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Elimination of biofilm and microbial contamination reservoirs in hospital washbasin U-bends by automated cleaning and disinfection with electrochemically activated solutions

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Running title: Automated disinfection of washbasin U-bends

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27 **Summary**

28

29 **Background:** Washbasin U-bends are reservoirs of microbial contamination in healthcare
30 environments. U-bends are constantly full of water and harbour microbial biofilm.

31 **Aim:** To develop an effective automated cleaning and disinfection system for U-bends
32 using two solutions generated by electrochemical activation of brine including the
33 disinfectant anolyte (predominantly hypochlorous acid) and catholyte (predominantly
34 sodium hydroxide) with detergent properties.

35 **Methods:** Initially three washbasin U-bends were manually filled with catholyte followed
36 by anolyte for five min each once weekly for five weeks. A programmable system was then
37 developed with one washbasin that automated this process. This U-bend had three cycles of
38 five min catholyte followed by five min anolyte treatment a week for three months.
39 Quantitative bacterial counts from treated and control U-bends were determined on blood
40 agar (CBA), R2A, PAS and PA agars following automated treatment and on CBA and R2A
41 following manual treatment.

42 **Findings:** The average bacterial density from untreated U-bends throughout the study was
43 $>1 \times 10^5$ CFU/swab on all media with *Pseudomonas aeruginosa* accounting for
44 approximately 50% of counts. Manual U-bend ECA treatment reduced counts significantly
45 (<100 CFU/swab) ($P <0.01$ for CBA; $P <0.005$ for R2A). Similarly, counts from the
46 automated ECA-treatment U-bend were significantly reduced with average counts over 35
47 cycles on CBA, R2A, PAS and PA of $2.1(\pm 4.5)$ ($P <0.0001$), $13.1(\pm 30.1)$ ($P <0.05$), $0.7(2.8)$
48 ($P <0.001$) and $0(\pm 0)$ ($P <0.05$) CFU/swab, respectively. *Pseudomonas aeruginosa* was
49 eliminated from all treated U-bends.

50 **Conclusion:** Automated ECA treatment of washbasin U-bends consistently minimises
51 microbial contamination.

52

53 **Keywords:** Washbasin U-bends, *Pseudomonas aeruginosa*, biofilm, electrochemically
54 activated solutions, anolyte, catholyte

55

55 **Introduction**

56

57 Hospital water systems and associated fixtures and fittings have been identified as
58 significant reservoirs of microbial contamination responsible for nosocomial infections,
59 particularly among immunocompromised patients and in intensive care units (ICUs).¹⁻³
60 Microbial biofilms readily form within washbasins and sinks and their wastewater outlets
61 and associated pipework.⁴ These include the U-bend, which retains water to provide a
62 barrier preventing sewer gas from wastewater pipes entering buildings. Furthermore, U-
63 bends collect hair and other debris, and are frequently stagnant. U-bend biofilms can act as
64 reservoirs and disseminators of infection by a range of bacteria, many of which harbour
65 antimicrobial resistance elements.^{1,2,5,6} Often these bacteria are motile, especially
66 *Pseudomonas aeruginosa* and other Gram-negative species, which along with water flow,
67 splashing and aerosolisation facilitate retro-contamination of washbasins, sinks and
68 taps.^{1,3,5,7,8}

69

70 Biofilm present in wastewater pipework is difficult to eradicate by conventional
71 disinfection. Several approaches have been investigated to reduce the microbial bioburden
72 in hospital washbasin and sink drains including fixture replacement, regular manual
73 disinfection and the use of thermal disinfection by installing a heating element into U-
74 bends.^{2,4,8} Fixture replacement is not effective in the long-term as new washbasins and
75 pipework rapidly become colonised with microorganisms.² Disinfectants have diminished
76 efficacy against dense biofilms present in U-bends and associated pipework, and whereas
77 they can temporarily reduce bioburden, they must be applied regularly due to frequent
78 water stagnation in U-bends.^{2,4} Thermal disinfection of U-bends has been shown to be
79 effective but is not in widespread use.⁸

