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**DETERMINISM, ALEATORISM AND TRADITION:
THE RELATIONSHIP BETWEEN THE ANALYSIS AND
COMPOSITIONAL TECHNIQUE OF SELECTED MUSIC FROM 1954-64**

A Thesis in Two Volumes

by

JOHN McLACHLAN

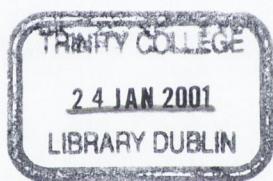
**A THESIS SUBMITTED IN CANDIDACY FOR THE DEGREE OF
DOCTOR IN PHILOSOPHY**

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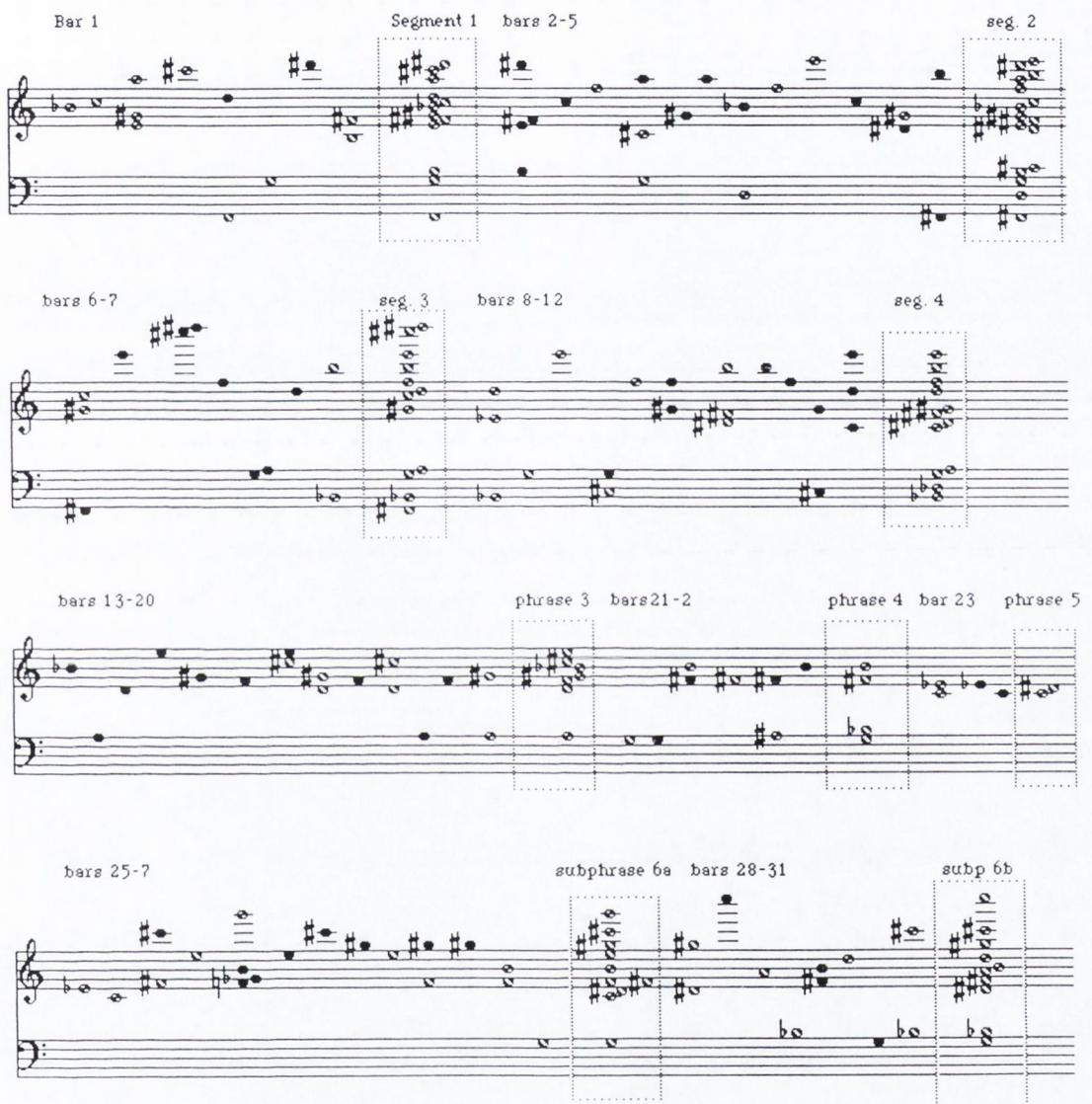


Fig. B. 2. All of the pitches in *Bourreaux* and their phrase aggregates

The musical score consists of ten staves of music, each with two voices (treble and bass). The score is divided into sections by vertical dashed lines and labels:

- subp. 6i**: Bars 61-7
- subp. 6j**: Bars 68-74
- phrase 7**: Bars 79-80
- bars 81-4**
- phrase 8b**: Bars 85-6
- ph. 9a**
- bars 87-90**
- phrase 9b**: Bars 91-4
- ph. 10a**: Bar 94
- ph. 10b**

Arrows point from the labels to specific measures in the score. The music features a variety of time signatures, including common time, 6/8, 7/8, and 12/8, with frequent key changes indicated by key signatures and accidentals.

