Positionally-biased gene loss after whole genome duplication: evidence from human, yeast and plant

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Running head: Linkage preservation after genome duplication and gene loss

Abbreviations: PPI: protein-protein interaction, WGD: whole genome duplication

Key words: protein-protein interaction, whole genome duplication, paralogon, ohnolog, gene loss

Abstract

Whole genome duplication (WGD) has made a significant contribution to many eukaryotic genomes including yeast, plants and vertebrates. Following WGD, some ohnologs (WGD paralogs) remain in the genome arranged in blocks of conserved gene order and content (paralogons). However the most common outcome is loss of one of the ohnolog pair. It is unclear what factors, if any, govern gene loss from paralogons. Recent studies have reported physical clustering (genetic linkage) of functionally linked (interacting) genes in the human genome and propose a biological significance for the clustering of interacting genes such as co-expression or preservation of epistatic interactions. Here we conduct a novel test of a hypothesis that functionally linked genes in the same paralogon are preferentially retained in *cis* after WGD. We compare the number of protein-protein interactions (PPIs) between linked singletons within a paralogon (defined as *cis*-PPIs) with that of PPIs between singletons across paralogon pairs (defined as *trans*-PPIs). We find that paralogons in which the number of *cis*-PPIs is greater than that of *trans*-PPIs are significantly enriched in human and yeast. The trend is similar in plants, but it is difficult to assess statistical significance due to multiple, overlapping WGD events. Interestingly, human singletons participating in *cis*-PPIs tend to be classified into "response to stimulus". We uncover strong evidence of biased gene loss after WGD which further supports the hypothesis of biologically significant gene clusters in eukaryotic genomes. These observations give us new insight for understanding the evolution of genome structure and of protein interaction networks.

Introduction

Well before genome sequences were available to test the hypothesis, Ohno proposed that two rounds (2R) of whole genome duplication (WGD) occurred in early vertebrate evolution (Ohno 1970). Ultimately, analysis of complete genome sequences verified the 2R hypothesis, but did not reveal perfectly symmetric duplicate chromosomes. Instead, several studies uncovered complex fossils of the ancient genome duplication events where only some genes remained duplicated (termed "ohnologs"; Wolfe 2000), and even these groups of duplicated genes had been broken up into "paralogons" by extensive genome rearrangements (Popovici et al. 2001; McLysaght et al. 2002; Panopoulou et al. 2003; Vandepoele et al. 2004; Dehal and Boore 2005; Nakatani et al. 2007; Putnam et al. 2008). The existence of biased gene loss following WGD due to structural or functional constraints is still considered an open question (Jaillon et al. 2009). Here we consider how functional interactions between genes may influence the patterns of gene loss following WGD.

Large-scale linkage conservation between distantly-related species has been shown by comparative analyses of vertebrate and invertebrate genomes (Putnam et al. 2007; Putnam et al. 2008), however the biological significance, if any, is unclear. Many functional gene clusters exist in the human genome (Popovici et al. 2001; Hurst et al. 2004a; Makino and McLysaght 2008) and some of these, such as the HOX clusters, exist within paralogons (Popovici et al. 2001). We previously showed that interacting gene clusters in the human genome are more numerous than expected and have been conserved in vertebrate genomes more frequently than expected, indicating a functional role for clustering on the chromosome (Makino and McLysaght 2008). If we translate this observation to paralogons we can consider the patterns of gene loss and test for preferential retention of interacting gene pairs in *cis* rather than in *trans*

(Fig. 1). Following WGD all interacting gene clusters will be perfectly duplicated, resulting in exactly equal numbers of *cis*- and *trans*-PPIs. For interacting gene pairs that eventually revert to single-copy, the first gene loss is considered to be neutral if all losses are functionally equivalent. However, the second loss will result in either retention of a *cis*-PPI or of a *trans*-PPI. If there is no biological significance of the *cis* positioning of interacting genes then this is a neutral "choice" and each scenario should occur with equal frequency. However, if the relative proximity of interacting genes on the genome has biological relevance then we expect to see non-random gene loss favouring the retention of the *cis*-PPI.

Genome duplication has also been detected in other eukaryotic lineages including yeast (Wolfe and Shields 1997; Dietrich et al. 2004; Dujon et al. 2004; Kellis et al. 2004) and plants (ArabidopsisGenomeInitiative 2000; Blanc et al. 2000). Additionally there is evidence for interacting gene clusters in the yeast genome (Teichmann and Veitia 2004; Poyatos and Hurst 2006).

Here we define protein-protein interactions (PPIs) between genes on the same "side" of a paralogon as *cis*-PPIs, and PPIs between genes across a paralogon pair as *trans*-PPIs (Fig. 1, red and green lines respectively). Although the number of *cis*-PPIs must have been the same as that of *trans*-PPIs in a paralogon immediately after WGD, many of these interactions have been removed by gene losses during evolution. We test whether the number of *cis*-PPIs is greater than that of *trans*-PPIs in paralogons in human, yeast and Arabidopsis.

