) and the Trypan Blue dye exclusion assay (assesses cell
490 membrane integrity). Cytotoxic effects on RHE tissues were investigated using histopathology
491 and the Alamar Blue assay. Ecasol concentrations >5.0 ppm were found to cause significant
492 ($P<0.001$) cytotoxicity to keratinocyte monolayers following a 1 h exposure, an effect that was
493 completely abolished by pretreatment of Ecasol with bovine albumin. No cytotoxicity was seen
494 in the more complex RHE tissue at any of the Ecasol concentrations tested [102]. These findings
495 showed that Ecasol present as a residual disinfectant in DUWL output water is very unlikely to
496 have adverse effects on human oral tissues at levels effective in maintaining good quality DUWL
497 output water.

498 *Pretreatment of DCU supply water*

499 Some consideration should be given to pretreating DCU supply water for DCUs, particularly
500 DCUs supplied with tank water such as in dental hospitals and dental clinics equipped with large
501 numbers of DCUs [5]. The quality of DUWL output water is directly influenced by the quality of
502 the supply water. The aim of pretreatment should be to utilise a simple integrated system suited
503 to the performance requirements that will provide consistent quality water for DUWLs
504 regardless of fluctuations in the supply water quality (i.e. mains supply or tank supply). Final
505 treatment or disinfection of a consistent quality supply then becomes much simpler. A wide

506 range of commercially available filters can be utilised for dealing with specific problematic
507 aspects of DCU supply water quality including sediment filters (remove suspended solid
508 contaminants), activated carbon filters (remove organic contaminants), water softening units for
509 use in hard water areas and Kinetic Degradation Fluxion (KDF) filters that remove some
510 dissolved metals [3,5,81]. Sediment filters should be fitted in-line with the incoming water
511 supply before any other water filter or unit. Sediment filters extend the working life of other
512 types of filter by removing coarse contaminants and sediment particles that otherwise could
513 reduce the efficacy of filters fitted downstream such as carbon filters and water softeners. Many
514 of the filter types mentioned above have integrated backwash facilities that can be programmed
515 to operate when the filters are not in use [3,5,81]. Backwashing removes contaminants, increases
516 filter efficiency and allows regeneration of ion exchange resins used in water softening units
517 increasing the lifespan of the resin. Despite the presence of a backwashing facility, all water
518 filter units require periodic maintenance and disinfection as biofilm can form within them after
519 extended use, particularly in water softening resin and carbon filter beds, which can add
520 significant microbial densities to water entering DUWLs downstream of filtration units.

521 *Factors contributing to inadequate DUWL disinfection*

522 While few studies have investigated the long-term effectiveness of DUWL cleaning/disinfection
523 agents, even fewer studies have investigated factors that contribute to inadequate DUWL
524 disinfection with specific treatment agents or factors that contribute to DUWL disinfection
525 failure. In 2007, a study by O'Donnell *et al.* investigated the long-term (21-months)
526 effectiveness of the hydrogen peroxide and silver ion-containing DUWL disinfectant Planosil to
527 maintain the quality of DUWL output water below the ADA recommended standard of ≤ 200
528 cfu/ml of aerobic heterotrophic bacteria using once weekly disinfection in 10 Planmeca Prostyle
529 Compact DCUs [62]. In the first 9-month part of the study a high incidence (9.3%) of
530 intermittent DUWL disinfection failure occurred. On investigation, several contributory factors
531 were identified the first of which was low compressed air pressure that resulted in inadequate