Fig. B. 2. *continued*

The musical score consists of six staves of music, each with a treble clef and a bass clef. The sections are labeled as follows:

- subp. 6i**: Bars 61-7
- bars 61-7**
- subp. 6j**: Bars 68-74
- bars 75-8**
- phrase 7**: Bars 79-80
- phrase 8a**
- bars 81-4**
- phrase 8b**
- ph. 9a**
- bars 87-90**
- phrase 9b**
- bars 91-4**
- ph. 10a**
- b. 94**
- ph. 10b**

Downward arrows indicate transitions between sections.

Fig. B. 2. *continued*

The musical score consists of three staves of music. The first staff is labeled "Section 1" and "section 2". The second staff is labeled "(section 2)". The third staff is labeled "section 2" and "section 3". Each staff contains two measures of music. The music is composed of vertical stacks of notes, where each note has a small circle inside it. The notes are primarily in the treble clef, with some bass clef notes appearing in the lower staff. The key signature changes frequently, indicated by sharp (#) and flat (b) symbols. Measure 1 of the first staff starts with a sharp key signature. Measure 2 of the first staff starts with a double sharp key signature. Measure 1 of the second staff starts with a double sharp key signature. Measure 2 of the second staff starts with a double sharp key signature. Measure 1 of the third staff starts with a double sharp key signature. Measure 2 of the third staff starts with a double sharp key signature.