Results and Discussion

Preferential retention of cis-interacting gene pairs in paralogons following WGD and gene loss

We identified 725 paralogon pairs in the human genome based on extant-paired ohnologs, 373 paralogon pairs in yeast based on gene order in the pre-WGD species *K. lactis* and 253 paralogon pairs in Arabidopsis derived from conserved gene synteny in the plant genome duplication database (http://chibba.agtec.uga.edu/duplication/). We confirmed that the gene content of combined human paralogons is representative of the gene content of at least the ancestral amniote by synteny conservation with chicken (see Methods). We obtained human, yeast, and plant PPI datasets from the Human Protein Reference Database (HPRD), BioGRID and *Arabidopsis thaliana* protein interaction network (AtPIN), respectively. We excluded paralogons in which no genes had any annotated PPIs from this study. We classified interactions between genes in a paralogon into *cis- and trans*-PPIs (Fig. 1; red and green lines respectively).

We considered all possible scenarios of retained PPIs in a paralogon after gene loss and/or protein interaction network (PIN) rewiring (Fig. 2). Through whole genome duplication a single *cis*-PPI between neighbouring genes in a genome would be increased to two *cis*- and two *trans*-PPIs (Fig. 2; box insert). After gene and PPI loss events, there are 13 possible PPI scenarios retaining at least one PPI (Fig. 2 A-G). In order to explore the properties of gene loss, we focused on PPIs among singletons within a paralogon because these have experienced gene loss events (scenario G in Figure 2). Note that we are using the term "singleton" only to refer to the gene's duplication status within the paralogon, where it once had an ohnolog copy. It is possible that these genes do have other paralogs in the genome, so are not strictly singletons in the conventional sense of the term.

For our analysis we used 668, 308 and 172 paralogon pairs in human, yeast and plant, respectively (Table 1). Searching within paralogons rather than within a fixed basepair distance greatly expanded the physical range for detection of *cis*-interactions (Fig. 3). The numbers of *cis*- *and trans*-PPIs between genes in paralogons were counted (see Methods). The total number of *cis*-PPIs was larger than that of *trans*-PPIs for human, yeast and plant (Table 1). We also found that the number of paralogons in which *cis*-PPIs outnumber *trans*-PPIs was larger than that of others in human, yeast and plant paralogons.

Both, vertebrates and plants, have undergone more than one round of WGD. In the case of the vertebrate 2R (two rounds) tetraploidisations, there are potentially four chromosomal regions, nominally A, B, C and D, that are all paralogons of each other (Fig. S1). The ideal situation would be to only have three comparisons; A-B and C-D which are the two products of the second round of WGD, and another comparison of [A,B]-[C,D] which examines the outcome of the first WGD. All possible comparisons of four paralogons result in six measurements, three of which might be considered redundant. However, not all of the comparisons are the same because of differing gene content, so we preferred to do all comparisons. This does not introduce a bias because after the first genome duplication, any genes that are kept in *cis* may be resolved to either *cis* or *trans* after the subsequent genome duplications. However, any relationships that are resolved to *trans* after the first genome duplication will only ever be *trans*, and these may be counted multiple times in the paralogon comparisons. Thus this is more likely to disfavour the hypothesis being tested and does not introduce a favourable bias.

However, tests of statistical significance require independent outcomes, and overlapping paralogons from multiple WGD violate this requirement. Therefore, we

clustered paralogons derived from a common ancestral region (see Methods) and considered each cluster as only one occurrence for the purposes of statistical analysis. We randomly sampled one representative paralogon pair from each cluster for statistical analysis and repeated this sampling 1,000 times. We performed the Wilcoxon signed rank test with continuity correction on each replicate. We observed that the number of paralogons in which *cis*-PPIs outnumber *trans*-PPIs was significantly higher than others for all of the replicates (Table S1). Thus there is strong statistical support for greater retention of *cis*-PPIs.

Arabidopsis thaliana has only five chromosomes and the lineage has experienced WGD at least three times, and thus the number of discriminable subsets of non-overlapping paralogons with PPIs was very small (only 10 sets including 172 paralogons with PPIs), and not amenable to robust statistical analysis, but we note that the trends are the same as in human.

Similarly, we observed significant differences in the number of *cis*- and *trans*-PPIs in yeast paralogons ($P = 4.7 \times 10^{-3}$ Wilcoxon signed rank test with continuity correction; Table 1). This result was consistent when we used an alternative available yeast PPI dataset from the Database of Interacting Proteins (DIP) ($P = 0.037$; Table 1). Notably, we observed consistent trends in different species with paralogons created at different times during evolution. These results indicate that there is a general bias in gene losses in eukaryote genomes following WGD.

Tests of independence of gene loss

Our analysis assumes that each gene loss is independent. However, it is possible to imagine a scenario where two linked and interacting genes are removed, along with all intervening genes on the chromosome, in a single large DNA deletion event. One