532 distribution of disinfectant throughout the DUWL network. Other factors identified included
533 operator failure to include one of the three-in-one air/water syringes in the disinfection cycle and
534 corrosion of DCU components by the DUWL disinfectant. Having identified these problems,
535 corrective measures were put in place to prevent reoccurrence of intermittent DUWL
536 disinfection failure due to these causes, including DCU component changes and ensuring strict
537 compliance with the DUWL cleaning protocol. In the second part of the study a highly
538 significantly increased prevalence of strongly catalase-positive *Novosphingobium* and
539 *Sphingomonas* bacterial species ($P < 0.0001$) occurred in 4/10 DCUs included in the study and
540 resulted in rapid deterioration in DUWL output water quality by the fourth day post-disinfection
541 [62]. Catalase is an enzyme commonly produced by bacteria where it functions to catalyze the
542 decomposition of hydrogen peroxide. The increased prevalence of these strongly catalase-
543 positive environmental bacterial species in DUWL output water following extended use of
544 Planosil, one of the active ingredients of which is hydrogen peroxide, indicated selective
545 pressure for retention of these species, which would have a survival advantage in DUWLs
546 exposed regularly to hydrogen peroxide. Similar findings were reported in a separate study on
547 DUWL treatment with Oxygenal 6, another hydrogen-peroxide and silver ion-containing DUWL
548 treatment agent, where the prevalence of *Sphingomonas paucimobilis* in DUWL output water
549 increased from 10% pre-disinfection to 80% post-disinfection [111]. The study by O'Donnell *et*
550 *al.* concluded that over the long-term, a variety of features can each be a factor in DUWL
551 disinfection failure including inadequate compliance with DUWL disinfection protocols, adverse
552 effects of disinfectants on DCU components and selection of intrinsically disinfectant-tolerant
553 bacteria [62]. The long-term problems associated with DUWL disinfection identified in the study
554 by O'Donnell *et al.* [62] probably occur with other brands and models of DCU and with other
555 DUWL treatment agents and highlight the importance of long-term compatibility testing of
556 DUWL treatment agents with DCUs, and the development of DUWL cleaning systems that are
557 automated and require minimal human input.

558 *DCUs with integrated DUWL disinfection units*

559 Over the last ten years the Finnish DCU manufacturer Planmeca Oy (Helsinki, Finland), in
560 collaboration with applied microbiologists have developed the next generation of DCUs
561 containing microprocessor-controlled, integrated and semi-automated DUWL disinfection units
562 that facilitate DUWL disinfection [5,29,61,62]. These include the Waterline Cleaning System
563 (WCS™) and the Water Management System (WMS™). The WCS is a semi-automated DUWL
564 cleaning system used in DCUs supplied with mains water in which all DUWLs are supplied with
565 disinfectant from a central reservoir when the DUWL disinfection function is activated.
566 Following overnight disinfection, DUWLs are automatically purged of disinfectant and flushed
567 extensively with fresh mains water. During the disinfection cycle, all other DCU functions are
568 inactivated until the disinfection cycle is completed [29,62]. The WMS is an integrated DUWL
569 cleaning system that requires minimal effort on the part of the user, is more advanced and
570 automated than the WCS [61]. A number of studies have demonstrated the long-term
571 effectiveness of both the WCS and the WMS using the hydrogen peroxide- and silver ion-
572 containing disinfectant Planosil to maintain the quality of DUWL output water below the ADA
573 standard of <200 cfu/ml of aerobic heterotrophic bacteria following once weekly disinfection
574 [29,61,62]. As mentioned in the previous section, independent long-term studies with the WCS
575 in Planmeca Prostyle Compact DCUs identified several factors that contributed to episodes of
576 DUWL disinfection failure, including human error, disinfectant corrosion of DCU components
577 and selection of disinfectant-tolerant bacterial species, all of these problems were solved by
578 ensuring strict operator compliance with the disinfection protocol, design changes to DCU
579 components and reformulation of the DUWL disinfectant used [62]. The development and
580 ongoing improvement of integrated DUWL cleaning systems by DCU manufacturers is a very
581 welcome development as it provides an easy-to-use and validated process for dental staff to
582 consistently maintain good quality DUWL output water in the long term.

583 *Centralised and automated control of DUWL output water quality*

584 For most DCUs controlling biofilm in DUWLs by periodic or residual chemical agent treatment
585 is usually undertaken separately for each individual DCU. In dental hospitals and other dental
586 clinics equipped with large numbers of DCUs, ensuring consistent good quality DUWL output
587 water from every DCU can be demanding, even if they have integrated DUWL cleaning systems.
588 Ensuring good quality DUWL output water from every DCU requires consistent strict adherence
589 to DUWL cleaning/disinfection protocols using effective DUWL treatment agents. Furthermore,
590 the quality of DCU supply water and contamination controls, and the cleanliness and state of
591 repair of the water distribution network (i.e. pipe work and tanks) need to be monitored
592 regularly. In busy dental hospitals and clinics, this can make significant demands on dental and
593 maintenance staff and resources but is vital.