Fig. B. 3. The aggregates

Fig. B. 8. Dynamics in the last six subphrases of S₂: bars 43-74

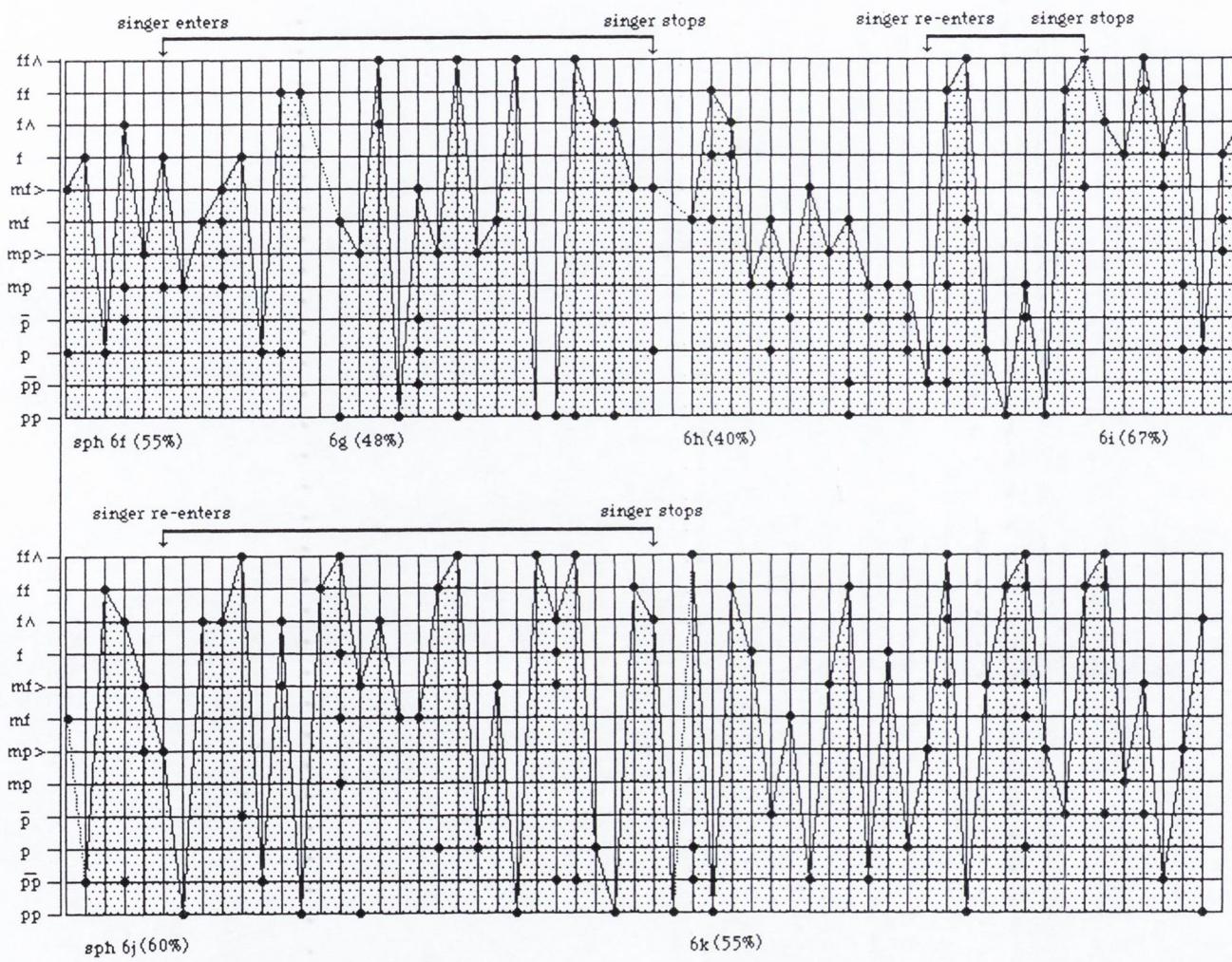


Fig. B. 11. First derived series

g \natural

f $\#$

f \flat

c \sharp

c \flat

Fig. B. 13. Isometries in first derived series

The musical score for the Second derived series is composed of eight staves of handwritten notation. The staves are labeled with their respective measures and keys:

- Measure 1 (d4): Starts with a dynamic of $\frac{f}{f}$, followed by a series of eighth and sixteenth notes.
- Measure 2 (g#): Continues with eighth and sixteenth notes, ending with a dynamic of $\frac{pp}{pp}$.
- Measure 3 (b): Starts with a dynamic of $\frac{f}{f}$, followed by eighth and sixteenth notes.
- Measure 4 (c#): Starts with a dynamic of $\frac{p}{p}$, followed by eighth and sixteenth notes.
- Measure 5 (e4): Starts with a dynamic of $\frac{ff}{ff}$, followed by eighth and sixteenth notes.
- Measure 6 (b4): Starts with a dynamic of $\frac{p}{p}$, followed by eighth and sixteenth notes.
- Measure 7 (f#): Starts with a dynamic of $\frac{mf}{mf}$, followed by eighth and sixteenth notes.
- Measure 8 (g4): Starts with a dynamic of $\frac{p}{p}$, followed by eighth and sixteenth notes.

The score uses standard musical notation with five-line staff paper. Dynamics include f , ff , p , pp , mf , and mp . Note heads are represented by various symbols like circles, triangles, and crosses, and stems are either vertical or horizontal. Beams group notes together, and vertical stems with arrows indicate downward direction.

Fig. B. 14. Second derived series

series by derivation

The score consists of 18 staves of handwritten musical notation. The first staff is labeled 'Bars 1-2' and 'd4'. The second staff is labeled '2-4 f' and 'g#'. The third staff is labeled '3-5 b''. The fourth staff is labeled '6-8 c#' and '6b'. The fifth staff is labeled '8-10 a4' and 'g#'. The sixth staff is labeled '10-14 e4' and '6b'. The seventh staff is labeled '13-17, 21-24 (voice)' and 'b4'. The eighth staff is labeled '17-20 e4' and 'd4'. The ninth staff is labeled '18-24 f#' and 'f#'. The tenth staff is labeled '25-31 f4' and 'g4'. The eleventh staff is labeled '26-33 g4' and 'f4'. The score includes various dynamics such as pp, f, ff, mf, and p, along with specific performance instructions like 'mf > #drr f' and 'pp'. The time signature changes frequently between 4/4, 2/4, and 6/8.