80

81 Previously we used the pH-neutral electrochemically activated solution Ecasol as a
82 residual disinfectant to effectively minimise microbial contamination of dental unit
83 waterline output and washbasin tap water in long-term studies.⁹⁻¹¹ Electrochemically
84 activated (ECA) solution generators produce two solutions during electrochemical
85 activation of dilute salt solutions; an oxidant solution capable of penetrating biofilm termed
86 anolyte such as Ecasol (predominantly hypochlorous acid (HOCl)) and a catholyte with
87 detergent properties (predominantly sodium hydroxide (NaOH)).⁹ The purpose of this study

88 was to investigate whether automated filling of a hospital washbasin U-bend for short
89 periods of time with catholyte as a cleaning agent followed by automated filling with
90 anolyte as a disinfectant would be effective at eradicating biofilm and minimising microbial
91 contamination.

92

93

94 **Methods**

95

96 *Chemicals*

97 All chemicals and reagents used were of analytical or molecular biology grade and
98 were purchased from Sigma-Aldrich (Wicklow, Ireland).

99

100 *Anolyte and catholyte solutions*

101 Anolyte and catholyte were produced by electrochemical activation (ECA) of a
102 0.2% (w/v) NaCl solution using an Ultra-Lyte Mini-UL-75a ECA generator (Clarentis
103 Technologies, Florida, USA). The generator was configured to produce anolyte with 450
104 ppm free available chlorine (FAC) at pH 7.0 and catholyte with 400 ppm NaOH. For U-
105 bend treatment freshly generated anolyte and catholyte were used undiluted and diluted
106 1:10 with mains water, respectively.

107

108 *Measurement of free available chlorine*

109 FAC levels in anolyte were measured using a Hach Pocket Colorimeter II (Hach
110 Company, Iowa, USA) according to the manufacturer's instructions.

111

112 *Test and control washbasins*

113 Six identical ceramic washbasins (Armitage Shanks, Staffordshire, United
114 Kingdom) located in adjacent staff bathrooms at the Dublin Dental University Hospital
115 were included in the pilot study. All bathrooms are in frequent use Monday-Friday. Three
116 months prior to the study washbasins were equipped with new Multikwik polypropylene U-

117 bends (Marley Plumbing and Drainage, Kent, United Kingdom) with a cleaning port above
118 the U-bend water line. The washbasin wastewater outlets were located underneath the tap
119 water flow. One test washbasin was selected for automated ECA treatment studies, with a
120 second used as a control.

121

122 *Pilot study of ECA treatment of U-bends*

123 Preliminary experiments were undertaken with three washbasins to investigate the
124 efficacy of ECA solutions to minimise U-bend contamination with three additional
125 washbasins used as controls. A manual valve was fitted to the wastewater pipe downstream
126 of each washbasin U-bend to seal the wastewater outflow. The volume of liquid required to
127 completely fill the U-bends and the wastewater pipe as far as the valve was determined
128 empirically. For the test washbasins the valve was closed and the required volume
129 (approximately 1 L) of catholyte was poured slowly into the washbasin filling it several
130 centimetres above the wastewater outlet. Then the valve was partially opened to allow
131 catholyte to completely fill the U-bend and outflow pipe as far as the valve while ensuring
132 that sufficient catholyte remained in the washbasin to cover the wastewater outlet.
133 Catholyte was left in situ for five min and then the valve was opened to void the solution to
134 waste. The process was repeated with freshly generated anolyte. The same process was
135 repeated for the control washbasins using mains water instead of ECA solutions. An area of
136 the internal part of the U-bends were swab sampled through the cleaning ports using swabs
137 soaked in neutralisation solution followed by laboratory culture on blood agar and R2A
138 agar (see below).

139

140 *Automated ECA treatment system for U-bends*

141 For automated U-bend treatment, one washbasin was used as the control unit and a
142 second as the test unit. A lockable cabinet was installed adjacent to the test washbasin to
143 house dosing pumps and two 10-L polypropylene reservoirs for anolyte and catholyte. Each
144 reservoir supplied separate dosing pumps connected by 6 mm diameter polyvinylidene
145 fluoride flexible tubing at separate points to the wastewater pipe connected below the
146 washbasin U-bend. A 40 mm ball valve with an actuator, permitting automated valve
147 control, were fitted to the wastewater pipework downstream from the U-bend replacing the

148 manual valve used in preliminary experiments. The actuator and pumps was regulated by
149 an electronic process controller, which allowed the timing, duration and sequence of
150 activation of the actuator and pumps to be pre-programmed. The system is outlined
151 schematically in Figure 1.