594 In 2009, a study by O'Donnell *et al.* reported the development of a fully automated,
595 centralised water treatment system at the Dublin Dental University Hospital to automatically
596 manage the quality of DCU supply and DUWL output water hospital-wide [81]. The centralised
597 system consists of two interlinked elements, the first of which involves subjecting chlorinated
598 mains water to automatic processing by particle filtration, followed by activated carbon
599 filtration, followed by KDF filtration and finally by passage through an ion exchange water
600 softening unit. Processed water is then stored in a water storage tank providing water to the
601 hospital's 103 DCUs by means of a recirculating ring main [81]. Throughout the study, extensive
602 testing showed that the system maintained the chemical quality of DCU supply water better than
603 potable water standards [79-81]. The second element of the system consists of automated
604 treatment of the processed water with Trustwater Ecasol at 2.5 ppm/ml. Ecasol is a neutral
605 electrochemically activated solution consisting predominantly of metastable hypochlorous acid,
606 which is microbiocidal, sporocidal and capable of penetrating biofilms [3,81,102]. Ecasol is
607 generated *in situ* from supply water, a small amount of NaCl and electricity, using a Trustwater

608 ECA generator, (Trustwater, Clonmel, Ireland). The level of Ecasol in the water network is
609 maintained constant by a series of in-line probes and equipment that monitors free available
610 chlorine. The study monitored the performance of the centralised system by determining the
611 microbiological quality of processed and Ecasol-treated DCU supply water and DUWL output
612 water from 10 sentinel DCUs weekly for a 100-week period. DUWLs were tested for the
613 presence of biofilm by electron microscopy. Over the 100-week study period, the DCU supply
614 water and DUWL output water aerobic heterotrophic bacterial counts averaged <1 and 18.1
615 cfu/ml, respectively, from the 10 DCUs, compared to 88 cfu/ml for unprocessed mains water.
616 This correlated with the absence of biofilm in DUWLs. No adverse effects due to Ecasol
617 treatment of supply water were observed for DUWLs or DCU instruments during the study
618 period [81]. In a follow up study of the centralised water treatment system reported by Boyle *et*
619 *al.* undertaken over a 60-week period with 10 DCUs, tested weekly, the average density of
620 aerobic heterotrophic bacteria in Ecasol-treated (2.5 ppm) DCU supply water was < 1 cfu/ml and
621 in DUWL output water was 6.5 cfu/ml [102]. Again no adverse effects due to Ecasol treatment
622 of supply water were observed for DUWLs or DCU instruments during the study period. The
623 results of these two studies demonstrated unequivocally that the centralised and automated water
624 treatment and biofilm management system consistently maintains DUWL output water at better
625 than potable quality simultaneously in a large number of DCUs over the long-term. As described
626 in the previous section, cytotoxicity studies with cultured human keratinocytes and RHE tissue
627 revealed that Ecasol at the levels used for DUWL treatment (2.5 ppm) is very unlikely to have
628 adverse effects on human oral tissues as the presence of saliva ensures the neutralization of
629 Ecasol [102].

630 The automated system described by O'Donnell *et al.* requires minimal human
631 intervention, although consumable reagents for specific components have to be replenished
632 monthly (< 30 minutes to implement) and 6-monthly planned preventive maintenance on
633 equipment [81]. Water softening, carbon filter and KDF filter media only have to be replaced on

634 a 3-5 year cycle. The centralised system provides an environmentally friendly solution to DCU
635 water management. Overall, operation of the centralised system yielded significant savings in
636 running cost, disinfection and flushing time and equipment downtime compared to individual
637 disinfection of DUWLs in DCUs.