Fig. B. 16. Third derived series, as they appear in bars 1-33

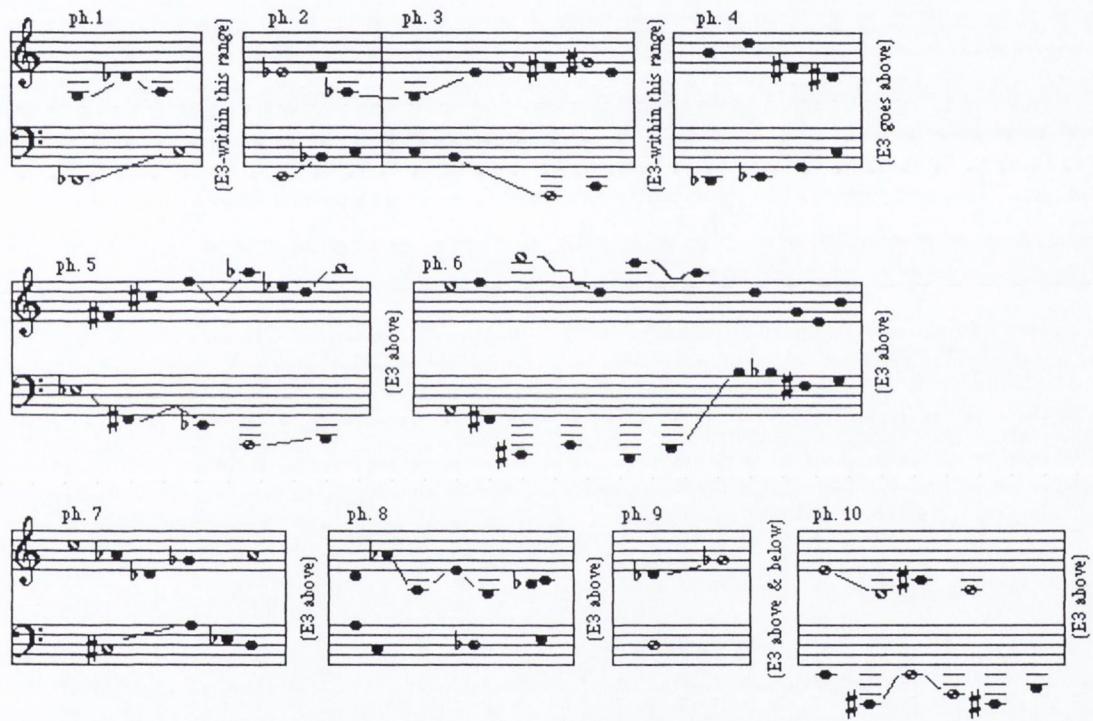


Fig. C. 5. Register in Theme



Fig. C. 8. Register in E3

($\text{J} = 96$) (N) (R) (C) (N) (C) ($\text{J} = 64$)

(C) (N) (R) (C) (N) (C) ($\text{J} = 144, \text{d} = 72$) (R.H.) (C) *sempre* (R.H., C) *sempre*

poco a poco cresc. L.H. (C) *only*

(C) ($\text{J} = 108$) (d = 108) (C) ($\text{J} = 162$) (N) (both hands)

(N) *sempre (L.H. only)* (C) (N) (both hands)

cresc. ff

Fig. C. 18. Canaries, illustrating metric modulation

top line contours

top line contours

ph 1 trpt
ph 2 trpt
ph 2 hn
ph 3 hn
ph 3 trpt
ph 4 hn
ph 4 trpt
ph 4 trmb

Lower part contours

ph 1 trpt
ph 2 trmb
ph 2 hn
ph 3 hn
ph 3 trpt
ph 4 hn
ph 4 trpt

Fig. C. 20. Isomorphisms in E3/mosaic texture

Bar 76

Vertical 3 5 3 5 5 047 036 015 037 025 025 026 025 025 027 025

047

2 5 3 5 3 5 4 2 2 4 5 2 1 4 4 2 1 5

Fig. C. 21. Sonorities in E3, bars 76-8

INTRODUCTION

10

$d = 54$

$d = 81$

$d = 324$

$\text{r}^{\frac{3}{2}} = 486$

20

$\overline{JJJJ} = \overline{MM}$

$r \approx 270$

$d = 270$

$F = 540$

30

$\text{J} = \text{P}$

40

$\text{J} = \text{J THEME}$

$\text{r}^{\frac{6}{4}} = 540$

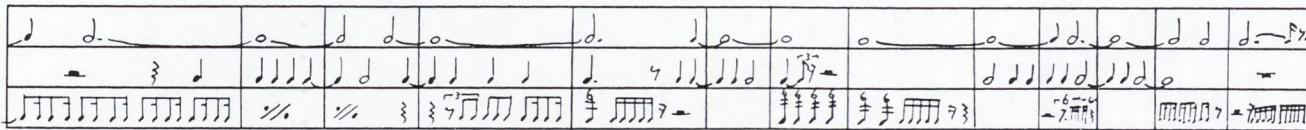
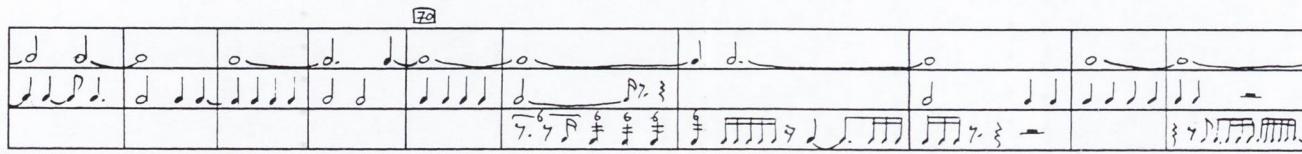
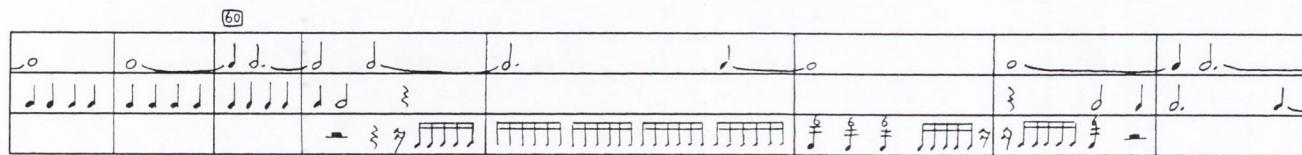
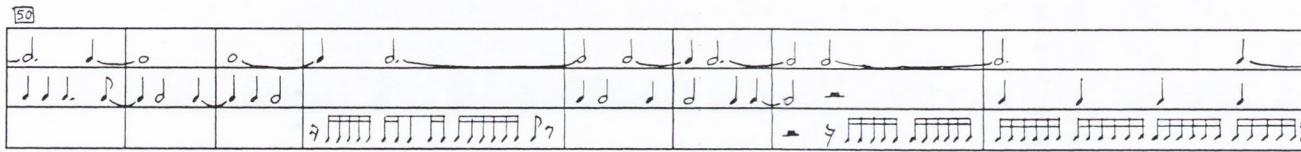
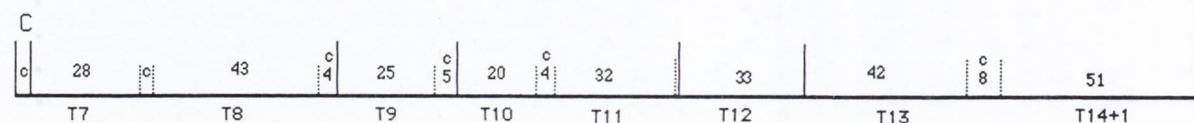
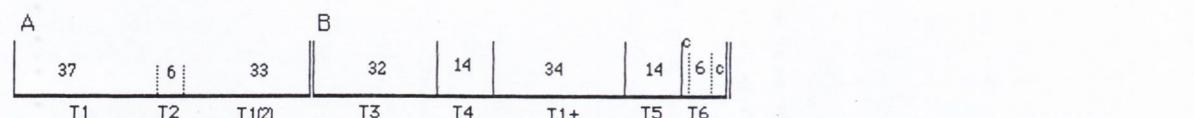