strategy to exclude the possibility of long deletions is to require the retention in duplicate (*i.e.,* as ohnolog pairs) of at least one of the ancestrally intervening genes. There is insufficient knowledge of the ancestral gene order in vertebrates and plants to conduct this test, however the ancestral gene order has been carefully reconstructed for yeasts (Gordon et al. 2011). Using this information we could infer which genes in yeast lay between interacting genes prior to WGD and gene loss. Where at least one of these genes is retained in a present-day ohnolog pair we can deduce that no single DNA deletion event spanned the entire region, and that the return to single copy was an independent event for each of the interacting pair (Fig. S2). This requirement reduced the dataset of PPIs that we could analyse because only a small fraction of genes remain as ohnolog pairs. Furthermore the ancestral genomic distance (counted in number of genes) between *trans*-PPIs tends to be greater than of *cis*-PPIs (Fig. S3) which affords greater opportunity for the retention of an intervening ohnolog for *trans*-PPIs compared to *cis*-PPIs, thus *cis*-PPIs are disproportionately removed from the dataset under this rule. If we correct for differences in the number of intervening genes on the ancestral genome by restricting our search to only genes separated by five genes or fewer, then the number of paralogons with *cis*-PPIs greater than *trans*-PPIs is significantly larger than the converse ($P = 2.9 \times 10^{-5}$, Wilcoxon signed rank test with continuity correction; similarly for interacting pairs separated by up to five genes, $P = 1.5 \times 10^{-5}$; Table S2). However, when we only included PPIs between genes separated by at least one retained gene though *cis*-PPIs still outnumber *trans*-PPIs there was no significant difference between the number of paralogons with more *cis*-PPIs and the number with more *trans*-PPIs (Table S3).

It has been shown that, following WGD, deletion events tend to be no longer than one gene (Woodhouse et al. 2010) and that gene loss events in recent primate evolution are typically by pseudogenisation rather than DNA deletion (Schrider et al. 2009). So, although it is difficult to definitively exclude the possibility of single large deletions simultaneously removing interacting genes from the same side of the paralogon, we suggest that such events are unlikely to have contributed a bias to this analysis.

We also assume that these gene loss events are equally likely on each side of the paralogon. If copies in one paralogon are more likely to be lost than those in the other paralogon, we should expect more *cis*-PPIs than *trans*-PPIs due to a biased reduction of one paralogon, rather than any functional consequence of the interaction between genes. Biased fractionation after tetraploidization was observed in maize (Woodhouse et al. 2010). To test whether biased loss is occurring irrespective of PPIs we examined paralogons where the number of *cis*-PPIs was larger than that of *trans*-PPIs and compared the observed number of *cis*-PPIs with that of expected ones based on biased gene retention (see Methods). We observed that only 0% - 23.1% of the paralogons had fewer than expected *cis*-PPIs (Tables S4 and S5). This indicates that a biased gene reduction of one paralogon did not cause biased PPI retention.

No preferential PPI retention and/or creation after WGD

We have already shown that in the case of singletons within a paralogon, we find an excess of *cis*-PPIs (scenario G1 in Fig. 2). However, PPIs may be lost or gained independently of gene gain and loss, a phenomenon known as "network rewiring" (Wagner 2001; Beltrao and Serrano 2007; Presser et al. 2008), though a recent study reported that the evolutionary rate of PPI rewiring is very slow in yeast (Qian et al.

2011). We examined the possibility that the above results are part of a general phenomenon of biased retention of linked PPIs and/or the creation of interacting clusters occurring independently of gene loss (Fig. 4). If there is no bias in PPI retention or creation then the number of *cis* and *trans* PPIs should be equal when summed over all possible scenarios (Fig. 2 A-F). We used 532, 192 and 102 paralogon pairs in human, yeast and plant, respectively (Table 2). We only counted paralogons with at least one PPI between genes of interest, which means that the total number of paralogons analysed differs slightly between Table 1 (scenario Fig. 2G) and Table 2 (scenarios Fig. 2 A-F). The numbers of *cis*- *and trans*-PPIs between genes in a paralogon were counted as above (see Methods). We found no difference between the number of paralogons with more *cis*-PPIs and the number with more *trans*-PPIs in human, yeast and plant (Wilcoxon signed rank test with continuity correction; Table 2). This indicates that interaction gain and loss is not biased with respect to relative location and that our observation of more *cis*-PPIs is a result of biased gene loss rather than biased interaction gain or loss.

Conservation of genes in cis-PPIs across vertebrate genomes

If human *cis*-PPIs have biological significance, we expect that the *cis*-PPIs should be observed in other vertebrates. When we examined the relative location of orthologs of genes involved in *cis*-PPIs across vertebrate genomes we found that they are likely to be conserved, *i.e.,* they are located within the same paralogon (Table 3).

We also considered the possibility that gene loss occurred independently in different vertebrate lineages. Under this scenario we would expect to observe some cases where there is no ortholog but only a paralog present due to "independent sorting-out" of the redundancy (Scannell et al. 2006). If independent gene loss also

resulted in independent preservation of the *cis* relationship of the interacting gene pair, that would provide further support for the biological significance of the relative chromosomal location of these genes (Fig. 5). We identified orthologous and paralogous paralogons within 12 vertebrate genomes for human paralogons based on conserved gene order of orthologous ohnologs, and surveyed partisan losses. We found several paralogous *cis*-PPIs in fish genomes (Table 3). However, overall we rarely observed paralogous relationships. This indicates that gene loss occurred quite rapidly before the radiation of most lineages and that *cis*-PPIs have been conserved since before the fish-tetrapod split. We also examined partisan losses for yeast (see Methods). As we observed in human, there were several paralogous *cis*-PPIs, but most *cis*-PPIs had orthologous relationships (Table S6).