638 **Conclusion**

639 Microbial biofilm contamination of DUWLs and consequent poor quality DUWL output water
640 has been recognised as an important problem in dentistry for nearly fifty years and is still a
641 problem today. It is essential that dental staff strive to maintain output water quality from their
642 DCUs at a level consistent with the current ADA recommendation of ≤ 500 cfu/ml of aerobic
643 heterotrophic bacteria or better because of the increasing number of immunocompromised and
644 other vulnerable patients seeking dental treatment. However, attaining this level of output water
645 quality from DUWLs consistently has been difficult to achieve in practice for several reasons
646 including the absence of specific quality standards and because DCU manufacturers have been
647 slow to tackle the problem by redesigning DCUs and by the provision of precise guidance on
648 DUWL disinfection. In recent years there has been constructive progress in this area with the
649 development of validated, integrated and automated DUWL disinfection systems by some DCU
650 manufacturers for use with specified chemical DUWL treatment agents that are consistently
651 effective in the long term and compatible with their DCUs. Long-term studies with these systems
652 have demonstrated that the problem of DUWL biofilm can be resolved effectively in dental
653 clinics. It is clear that there is no one solution to improve DUWL output water quality for every
654 dental clinic as clinics may be equipped with one or many DCUs, often of a wide variety of ages,
655 model types and manufacturer. DCUs in clinics may also be supplied directly by mains water or
656 indirectly by mains water from water storage tanks. Alternatively DCUs may be supplied by
657 water from reservoir bottles. Supply water of consistent good quality is imperative for all DCUs.
658 Pretreatment of supply water using a variety of filters customised to suit the water supply

659 characteristics in individual settings can be used to provide DCU supply water of consistent
660 quality and make the subsequent process of DUWL disinfection simpler and more readily
661 achievable. Water supplied to DUWLs should not be heated to discourage the growth of more
662 pathogenic microorganisms such as *L. pneumophila* which grow preferentially at higher
663 temperatures. Finally the development of fully automated, centralised biofilm control systems
664 for simultaneously controlling DUWL biofilm in many DCUs that can provide DUWL output
665 water of consistently better quality than potable water in the long-term has provided a robust
666 solution to the problem of DUWL biofilm for dental hospitals and large clinics equipped with
667 many DCUs. It is to be anticipated that further developments with this state of the art biofilm
668 control technology will permit its application for individual DCUs in the near future.

669 **Executive summary**

670 **Dental unit waterlines**

- 671 ■ Dental chair units (DCUs) are equipped with several metres of interconnected narrow bore
672 dental unit waterlines (DUWLs) to provide water to cool DCU-supplied instruments and to
673 irrigate tooth surfaces during instrument use.
- 674 ■ DUWLs are universally prone to microbial biofilm contamination resulting in heavily
675 contaminated DUWL output water, especially with bacteria.

676 **Causes of biofilm contamination of DUWLs**

- 677 ■ Water flow in DUWLs is laminar and accordingly a thin layer of immobile water exists at the
678 lumen surface in which there is little disturbance to microorganisms present.
- 679 ■ Water stagnation in DUWLs when DCUs are not in use encourages the proliferation of biofilm.
- 680 ■ Failure of antiretraction valves in dental instruments can result in retraction of oral fluids into
681 DUWLs expanding the range of microorganisms in DUWL biofilm.
- 682 ■ DUWLs supplied with water from independent reservoir bottles are prone to contamination by
683 skin bacteria during filling, adding further human microorganisms to DUWL biofilm.

684 **Microorganisms found in DUWL output water**

- 685 ▪ Environmental Gram-negative, aerobic heterotrophic bacterial species are the predominant
686 microorganisms found in DUWL biofilm and output water.
- 687 ▪ Bacterial species of concern for immunocompromised patients include *Legionella* spp.,
688 *Pseudomonas aeruginosa* and non-tuberculosis *Mycobacterium* spp.
- 689 ▪ Yeasts, fungi, protozoa and amoebae may also be present but in significantly lower numbers
690 than bacteria.

691 **Evidence for disease associated with contaminated DUWLs**

- 692 ▪ Only a few cases of infection associated with contaminated DUWL output water have been
693 described.
- 694 ▪ Occupational exposure to DUWL output water can result in dental staff having elevated serum
695 antibodies to *Legionella* spp.
- 696 ▪ Occupation exposure to endotoxin from DUWL output water has been associated with the
697 onset of asthma in a subgroup of dentists.