Fig. C. 22. Rhythm of the elements

All existing tetrachords	(R)	(S)	(3)
	Set based on I.V. similarity to a.i.t.	Subgroup [...2...] of (R)	All [...2 ⁺ ...] chords
4-1 0,1,2,3 [321000]			
4-2 0,1,2,4 [221100]			
4-3 0,1,3,4 [212100]			•
4-4 0,1,2,5 [211110]	•		
4-5 0,1,2,6 [210111]	•		
4-6(12) 0,1,2,7 [210021]			
4-7(12) 0,1,4,5 [201210]			
4-8(12) 0,1,5,6 [200121]			
4-9(6) 0,1,6,7 [200022]			
4-10(12) 0,2,3,5 [122010]			•
4-11 0,1,3,5 [121110]	•		
4-12 0,2,3,6 [112101]	•	•	•
4-13 0,1,3,6 [112011]	•	•	•
4-14 0,2,3,7 [111120]	•		
4-Z15 0,1,4,6 [111111]	•		
4-16 0,1,5,7 [110121]	•		
4-17(12) 0,3,4,7 [102210]			
4-18 0,1,4,7 [102111]	•	•	•
4-19 0,1,4,8 [101310]			
4-20(12) 0,1,5,8 [101220]			
4-21(12) 0,2,4,6 [030201]			
4-22 0,2,4,7 [021120]			
4-23(12) 0,2,5,7 [021030]			
4-24(12) 0,2,4,8 [020301]			
4-25(6) 0,2,6,8 [020202]			
4-26(12) 0,3,5,8 [012120]			•
4-27 0,2,5,8 [012111]	•		•
4-28(3) 0,3,6,9 [004002]			•
4-Z29 0,1,3,7 [111111]	•		

Fig. C. 27. Related tetrachords

Introductory Movement



Main Movement

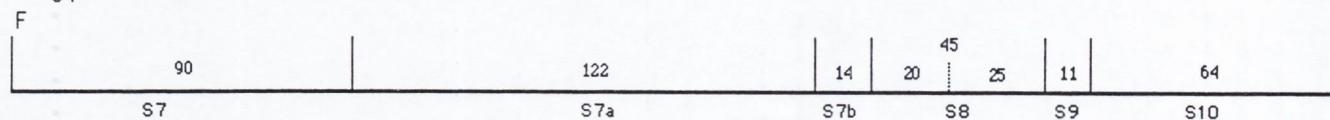
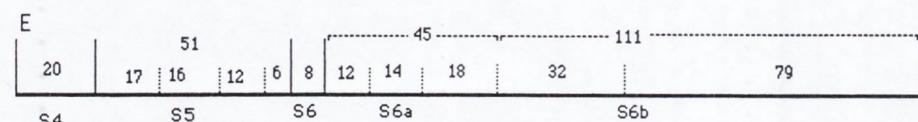
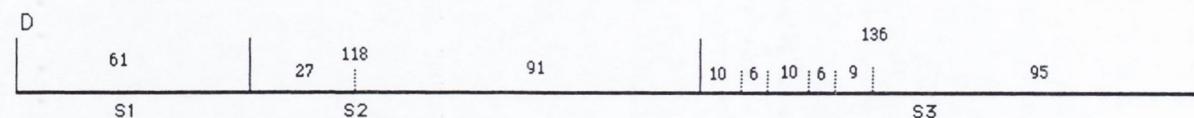
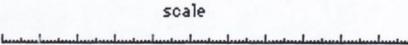