152 Automated treatment cycles were timed for 07.00 h and began with the actuator closing the
153 valve on the wastewater outflow pipe. Following a 20 s delay a pump began dosing
154 catholyte into the system from the lowest point on the pipework upstream of the U-bend.
155 During this process, which took 5 min, catholyte slowly retro-fills the U-bend and causes
156 air and water from the U-bend to rise into the washbasin through the wastewater outlet
157 opening. Catholyte was left in situ for five min and then voided to waste by automated
158 opening of the valve. Following a 20 s delay the actuator closes the valve and following a
159 further 20 s delay a second pump doses anolyte into the system and the cycle proceeds as
160 per catholyte dosing. Anolyte was left in situ for 5 min and then voided to waste,
161 completing the cycle.

162

163 *Microbiological culture of U-bend samples*

164 Immediately following each of 35 ECA treatment cycles the interior surface of the
165 U-bends from the test and control washbasins were sampled through the cleaning ports
166 using sterile cotton wool swabs (Venturi, Transystem, Copan, Italy). In the case of 18
167 treatment cycles, additional samples were taken 24 h post-treatment. Swabs were dipped in
168 sodium thiosulphate (0.5% w/v) solution before use to neutralise residual FAC and were
169 processed immediately.^{10,11} The tip of each was cut off and suspended in 1 ml of sterile
170 water, vortexed for one min, serially diluted and 100 µl aliquots spread in duplicate onto
171 Columbia blood agar (CBA) (Lip Diagnostic Services, Galway, Ireland), R2A agar (Lip),
172 Pseudomonas aeruginosa selective agar (PAS) (Oxoid Ltd., Basingstoke, United Kingdom)
173 containing ceftrimide (200 µg/ml) and sodium nalidixate (15 µg/ml) and Pseudomonas
174 selective agar (PA) (Oxoid) containing ceftrimide (10 µg/ml), fusidic acid (10 µg/ml), and
175 cephaloridine (50 µg/ml). PAS and PA agar plates were incubated at 30°C for 48 h, CBA
176 plates were incubated at 37°C for 48 h and R2A agar plates were incubated at 20°C for 10
177 days. R2A agar permits the recovery of significantly more bacteria from water or aqueous
178 environments than conventional, more nutritious culture media, at 20°C. Higher bacterial
179 counts are recovered on R2A following prolonged incubation (i.e. 10 days) ensuring the

180 maximum number of bacteria are detected. The inclusion of sodium pyruvate in R2A
181 medium also leads to enhanced recovery of chlorine stressed bacteria.¹⁰
182 Colonies were counted using a Flash and Go™ automatic colony counter (IUL Instruments
183 Ltd., Barcelona, Spain). Results were recorded as colony forming units (CFUs) per swab.
184 The characteristics of different colony types recovered and their relative abundance were
185 recorded and selected colonies of each were stored at -80°C in Microbank cryovials (Prolab
186 Diagnostics, Cheshire, United Kingdom) prior to identification.

187 *Identification of bacterial isolates*

188 Bacterial identification was determined by comparing small ribosomal subunit
189 rRNA gene sequences with consensus sequences for individual bacterial species in the
190 EMBL/GenBank databases.^{9,10}

191 *Statistical Analysis*

192 Statistical analyses were performed using GraphPad Prism v.5 (GraphPad Software,
193 San Diego, USA). Statistical significance was determined using an unpaired, two-tailed
194 Student's t-test with 95% confidence interval (C.I.).