698 **Control of DUWL biofilm**

- 699 ▪ Non-chemical approaches for controlling DUWL biofilm including flushing, the use of sterile,
700 deionized or distilled water, DUWL drying and the use of antimicrobial filters are ineffective.
- 701 ▪ A wide range of chemical disinfectants, biocides and cleaning agents used either periodically or
702 continuously have been used to treat DUWL biofilm, with varying success. Hydrogen
703 peroxide-containing products and electrochemically activated solutions are among the most
704 consistently effective.
- 705 ▪ DCUs equipped semi-automated DUWL cleaning systems facilitate and simplify DUWL
706 biofilm control when used with a treatment agent that effectively removes biofilm.
- 707 ▪ Biofilm reforms rapidly in DUWLs following disinfection with an intermittent treatment agent.

708 ▪ Residual DUWL treatment agents can be very effective at controlling biofilm, but patients are
709 exposed to such residual agents, and for many of which independent studies demonstrating
710 biosafety are lacking.

711 ▪ For dental hospitals and large dental clinics, a centralized and automated biofilm management
712 system that consistently maintains DUWL output water at better than potable quality,
713 simultaneously in many DCUs, is the best option..

714

715 **Future perspective**

716 Contamination of DUWL output water due to proliferation of microbial biofilm seeded primarily
717 from supply water is still a significant problem today. Local pre-treatment of water prior to
718 entering storage systems or complex distributions systems is worthwhile in achieving a
719 consistent quality prior to any other more exacting treatments prior to clinical use. The
720 development of reliable, compact and easily maintained pre-treatment units should be a focus of
721 further development and targeted to deal with a wide dynamic range of challenges now seen in
722 many mains water supplies.

723 Very few long-term studies on the efficacy of chemical DUWL treatment agents have in
724 fact been undertaken [5,61,62]. There is also significant potential with some agents for adverse
725 effects on DCU components and human tissues. Recently, some DCU manufacturers have
726 developed validated, integrated and semi-automated DUWL disinfection systems using proven
727 efficacy chemical treatments for control of DUWL biofilms in the long term. This has made
728 significant inroads into providing a permanent solution to the problem for individual DCUs.
729 Recently, the successful development of a fully automated biofilm management system that
730 consistently maintains the quality of DCU supply water and DUWL output water at better than
731 potable quality in the long-term by using selected filtration and using low-Trustwater Ecasol
732 concentrations to minimise microbial growth has already provided a robust solution to the

733 problem of DUWL biofilm for dental hospitals and clinics equipped with multiple DCUs. It is to
734 be confidently anticipated that adaptations and further developments to this technology for use
735 with individual DCUs will provide a robust solution to the problem of DUWL biofilm in the next
736 few years. The key to the success of this approach relies on the combination of automated
737 provision of consistent quality DCU supply water and DUWL output water quality with no
738 adverse effects on DCU components or on human tissues, and the fact that the technology is
739 environmentally friendly, does not use toxic chemicals or yield toxic effluent in waste water, is
740 very cost effective and low maintenance. It is to be anticipated that more DCU manufacturers
741 will develop and validate automated DUWL biofilm control technology for their DCUs and
742 provide expert guidance and compact equipment for supply water pre-treatment, which is site-
743 specific and tailored to the requirements of individual clinics. To arrive at this point will require
744 more detailed studies on water quality monitoring, biofilm affinity for materials used in DUWLs
745 and biofilm control parameters. Advances made in the dental context will have benefits for many
746 other clinical applications.