Here we had specifically searched for cases where we could infer independent retention of the *cis*-PPI by the paralogous rather than orthologous relationship of the extant genes in different vertebrate genomes (Fig. 5; Table 3). The fish specific genome duplication (FSGD) provides an additional opportunity to test for the retention of the same *cis*-PPIs. We constructed FSGD paralogons for stickleback, tetraodon, medaka and zebrafish (see Methods) for examining preservation (independent preservation is no object) of the *cis*-relationship of the interacting gene pair in fish paralogons after FSGD (Fig. S4). We investigated whether fish orthologs (FSGD singletons) of human singletons with *cis*-PPIs in a paralogon were observed in the FSGD paralogons in a *cis*-relationship more frequently than in a *trans*-relationship. We found that the number of FSGD paralogons in which the number of *cis*-PPIs was larger than that of *trans*-PPIs was statistically significantly larger than that of others (Wilcoxon signed rank test with continuity correction; Table S7). The result indicates that *cis*-interacting singletons have been retained in both lineages (human and fishes)

independently even after FSGD in which a *cis*-interacting gene pair would have a chance to change its formation as *trans* (Fig. S4).

Retained cis-PPIs are enriched for function in "response to stimulus"

We attempted to understand the characteristics of singletons participating in *cis- and trans*-PPIs within paralogons. In a previous study we showed that interacting genes located within 1Mb of each other are biased towards a function in "response to stimulus" which includes many genes that operate in adaptive immunity (Makino and McLysaght 2008). Here, we examined the function of *cis*- and *trans*-PPIs using GO slim in human (http://www.geneontology.org).

There were two rounds of WGD early in the vertebrate lineage, and therefore it is possible that some genes that are singletons with respect to one paralogon pair are extant-paired ohnologs in another paralogon pair. Furthermore, it has been shown that extant ohnologs are likely to be classified into specific functional classes in vertebrates (Blomme et al. 2006; Brunet et al. 2006; Hufton et al. 2008). Consistent with previous studies, we found that extant-paired ohnologs are often related to developmental processes in human (*e.g.* multicellular organismal development, cell communication, regulation of biological process, cell motion, cell differentiation, multicellular organismal process; Table S8). The numbers of extant-paired ohnologs classified into functional classes "response to stimulus" or metabolic processes were significantly smaller than expected in human (Table S8). On the other hand, functional classes "response to abiotic stimulus" and "response to chemical stimulus" were enriched in ohnologs for plant and yeast, respectively (Table S9). Biased functions of ohnologs seem to be different among eukaryotes that experienced WGD (Maere et al. 2005; Wapinski et al. 2007). In particular, "response to stimulus" is

likely to be enriched in ohnologs from 2R WGD but not those from 1R/3R WGD in plant (Maere et al. 2005). To minimize the functional bias of extant-paired ohnologs (Table S8), we only used singletons that were not included in any extant-paired ohnologs for GO analysis. We compared the number of GO slim terms for singletons in *cis*-PPIs with that for singletons in *trans*-PPIs (Table 4). We found "response to stimulus" (GO:0050896) was significantly enriched in *cis*-interacting singletons in human (Table 4; $P = 1.2 \times 10^{-5}$ after correction for multiple tests). This is consistent with the enrichment for "response to stimulus" in genes in interacting gene clusters in the human genome (Makino and McLysaght 2008). Interestingly, by searching for *cis*-PPIs within a paralogon rather than within a fixed basepair distance, we were able to detect conserved linkage of genes over longer regions of chromosome (Fig. 3). These observations show a tendency for genes involved in “response to stimulus” to revert to single copy retaining the *cis* relationship of interacting genes. Only "RNA metabolic process" was enriched in *cis*-interacting singletons compared to *trans*-interacting ones in yeast, although the statistical significance was not high ($P = 0.034$). There was no observable bias in the function of *cis*-interacting singletons in plant because only 38 *cis*-interacting singletons had GO annotation.

Conclusion

We present evidence that functionally linked singletons in the same paralogon were preferentially retained in *cis* after extensive gene losses in human, yeast and plant (Table 1). On the other hand, there was no significant enrichment of *cis*-PPIs between extant-paired ohnologs in the three species (Table 2), *i.e.,* we found no

evidence for biased rewiring of PPIs after WGD. Furthermore, the relative location of genes with *cis*-PPIs tends to be conserved across vertebrate genomes (Table 3).

The analysis of *cis*-PPI retention reported here assumes independent gene loss rather than large, sweeping DNA deletions that removed one copy of the interacting pair along with all intervening genes. Though we could not conduct a robust test to definitively eliminate the possibility of single large deletion events, we are satisfied that if these occur, they are rare and unlikely to introduce an artefact into this genome-wide analysis. Previous work has shown such large deletion events to be extremely rare following tetraploidization (Woodhouse et al. 2010), and within recent primate evolution gene loss is almost exclusively by means of pseudogenisation rather than DNA deletion (Schrider et al. 2009). Though we note that neither of these studies refers specifically to the period following vertebrate WGD, they lend support to the assumption that large deletions were rare.