195

195 **Results**

196

197 *Manual U-bend treatment with ECA solutions*

198 Microbiological sampling of the three control washbasin U-bends tested once
199 weekly for five consecutive weeks showed all were heavily contaminated. The mean
200 average bacterial density on CBA and R2A agars was $2.41 \times 10^5 (\pm 2.5 \times 10^5)$ and 1×10^6
201 $(\pm 9.9 \times 10^5)$ CFU/swab, respectively, (CBA range $4.8 \times 10^3 - 7.6 \times 10^5$ CFU/swab; R2A
202 range $9.2 \times 10^3 - 3.8 \times 10^6$ CFU/swab). In contrast, swab samples from the three test
203 washbasin U-bends treated with ECA solutions once weekly for five consecutive weeks
204 showed significant reductions in bacterial density on both media relative to the untreated U-
205 bends (CBA $P < 0.01$; R2A $P < 0.005$). The mean average density on CBA and R2A agars
206 for the treated U-bends was $25.7(\pm 73.9)$ and $48.5(\pm 92.9)$ CFU/swab, respectively, (CBA
207 range 0-290 CFU/swab; R2A range 0-340 CFU/swab). These findings indicated that U-
208 bend contamination could be significantly reduced by completely filling U-bends with
209 catholyte followed by anolyte for short time periods.

210

211 *Automated U-bend treatment with ECA solutions*

212 An automated system was developed enabling the U-bend of one of the test
213 washbasins to be completely filled with catholyte followed by anolyte for set time periods
214 followed by automated voiding to waste (Figure 1). The U-bend was subjected to three
215 weekly treatment cycles (Monday, Wednesday and Friday) with catholyte for five min
216 followed by anolyte for a further five min for a three-month period (35 cycles in total).
217 Neutralised swab samples were taken following each treatment cycle and the quantitative
218 density of bacteria recovered determined on a variety of culture media. An untreated
219 washbasin U-bend was used as a parallel control. The average bacterial density from the
220 control U-bend throughout the study period on CBA, R2A, PAS and PA media was in
221 excess of 1×10^5 CFU/swab in each case (Table 1). In contrast, the average bacterial
222 density from the ECA-treated U-bend on CBA, R2A, PAS and PA was $2.1(\pm 4.5)$,
223 $13.1(\pm 30.9)$, $0.7(2.8)$ and $0(\pm 0)$ CFU/swab, respectively (Table 1). For all four media the
224 five-log reduction in bacterial density achieved between the ECA-treated and untreated U-
225 bends was significant (Table 1). In the case of 18/35 decontamination cycles, additional U-

226 bend samples were taken 24 h after ECA treatment, which revealed minimal contamination
227 relative to untreated controls (Table 1). Culture analysis of neutralised swab samples taken
228 from the interior surface of the washbasin covered by ECA solutions during automated
229 treatment showed the absence of contamination immediately after ECA treatment (data not
230 shown).

231 The bacterial species identified from different colony types cultured from the test and
232 control U-bends throughout the study included *Comamonas testosteroni*, *Micrococcus*
233 *luteus*, *P. aeruginosa*, *Pseudomonas putida*, *Staphylococcus warneri*, *Staphylococcus*
234 *epidermidis*, *Stenotrophomonas maltophilia* and *Sphingomonas paucimobilis*.
235 *Pseudomonas aeruginosa* accounted for approximately 50% of the bacterial counts
236 recovered from control U-bend samples throughout the study and was present in 100% of
237 samples. It was not recovered from any ECA-treated U-bend samples.

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241 *Lack of adverse effects on wastewater network*

242 During the study, routine checks on washbasin U-bend and wastewater pipework
243 showed no adverse affects. No leaks or corrosion were observed on pipework, pumps,
244 valves or other components.

245

245 **Discussion**

246

247 Washbasin and sink U-bends are a ubiquitous reservoir of microbial contamination
248 in healthcare environments. This study investigated whether ECA solutions could be used
249 to minimise microbial contamination in washbasin U-bends using regular automated
250 treatment. Because water stagnation in U-bends can result in particularly dense biofilms we
251 harnessed the properties of both ECA solutions generated by electrochemical activation of a
252 dilute salt solution for U-bend disinfection including the detergent properties of catholyte
253 (containing NaOH) and the disinfectant properties of anolyte (containing HOCl). Pilot
254 studies were undertaken with three identical test and three control washbasins with
255 polypropylene U-bends that had a manual valve fitted on the wastewater outflow pipework
256 enabling the U-bends to be completely filled with ECA solutions or water. The treated U-
257 bends showed significant reductions ($P < 0.01$) in average bacterial density from between
258 10^5 - 10^6 to <100 CFU/swab.