Fig. L. 1. String quartet, overview of sections



	No. of pitches fixed few	No. of pitches free many	No. of motifs 1 2 3 more	Registral gesture unidirection falling	No. of insts 1 2, 3 4	Harmonic type $\frac{1}{4}$ tone $\frac{1}{2}$ tone tone 3rdsp. 5th tritone
T1	x	x		x	x	x x
T2	x	x		x	x	x
T3	x	x		x	x	x x x
T4	x	x		x	x	x x
T5	x	x		x	x	x x x
T6	x	x		x	x	x
T7	x	x		x	x	x x x x
T8	x			x	x	x x x
T9	x	x	x	x	x	x x x x
T10	x	x		x	x	x x
T11	x	x		x	x	x x x
T12	x	x		x	x	x x x
T13	x	x		x	x	x x x x
T14	x		x		x	x x

Fig. L. 2. Gestural weighting in the Introductory movement

Musical score for four staves:

- Vn II**: Violin II part.
- Va**: Violin I part.
- Vc**: Cello part.
- RR**: Double Bass part.

The score is divided into two systems by a vertical bar line. Each system contains ten measures. Measures are labeled with letters (a) through (j) above the staff.

Measure 1: Vn II: Measure (a). Va: Measure (a). Vc: Measure (a). RR: Measure (a).

Measure 2: Vn II: Measure (b). Va: Measure (b). Vc: Measure (b). RR: Measure (b).

Measure 3: Vn II: Measure (c). Va: Measure (c). Vc: Measure (c). RR: Measure (c).

Measure 4: Vn II: Measure (d). Va: Measure (d). Vc: Measure (d). RR: Measure (d).

Measure 5: Vn II: Measure (e). Va: Measure (e). Vc: Measure (e). RR: Measure (e).

Measure 6: Vn II: Measure (f). Va: Measure (f). Vc: Measure (f). RR: Measure (f).

Measure 7: Vn II: Measure (g). Va: Measure (g). Vc: Measure (g). RR: Measure (g).

Measure 8: Vn II: Measure (h). Va: Measure (h). Vc: Measure (h). RR: Measure (h).

Measure 9: Vn II: Measure (i). Va: Measure (i). Vc: Measure (i). RR: Measure (i).

Measure 10: Vn II: Measure (j). Va: Measure (j). Vc: Measure (j). RR: Measure (j).

Measure 11: Vn II: Measure (a). Va: Measure (a). Vc: Measure (a). RR: Measure (a).

Measure 12: Vn II: Measure (b). Va: Measure (b). Vc: Measure (b). RR: Measure (b).

Measure 13: Vn II: Measure (c). Va: Measure (c). Vc: Measure (c). RR: Measure (c).

Measure 14: Vn II: Measure (d). Va: Measure (d). Vc: Measure (d). RR: Measure (d).

Measure 15: Vn II: Measure (e). Va: Measure (e). Vc: Measure (e). RR: Measure (e).

Fig. L. 3. Idealised version of T₃

The score consists of three staves of music. The first staff is labeled "phase 1" and the second "phase 2". The third staff begins with a clef and key signature, followed by "etc.". Below each staff are numerical sequences representing vertical harmonies.

Phase 1:

- Top staff: $\textcircled{0}$, $\textcircled{0}$, $\textcircled{0}$, $\textcircled{\#0}$
- Bottom staff: $\textcircled{\#0}$, $\textcircled{0}$, $\textcircled{0}$

Phase 2:

- Top staff: $\textcircled{\#0}$, $\textcircled{\#0}$, $\textcircled{0}$, $\textcircled{0}$, $\textcircled{\#0}$, $\textcircled{\#0}$, $\textcircled{0}$, $\textcircled{0}$, $\textcircled{\#0}$
- Bottom staff: $\textcircled{\#0}$, $\textcircled{0}$, $\textcircled{\#0}$, $\textcircled{0}$, $\textcircled{0}$, $\textcircled{b0}$, $\textcircled{0}$, $\textcircled{b0}$, $\textcircled{0}$

Vertical Harmonies:

- Phase 1: $0, \frac{1}{2}, 0, 1, 0, \frac{1}{2}, 1$
- Phase 2: $0, \frac{1}{2}, 4, 0, \frac{1}{2}, 3, 0, 3, 5, \frac{1}{2}, 0, 2, 7, 0, 1, 2, 0, 2, 5, 0, 2, 7, 0, 1, 5, 0, 3, 7$
- Phase 3: $0, 1, 2, 0, 1, 5, 0, 1, 3, 0, 1, 5, 0, 2, 7, 0, 1, 2, 0, 1, 5, 0, 2, 4$

Fig. L. 4. Typical derived vertical harmonies in T_3

The score consists of two staves of music. The top staff shows a sequence of chords, some marked with asterisks (*). The bottom staff shows a sequence of asterisks (*).