An alternative and very interesting explanation for the biased retention of *cis*-PPIs is that rather than reflecting a biological advantage to physical clustering on the chromosome, it instead is a legacy of an *allo*polyploid rather than *auto*polyploid event. Allopolyploidy is genome doubling caused by a type of hybridisation between related organisms. If this occurred, and if the two parent lineages had sufficiently diverged, the interacting proteins in each genome might have co-adapted to the extent that retained interacting pairs from the same genome (*i.e.* in *cis*) were strongly preferred. This model suggests an explanation for why interacting genes would be initially retained in *cis* following WGD and fractionation. However, it provides no explanation for the retention of interacting gene clusters which are found in excess in eukaryotic genomes (Teichmann and Veitia 2004; Poyatos and Hurst 2006; Makino and McLysaght 2008).

The observation that functionally linked genes have been preferentially retained in *cis* following WGD and gene loss and that they have been conserved in *cis* during vertebrate evolution supports the hypothesis that the physical clustering on the chromosome has biological and functional significance. However, the nature of this biological significance remains unclear and may include co-regulation (Hurst et al. 2004b), epistasis (Nei 1967) and epigenetic factors (Thomas et al. 2006). Many of the *cis*-interacting genes in human are classified as "response to stimulus" which is consistent with previous studies showing clustering of genes that operate in immunity. We propose that functionally and physically linked genes have influenced the evolution of both genomic structures and protein interaction networks after WGD in fungi, plants and vertebrates.

Methods

Paralogons

Human paralogons: We used six outgroups that were amphioxus (*Branchiostoma floridae*) assembly v1.0 (Putnam et al. 2008) from JGI (http://www.jgi.doe.gov), sea urchin (*Strongylocentrotus purpuratus*) build 2.1 from NCBI (http://www.ncbi.nlm.nih.gov), two ascidians (*Ciona intestinalis* and *Ciona savignyi*), fly (*Drosophila melanogaster*) and worm (*Caenorhabditis elegans*) from Ensembl release 52 (Hubbard et al. 2007) for identification of ohnologs, and combined them as shown in Makino and McLysaght (Makino and McLysaght 2010). Full details of the identification of human ohnologs are given in Makino and McLysaght (Makino and McLysaght 2010). We constructed human paralogons using the combined ohnolog datasets. Two genomic regions having two or more ohnologous pairs (within 100

genes) in which an ohnolog of the pairs was located in different genomic region from its ohnologous partner were defined as paralogons. We obtained 725 paralogon pairs in human (Supplementary file 1). We also used an alternative, stricter paralogon definition where the maximum distance between ohnologs in a single paralogon was 30 genes, these data give consistent results.

Out of 70,624 human singleton pairs having a *cis*-PPI as shown in Fig. 2G before collapsing tandem duplicates, 21,352 pairs were unique. Out of 21,352 gene pairs, we found 9,669 pairs in which both genes of a pair had one-to-one orthologs in the chicken genome (Ensembl v52). Both genes in 6,057 out of 9,669 pairs were on the same chromosome of the chicken genome. When we use the tighter, alternative definition of paralogons (window size = 30), most of chicken orthologs for singletons with a *cis*-PPI were in the same chromosome (95.9%, 792 / 826). This result indicates that the locations of genes involved in *cis*-PPIs in human paralogons have been conserved during at least land vertebrate evolution.

Yeast paralogons: We used ohnologs in *Saccharomyces cerevisiae* and their orthologs in pre-WGD species *Kluyveromyces lactis* to detect yeast paralogons in the Yeast Gene Order Browser (http://wolfe.gen.tcd.ie/ygob/). We removed non-syntenic genes from our dataset, because they have been possibly relocated from other chromosomal regions. We also removed ohnologs in a paralogon where the genomic location of the paired paralogon was unknown. We obtained 373 yeast paralogon pairs (Supplementary file 2).

We observed that 97.6% (5,876 / 6,021) of *cis*-interacting gene pairs in yeast (PPIs in BioGRID database) were in the same chromosome of pre-WGD ancestor (Gordon et al. 2011). The similar result was observed using PPIs in DIP database (96.4%, 449 / 466). This indicates that the locations of genes involved in *cis*-PPIs in yeast paralogons have been conserved after WGD.

Plant paralogons: We downloaded conserved gene synteny blocks in the *A. thaliana Genome* from the Plant Genome Duplication Database (PGDD; http://chibba.agtec.uga.edu/duplication), and used them as plant paralogons (253 paralogon pairs).

Cis- and trans-interactions of genes in paralogons

We downloaded human protein interaction network (PIN) data from Human Protein Reference Database release 7 (Peri et al. 2003), yeast PIN data from the Database of Interacting Proteins (http://dip.doe-mbi.ucla.edu/) and BioGRID (only physical interactions; http://www.thebiogrid.org/), and plant PIN data from the *Arabidopsis thaliana* protein interaction network (only **e**xperimentally determined interactions; http://bioinfo.esalq.usp.br/atpin/atpin.pl) to identify *cis- and trans*-interactions of genes in paralogons. We used protein-protein interactions (PPIs) between genes with distance < 3 in the protein interaction networks as shown in Poyatos and Hurst (Poyatos and Hurst 2006). To minimize tandem duplication effects, we removed PPIs among duplicated genes (BLAST, E-value <0.2) (Lercher et al. 2003) using protein sequences of human and yeast genes from Ensembl and plant genes from PGDD, and we furthermore collapsed tandemly duplicated genes in the same paralogon as genes in the same family (BLAST, E-value <0.2). Note that we removed self-interactions,

because they do not represent a relationship between different loci. If collapsing paralogs into a gene family generated self-interactions, we removed them. Finally, we counted PPIs between genes in the same side of a paralogon as *cis*-PPIs, and PPIs across a paralogon as *trans*-PPIs.