259 Based on the pilot data we developed a system for automated U-bend treatment with
260 ECA solutions. The protocol for this was the same as the pilot study except that the entire
261 process was automated (Figure 1). Like the pilot study the average bacterial density from
262 the control U-bend during the three month study period was $>1 \times 10^5$ CFU/swab (Table 1),
263 whereas microbial contamination of the ECA-treated U-bend was virtually eliminated
264 (Table 1). Furthermore, sampling of U-bends 24 h after treatment showed minimal
265 contamination relative to controls (Table 1). The use of disinfectants such as bleach to
266 reduce or control microbial contamination of washbasin wastewater outlets and U-bends
267 has been previously explored. A sink flushing protocol developed by La Forgia and
268 colleagues to control an *Acinetobacter baumannii* ICU outbreak involved regularly flushing
269 a gallon of diluted bleach through each sink's wastewater outlet and U-bend.² Although
270 effective in controlling the outbreak this approach was labour intensive and required the
271 manual intervention of healthcare workers who had to handle large volumes of bleach,
272 which also had to be stored on site. Our automated system does not require direct staff
273 involvement in U-bend disinfection and ECA solutions are generated on demand. Our pilot
274 study found that a once weekly U-bend ECA treatment regimen significantly reduced
275 bacterial contamination to an average of $25.7(\pm 73.9)$ CFU/swab on CBA. Using the
276 automated system with three disinfection cycles weekly increased this efficacy with
277 bacterial contamination reduced to an average of $2.1(\pm 4.5)$ CFU/swab on CBA. Similar

278 findings by Roux and co-workers using bleach to control beta-lactamase-producing-
279 Enterobacteriaceae in sink wastewater outlets found that daily disinfection was significantly
280 more effective than weekly.⁴ A recent laboratory study suggested the use of copper
281 pipework in sink wastewater outlets may exhibit higher antimicrobial activity than
282 commonly used polyvinylchloride pipework.¹² However, it is unknown if the antimicrobial
283 effect of copper would be sustained in the long term as copper can develop oxidation layers
284 over time.

285 *Pseudomonas aeruginosa* was the most prevalent and abundant bacterial species
286 present in untreated U-bend samples accounting for approximately 50% of counts
287 recovered and present in 100% of untreated U-bend samples investigated in agreement with
288 the high prevalence of *P. aeruginosa* (86.2%) detected in U-bends by Cholley *et al.*¹³ In the
289 present study *P. aeruginosa* was not detected in samples from ECA-treated U-bends.
290 Cholley *et al.* suggested that although the daily use of bleach appeared to be an effective
291 means of U-bend disinfection it would be prudent to assess its efficacy in the long-term.
292 We have previously shown that ECA anolyte is a consistently effective disinfectant for
293 minimising microbial contamination of dental unit waterlines and washbasin output water
294 in the long term (> 2 years). In the present study we exploited the detergent/cleaning
295 properties of catholyte and the disinfectant properties of anolyte to degrade U-bend biofilm.
296 Neither catholyte or anolyte alone are effective at minimising microbial contamination of
297 U-bends (data not shown). Anolyte is inactivated in the presence of organic material and by
298 their very nature U-bends can harbour a lot of organic material.¹⁰ Previous studies with
299 self-disinfecting U-bends used a heating element to heat U-bend wastewater to $\geq 85^{\circ}\text{C}$
300 followed by vibration cleaning was found to be effective over a 13-month study period.
301 However, U-bend water heating activated when water temperature dropped to 75°C and
302 when new water entered the U-bend. This could incur significant energy costs. Our
303 automated system only requires electricity for approximately 12 min per disinfection cycle
304 to activate the pumps and valves.