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1116 **Table 1. Non-chemical approaches and the principal chemical agents that have been used to**
 1117 **improve the microbiological quality of DUWL output water^a**

Approach	Comments	References
Non-chemical methods		
Antiretraction valves integrated into DCU-supplied instruments	Antiretraction valves fail frequently resulting in retraction of oral fluids into DUWLs. Flushing DUWLs after each patient use is recommended.	[48,52]
Use of microbial filters at the ends of DUWLs near the instrument attachment sites or on DCU supply water lines	Can be effective in reducing microbial density in DUWL output water but has no effect on biofilm resident in DUWLs. Filters can be prone to clogging and have to be replaced regularly. Few remove bacterial endotoxin from water	[89-91]
Draining or drying of DUWLs	Has little effect on improving DUWL output water quality as biofilm resident in DUWLs can resist desiccation	[88]
Use of distilled water, deionized water, sterile water or pasteurised DUWL supply water provided from reservoir bottles	Has little effect on improving DUWL output water quality if biofilm is already resident in DUWLs. New DCUs may come with biofilms formed during factory quality testing	[3,112-114]
Flushing of DUWLs with fresh water	Results in reducing the microbial density in DUWL output water, but not to acceptable levels. Has no effect on DUWL biofilm	[3,22,87,115, 116]
Chemical agent^b tested in DCUs		
Chlorhexidine gluconate, Chlorhexidine gluconate and alcohol (I)	Variable removal of DUWL biofilm. Effective at minimising contamination of DUWL output water	[64,114,117]
Activated chlorine dioxide (I) , chlorine dioxide and sodium phosphate mouthrinse (R)	Effective at minimising contamination of DUWL output water	[35,59,118, 119]
Glutaraldehyde (I) Glutaraldehyde and quarternary ammonium salts (I)	Variable efficacy at eliminating biofilm and reducing microbial density in DUWL output water. Highly toxic substance	[120,121]
Sodium hypochlorite (I) (R)	Variable efficacy at eliminating biofilm and reducing microbial density in DUWL output water	[122-124]
Sodium hypochlorite and citric acid (I)	Effective at minimising microbial density in DUWL output water	[97]
Hydrogen peroxide (I) (R) Hydrogen peroxide and silver (I) Alkaline peroxide (I)	Effective at eliminating biofilm and minimising microbial density in DUWL output water. Reports of clogging of DUWLs following repeated use of alkaline peroxide	[29,35,56,57, 97,111,114, 125-127]

1118 Continued overleaf

Approach	Comments	References
Chemical agent^b tested in DCUs		
Electro-chemically activated solutions, (R)	Very effective at eliminating biofilm and minimising microbial density in DUWL output water. pH range of products 2.0-7.4. pH neutral products are best as they do not show adverse effects on DCU components.	[81,99-102]
	Ecasol shown to lack cytotoxicity for human keratinocytes and reconstituted human oral epithelium	[102]
Peracetic acid (I)	Not effective at minimising microbial density in DUWL output water	[49]
Povidone-iodine (I)	Effective at minimising microbial density in DUWL output water	[112]
Sodium fluoride (I)	Effective at minimising microbial density in DUWL output water but only partial elimination of biofilm	[114]
Sodium perborate, (I)	Variable efficacy at minimising microbial density in DUWL output water	[97]
Ethylenediaminetetraacetic acid (I)	Effective at minimising microbial density in DUWL output water and biofilm removal	[128]
Citric acid and sodium-p-toluolsulphonechloramide and <i>Sodium</i> ethylenediamine tetra acetic acid (R)	Two-phase treatment product. Effective at minimising microbial density in DUWL output water	[117,129]
Sodium-p-toluol-sulfonechloramide EDTA (R)	Effective at minimising microbial density in DUWL output water	[97]
p-hydroxybenzoic acid ester, polyaminoprophylbiguanid, 1,2-prophyenglycol	Effective at minimising microbial density in DUWL output water	[117]

1119 ^aFor the purpose of conciseness, not every published study with non-chemical approaches or with individual
 1120 chemical treatment agents is included.

1121 ^b Only agents actively tested in DCUs as opposed to those tested with model systems including DUWL tubing taken
 1122 from working DCU have been included in this table.

1123 Abbreviations: (I), intermittent treatment; (R), residual or continuous treatment.

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1129 **Figure 1 legend**

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1131 Figure 1. Electron micrograph of four-week-old biofilm formed on the internal surface of a
1132 dental unit waterline (DUWL) taken from a dental chair unit (DCU) supplied with potable
1133 quality mains water. The biofilm reached a thickness of 30 μm after four weeks growth. The
1134 scale bar shown in the lower left part of the figure represents 2 μm .

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