Preparation of independent (non-overlapping) paralogons for statistical analysis

We clustered sets of human paralogons derived from the same ancestral region (such as nominal paralogons A, B, C and D shown in Figure S1). We grouped paralogons into clusters when paralogons shared at least one ohnolog. A paralogon pair was not clustered when the paralogon pair was from the same chromosome because they possibly belonged to the same ancestral region but the paralogon that has become segmented. There were not many sets of non-overlapping paralogons for human with window-size=100 (only 11 sets including 668 paralogons with PPIs). Therefore we prepared a set of overlapping human paralogons using window-size = 30 (169 sets including 380 paralogons with PPIs). Some paralogons are paired with many other paralogons and these tend to cause large paralogon clusters by gathering subsets of clusters and thus reducing the number of clusters to a tiny number. Therefore, we deleted paralogons with many relationships (3 to 7, Table S1) to maximize the number of paralogon clusters. Note that even when we did not delete them our conclusions were the same (Table S1). We prepared independent paralogons by choosing one paralogon pair randomly from each set, and examined the enrichment of paralogons in which the number of *cis*-PPIs was significantly larger than that of *trans*-PPIs (Wilcoxon signed rank test with continuity correction). We repeated this 1,000 times and performed the Wilcoxon signed rank test with continuity correction on each replicate.

Theoretical calculations of expected numbers of cis-PPIs

If gene loss is biased based on location in the genome rather than PPIs then genes may be disproportionately removed from one side of the paralogon and a greater number of *cis*-PPIs may simply reflect a greater number of *cis* gene relationships. We constructed a hypothetical scenario with differing rates of gene deletion in the two sides of a paralogon, where the probabilities of deletion of a gene from each side of the paralogon are p and $1-p$ respectively. We only consider genes that return to single copy. We estimate p as the number of singletons on one side of the paralogon (n_1) expressed as a fraction of the total singletons over the two sides of the paralogon ($n_1 + n_2$), that is $p = n_1 / (n_1 + n_2)$. A pre-WGD *cis*-PPI is retained in *cis* after WGD with probability $p^2 + (1-p)^2$ and retained in *trans* with probability $2p(1-p)$. If there are c *cis*-PPIs between singletons, and t *trans*-PPIs between singletons, the expected number of *cis*-PPIs, E_1, is given by $E_1 = (c + t)\ [((n_1/(n_1+n_2))^2 + (n_2/(n_1+n_2))^2)]$. This calculation gives an expected number of *cis*-PPIs proportional to the sizes of the two sides of the paralogon. If both sides have equal numbers of singletons, then $p=1/2$ and $E_1=1/2(c+t)$.

Orthologous and paralogous paralogons

To identify orthologous and paralogous paralogons within 12 vertebrate genomes (chimpanzee, macaque, mouse, rat, dog, cow, opossum, chicken, medaka, zebrafish, tetraodon and stickleback) we downloaded vertebrate orthologs for human genes and their genomic locations from Ensembl release 52. We identified orthologous paralogons of the vertebrates based on conserved gene synteny of orthologous ohnolog pairs by using the same algorithm (window size: 100) reported by Makino

and McLysaght (Makino and McLysaght 2010). Note that, for human genes in a paralogon, it is traceable whether the homologs in vertebrate paralogons are in an orthologous paralogon or a paralogous one.

We obtained orthologous and paralogous paralogons within 10 yeast genomes (*Vanderwaltozyma polyspora, Tetrapisispora phaffii, Tetrapisispora blattae, Naumovozyma dairenensis, Naumovozyma castellii, Saccharomyces castellii, Kazachstania naganishii, Kazachstania africana, Candida glabrata* and *Saccharomyces bayanus*) based on syntenic orthologs from YGOB (http://wolfe.gen.tcd.ie/ygob).

FSGD paralogons

We identified paralogons generated by FSGD for stickleback, medaka, tetraodon and zebrafish using the same algorithm (window size: 100) reported by Makino and McLysaght (Makino and McLysaght 2010). Note that we used protein sequences for five teleost fishes (stickleback, medaka, tetraodon, zebrafish and Fugu) and human (outgroup) from Ensembl release 52 to find FSGD candidate ohnolog pairs generated by duplication between speciation of teleost fishes and the fish-tetrapod split.

Gene Ontology

Gene Ontology (GO) "slim" annotations for biological processes of human, plant and yeast were downloaded from ftp://ftp.geneontology.org/pub/go/GO_slims. We excluded the GO id GO:0008150 (biological process unknown). We calculated the *P* value for each GO id by comparison of the observed frequency in extant-paired ohnologs with expectations based on hypergeometric distribution using whole genes with at least one GO id (Table S8 and S9). We also calculated the *P* value for each

GO id by comparison of the observed frequency in singletons with *cis*-PPIs with expectations based on hypergeometric distribution using singletons with *trans*-PPIs (Table 4). The estimated *P* values were adjusted by Bonferroni correction.