305 The results of this study show that complete filling of washbasin U-bends with ECA
306 solutions can virtually eliminate microbial contamination and the system is programmable
307 to activate when washbasins are not in use (i.e. late at night) and as frequently as desired.
308 We are currently in the process of adapting the automated system to treat multiple
309 washbasin U-bends as well as integrating a variety of safety measures to ensure patients or
310 staff are not exposed to ECA solutions during treatment cycles. In our hospital, anolyte

311 solutions have been used for several years to consistently minimise microbial
312 contamination of water networks and taps, so no additional costs relating to acquirement of
313 the ECA solutions were incurred.⁹⁻¹¹ The additional once off costs for automated U-bend
314 treatment for up to 10 washbasin U-bends would be approximately €5,000, with annual
315 running costs of approximately €200 and staff time requirement of about 20 minutes per
316 week.

317 In conclusion, microbial contamination of washbasin U-bends can be consistently
318 minimised by automated ECA treatment.

319

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322 generator used in this study.

323

324 **Conflict of interest statement**

325 None declared.

326

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330

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Figure legend

Schematic diagram of automated washbasin U-bend treatment. Treatment cycles are initiated by the programmable process controller. At the start of each cycle the actuator closes the valve on the wastewater outflow pipe. After a 20 s delay, catholyte is pumped into the pipework below the washbasin U-bend until the pipework and U-bend are completely filled to a level a few cm above the washbasin wastewater outlet. After 5 min the valve opens and the catholyte is voided into the wastewater stream. Then the valve closes and after a 20 s delay anolyte is pumped into until the pipework and U-bend and the cycle proceeds as for catholyte dosing. After 5 min the anolyte is voided into the wastewater stream completing the cycle.

Table I

Comparative bacterial counts from a washbasin U-bend subjected to automated treatment with ECA solutions and an untreated U-bend over three months

Agar medium	U-bend ^a	Average bacterial counts in CFU/swab	SD	Range of CFU/swab	<i>P</i> value
Counts ^b immediately after treatment (n = 35)					
CBA	Treated	2.06	4.46	0-20	<0.0001
	Untreated	1.24 x 10 ⁵	1.44 x 10 ⁵	6.0 x 10 ³ - 7.0 x 10 ⁵	
R2A	Treated	13.09	30.87	0-125	<0.05
	Untreated	3.41 x 10 ⁵	8.75 x 10 ⁵	3.5 x 10 ³ - 5.0 x 10 ⁶	
PA	Treated	0.74	2.79	0-15	<0.001
	Untreated	1.09 x 10 ⁵	1.56 x 10 ⁵	2 x 10 ³ -7.80 x 10 ⁵	
PAS	Treated	0	0	0	<0.05
	Untreated	1.02 x 10 ⁵	2.49 x 10 ⁵	2 x 10 ³ - 1.3 x 10 ⁶	
Counts ^b 24 h after treatment (n = 18)					
CBA	Treated	35.28	83.48	0-350	<0.0009
	Untreated	1.18 x 10 ⁵	1.24 x 10 ⁵	9.5 x 10 ³ - 5 x 10 ⁵	
R2A	Treated	82.22	199.4	0-845	<0.0075
	Untreated	1.76 x 10 ⁵	2.46 x 10 ⁵	7 x 10 ³ - 1 x 10 ⁶	
PA	Treated	16.11	39.95	0-155	<0.0019
	Untreated	5.9 x 10 ⁴	6.82 x 10 ⁴	1 x 10 ³ - 2 x 10 ⁵	
PAS	Treated	13.89	33.81	0-125	<0.0093
	Untreated	3.84 x 10 ⁴	5.56 x 10 ⁴	1 x 10 ³ -2 x 10 ⁵	

^aThe test U-bend was subjected to 35 cycles of automated cleaning and disinfection with catholyte and anolyte over three months. Three treatment cycles were undertaken each week on Monday, Wednesday and Friday mornings after each of which the U-bend was sampled immediately with neutralised swabs. In the case of 18 of these cycles, additional samples were taken 24 h after treatment. The non-disinfected control U-bend was sampled on the same occasions.

^bBacterial counts were determined quantitatively.

Abbreviations: CFU, colony forming units; CBA, Columbia blood agar; R2A, R2A agar; PA, *Pseudomonas* spp. selective agar; PAS, *P. aeruginosa* selective agar; SD, standard deviation.

Figure 1