Chords:

- Top staff: $\textcircled{\#0} \textcircled{\#0} \textcircled{\#0}$
- Bottom staff: $* * * * * * * * * * * *$

Fig. L. 4a. The 18 possible chords in T_3

A blank set of 18 horizontal lines (staves) for PAN-A3 notation, intended for the 18 possible chords in T_3 .

Handwritten musical score for four parts: V1, V2, Va, and RR. The score consists of five systems of music, each with a different clef and key signature. The parts are primarily composed of sixteenth-note patterns. Several measures are bracketed and labeled with 'loco' (locally) above them. A section of the score is labeled 'Example of repeat'. The score concludes with a section labeled 'etc.'

V1

V2

Va

RR

Example of repeat

etc.

Fig. L. 5. Idealised version of T₁₊ and T₅

(5) 40 measures/min.

vno I (d=120) ① pizz. ② ③ ④ ⑤ ⑥

vno II (d=160) pizz.

vla (d=120) pizz.

vc. (d=200) pizz.

pp 0,6 0,1 0,5 0,1 0,3 0,2 0,5 0,5
 (0,4,6) = =

vno I ⑦ ⑧ ⑨ ⑩ ⑪ ⑫

vno II

vla

vc. 0,6 0,5 0,14 0,5 0,3 0,2 0,5 0,3

Fig. L. 6. Simultaneously struck chords in T₇

9
 6 vno I (13) (14) (15) (16) (17) (18)
 2 vno II (13) (14) (15) (16) (17) (18)
 3 vla (13) (14) (15) (16) (17) (18)
 5 vc. (13) (14) (15) (16) (17) (18)
 = (0,1,5,7) (0,1,2,3)

T^o I
 vno I arco
 vno II quasif
 vla arco (n) wavy line
 vc. quasif arco
 quasif

Fig. L. 6. continued

The image shows a handwritten musical score for T_7 (heptatonic scale) across 18 staves. The score is organized into two systems. The first system consists of 10 staves, labeled bar 1 through bar 4, 5, 6, 7, 8-9, and 10. The second system consists of 8 staves, labeled 11, 12, 13, 14, 15, and 16-18. The notation uses a treble clef and includes various note heads with accidentals (sharps, flats, naturals). Some staves are marked with an asterisk (*) below them, indicating they represent eleven-note sets. A bracket above the 16-18 staves indicates they are part of the same system. The text "The grids marked * are eleven-note sets, C is the absent pitch." is written below the score.

Fig. L. 7. Apparent grids in T_7



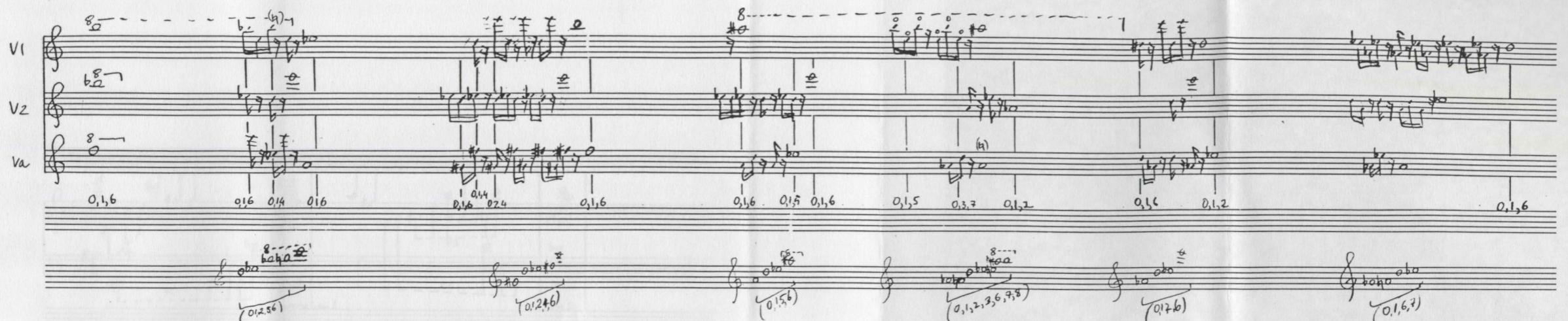
Fig. L. 8. Idealised version of T₈Fig. L. 9. Idealised version of T₉

Fig. L. 9. Idealised version of T₉

The score consists of six staves (V1, V2, Va, Vb, Vc, Vd) over four measures.

- Measure 1:** Starts with 'sost.' and 'acc.'
- Measure 2:** Starts with 'precipit.', 'sost.', and 'acc.'
- Measure 3:** Starts with 'rit.', 'precipit.', and 'acc.'
- Measure 4:** Starts with 'sost.', 'acc.', and 'rit.'