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Figure legends

Figure 1. Gene losses after whole genome duplication (WGD). Rectangles and horizontal lines represent genes and chromosomes, respectively. Red and green lines indicate *cis*- *and trans*-protein-protein interactions (PPIs) between proteins encoded by singletons or extant-paired ohnologs, respectively. White rectangles indicate genes prior to WGD. Blue and black rectangles show extant-paired ohnologs and singletons, respectively. Following WGD all interacting gene clusters will be perfectly duplicated, resulting in exactly equal numbers of *cis*- and *trans*-PPIs. The first gene loss can occur at any locus. Gene loss that reverts the second gene to single copy will result in either retention of .a *cis*- or a *trans*-PPI. If gene loss is neutral then both scenarios should occur with equal frequency.

Figure 2. Retained network patterns in a paired gene cluster after gene and/or PPI losses. Rectangles and horizontal lines represent genes and chromosomes, respectively. Red and green lines indicate *cis*- *and trans*-PPIs, respectively. White rectangles indicate genes before they experienced WGD. Blue and black rectangles show extant-paired ohnologs and singletons, respectively. Pink and blue arrows indicate PPI losses and gains during evolution, respectively. All possible scenarios that retain at least one PPI are shown.

Figure 3. *Cis*- *and trans*-PPIs between genes in human paralogons. Black vertical and horizontal lines represent genes and chromosomes, respectively. The numbers beside chromosomes indicate gene ordinal numbers along the chromosome. Bold gray lines show homology relationships between an extant-ohnolog pairs. Red and green lines indicate *cis*- *and trans*-PPIs between genes in a human paralogon, respectively.

Purple lines and gene names denote *cis*-PPIs previously identified as interacting gene clusters related to "immune response" (Makino and McLysaght 2008). In the case of physical links in paralogons, it is possible to identify *cis*-PPIs over a wider range compared to searches within a fixed basepair distance (Makino and McLysaght 2008). There are many more *cis*-PPIs compared to *trans*-PPIs in *(A)* paralogon ID 24 (27 *cis* and 10 *trans*) and in *(B)* paralogon ID 507 (69 *cis* and 46 *trans*; Supplementary file 1). Even after collapsing tandem duplicated genes, the PPIs of singletons are enriched in *cis* (ID: 24: 18 *cis* and 8 *trans*; ID 507: 60 *cis* and 34 *trans*).

Figure 4. PPIs losses and gains after whole genome duplication (WGD). Rectangles and horizontal lines represent genes and chromosomes, respectively. Red and green lines indicate *cis*- *and trans*-PPIs, respectively. White rectangles indicate genes prior to WGD. Blue and black rectangles show extant-paired ohnologs and singletons, respectively. Pink and blue lines indicate newly created *cis*- *and trans*-PPIs of ohnologs, respectively. As a matter of convenience, PPI losses and gains are shown after gene losses, however the events may occur simultaneously in the evolutionary process. (*A*) Random PPI dynamics model. Some PPIs among ohnologs disappeared and/or appeared randomly after WGD. *Trans*-PPIs are observed as many as *cis*-ones. (*B*) Biased PPI dynamics model. PPIs among ohnologs in the same paralogon are retained preferentially after PPI losses. In addition, new interacting clusters are created by PPI rewiring. The number of *cis*-PPIs is larger than that of *trans*-PPIs.

Figure 5. PPIs in orthologous/paralogous paralogons in vertebrate genomes. Vertical and horizontal lines represent genes and chromosomes, respectively. Blue

and black rectangles show extant-paired ohnologs and singletons, respectively. Red and green lines indicate *cis- and trans*-PPIs, respectively. *(A)* Gene loss patterns of *cis*-linked genes after WGD. Partisan loss can be observed when the second gene loss occurs in a paralogon where the first gene loss occurred. *(B)* When we observe conserved *cis*-linked genes in an orthologous paralogon between human and fish, it is difficult to distinguish an independent partisan loss from a *cis*-PPI derived from a common ancestor. *(C)* When we observe conserved *cis*-linked genes in a paralogous paralogon between human and fish, this pattern is a strong evidence of independent partisan loss after speciation between human and fish.

Figure S1. Gene losses after two rounds of whole genome duplications. Rectangles and horizontal lines represent genes and chromosomes, respectively. Red and green lines indicate *cis- and trans*-PPIs, respectively. White rectangles indicate genes prior to the first WGD. Blue and black rectangles show extant-paired ohnologs and singletons, respectively. (*A*) Biased gene loss model. *Cis*-PPIs have been retained asymmetrically among four paralogons (A, B, C and D) after two rounds of WGD events. (*B*) Random gene loss model. The number of *trans*-PPIs would increase after two rounds of WGD events under this model.

Figure S2. Independent gene losses and a single large deletion. Rectangles and horizontal lines represent genes and chromosomes, respectively. Red and green lines indicate *cis- and trans*-PPIs, respectively. White rectangles indicate genes prior to WGD. Blue and black rectangles show extant-paired ohnologs and singletons, respectively. Gray lines indicate extant-paired ohnologous relationships. Large and small orange crosses represent a single large deletion including multiple genes and

independent gene losses, respectively. (*A*) When *cis*-interacting genes have an intervening retained ohnolog, the independent gene losses are distinguishable from a single large deletion. (*B*) Identification of PPIs between singletons separated by a retained ohnolog. Numbers (1, 2 and 3) indicate gene order of pre-WGD yeast ancestor. The ancestral gene order for yeasts is available from YGOB. A *trans*-PPI in this panel has an intervening retained ohnolog based on the ancestral gene order (not present day gene order).

Figure S3. Ancestral gene-distance for *cis*- and *trans*-PPIs in yeast. X-axis indicates that distance (counted in number of genes based on ancestral gene order) between an interacting singleton pair. Y-axis indicates the frequency of the interacting pairs. Red and green bars show *cis*- and *trans*-PPIs, respectively. Upper and lower panels are based on DIP and BioGRID PPIs, respectively.

Figure S4. Pattern of PPI retention after Fish specific genome duplication. Rectangles and horizontal lines represent genes and chromosomes, respectively. Red and green lines indicate *cis*- *and trans*-PPIs, respectively. Blue and black rectangles show extant-paired ohnologs and singletons, respectively. Fish specific genome duplication (FSGD) occurred in the fish lineage. There are two scenarios which are *cis*-retention and *trans*-retention after gene losses following FSGD. A *cis*-PPI has been retained in the human paralogon from common ancestor of human and fish, and therefore we infer that *cis*-PPIs are more likely to be retained in *cis* even in the fish sister paralogon.

Table 1. *Cis*- and *trans*-interactions between singletons within paralogons

Species	PPI data	Window size for identifying ohnologs	#*cis*-PPIs	#*trans*-PPIs	#paralogon pairs (PGs)	#PGs (#*cis*-PPIs > #*trans*-PPIs)	#PGs (#*cis*-PPIs = #*trans*-PPIs)	#PGs (#*cis*-PPIs < #*trans*-PPIs)	*p*-value
Human	HPRD	100	60,949	48,012	668	483	31	154	*
		30	2,689	2,221	602	323	81	198	**
Plant	AtPIN	-	576	457	172	85	30	57	*
Yeast	BioGRID	-	5,899	5,262	308	153	31	124	4.7×10^{-3}
	DIP	-	464	386	182	95	22	65	0.037

* Not amenable to statistical analysis, see main text

** Data subsampled to make independent paralogon pairs consistently showed statistical significance (Table S1)

Table 2. *Cis*- and *trans*-interactions of extant-paired ohnologs

Species	PPI data	Window size for identifying ohnologs	#*cis*-PPIs	#*trans*-PPIs	#paralogon pairs (PGs)	#PGs (#*cis*-PPIs > #*trans*-PPIs)	#PGs (#*cis*-PPIs = #*trans*-PPIs)	#PGs (#*cis*-PPIs < #*trans*-PPIs)	*p*-value
Human	HPRD	100	19,510	19,134	532	243	67	222	not significant
		30	1,646	1,684	546	206	109	231	not significant
Plant	AtPIN	-	483	424	102	48	16	38	not significant
Yeast	BioGRID	-	3,690	3,659	192	73	36	83	not significant
	DIP	-	240	216	94	40	25	29	not significant

Table 3. *Cis*- and *trans*-interacting gene pairs between singletons within paralogons of vertebrate genomes [a]

species	#conserved paralogons	Conserved gene pairs		Human *cis*-interacting gene pairs			Human *trans*-interacting gene pairs	
		#cis	*#trans*	#orthologous *cis*	#paralogous *cis*	*#trans*	*#cis*	*#trans*
stickleback	204	812	688	772	9	40	31	648
tetraodon	176	684	480	617	22	30	45	450
medaka	195	838	719	770	21	47	47	672
zebrafish	161	292	240	246	16	20	30	220
chicken	247	5885	4572	5875	0	16	10	4556
opossum	361	8286	6689	8268	0	26	18	6663
cow	412	13675	10764	13653	0	19	22	10745
dog	458	15294	12249	15283	0	8	11	12241
mouse	440	12109	9140	12106	0	1	3	9139
rat	408	10352	8007	10340	0	9	12	7998
macaca	548	26575	21095	26514	4	32	57	21063
chimp	590	39220	31593	39212	0	10	8	31583

[a] Fish-Specific Genome Duplication (FSGD) paralogons were not analysed for cis and trans relationships.

Table 4. Comparison of functions of singletons within paralogons involved in *cis*-PPIs and in *trans*-PPIs

Significant difference	GO IDs	Term	Obs.	Mean	S.D.	Z score	*p*-value[a]
Over representation	GO:0050789	regulation of biological process	1539	1482.4	10.2	5.5	5.15E-07
	GO:0050896	response to stimulus	685	644.2	8.7	4.7	1.21E-05
	GO:0007154	cell communication	828	789.7	9.2	4.2	2.67E-04
	GO:0051704	regulates	167	152.1	4.5	3.3	8.59E-03
	GO:0006139	nucleobase, nucleoside, nucleotide and nucleic acid metabolic process	1023	990.7	9.6	3.4	1.54E-02
	GO:0009058	biosynthetic process	998	968.0	9.7	3.1	2.92E-02
Under representation	GO:0008152	metabolic process	315	336.5	6.5	-3.3	2.75E-02

[a] The estimated *P* values were adjusted by Bonferroni correction.