Fig. L. 10. Superchords in T₉

r.m. 14

(01234567) (01234)

V1
V2
Va
Vc

(12) gr11 (12) gr12 (8) gr13 (5) gr14

3x3x3x3 pass vertical harmonics
(81)

r.m. 15

V1
V2
Va
Vc

(12)

r.m. 16

V1
V2
Va
Vc

(12)

r.m. 17

V1
V2
Va
Vc

(12)

r.m. 18

V1
V2
Va
Vc

(12)

r.m. 19

V1
V2
Va
Vc

r.m. 20

V1
V2
Va
Vc

r.m. 21

V1
V2
Va
Vc

r.m. 22

V1
V2
Va
Vc

r.m. 23

V1
V2
Va
Vc

Fig. L. 13. Partitioned sets in S₂

HRC

Registered outer limits

static static static static static static

HRC

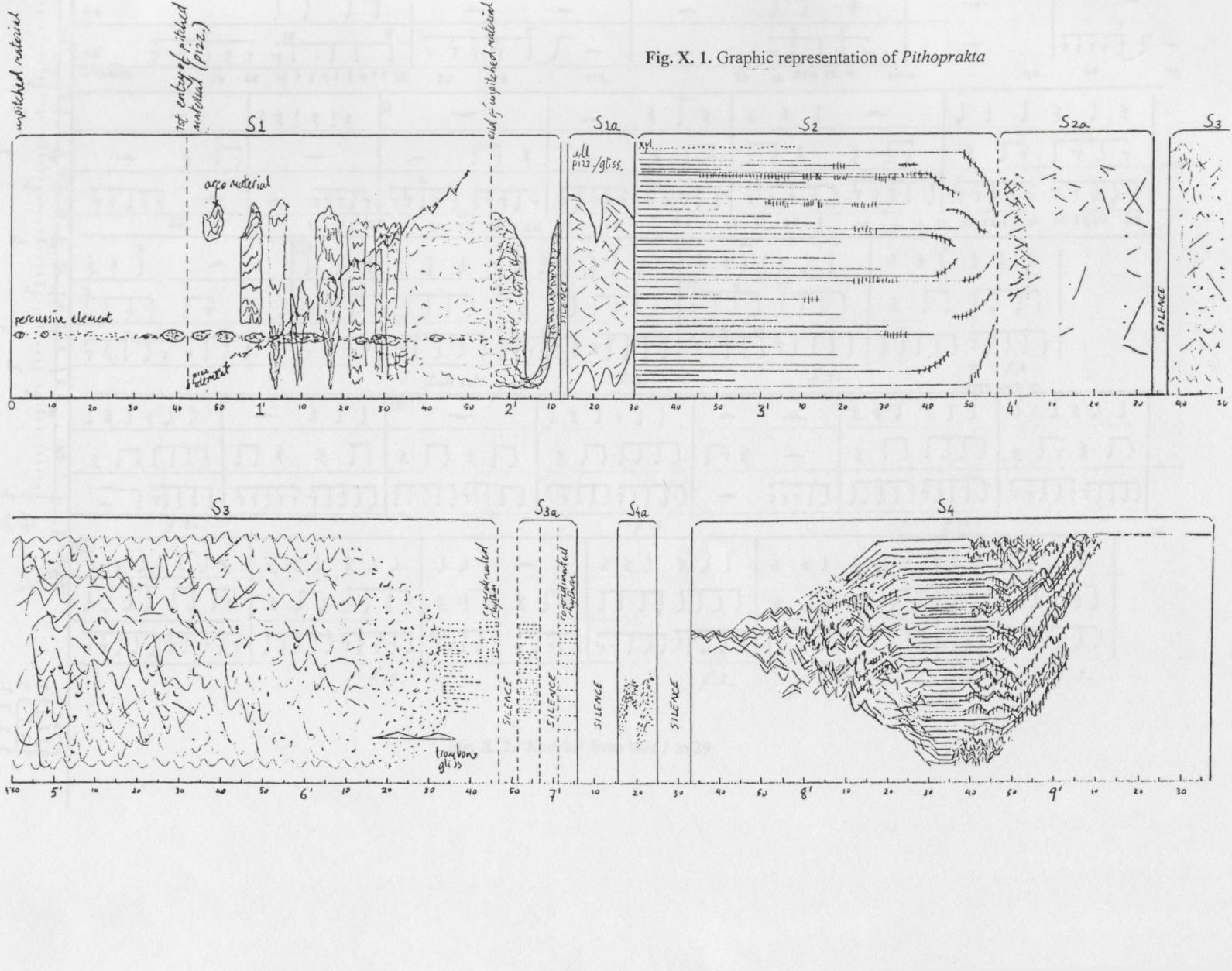
1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 1
90 17 15 13 14 9 17 1 1 9 1 1 9 1 1 1 1 1 1 23 1 59 42 18 16 30 12 6 44 22 1 14 12 9 6 || 33 36 10 1 6 45 9 15 14 28 45 76 22 11 10 23 24 57 52 20 21 36 83 22 24 61 55 57 36 25 10

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 1
62 31 18 24 9 18 4 7 14 32 9 7 14 9 16 4 14 9 16 7 14 9 16 7 14 14

static

static (cyclic)

Fig. L. 19. Proportional HRC, S₂



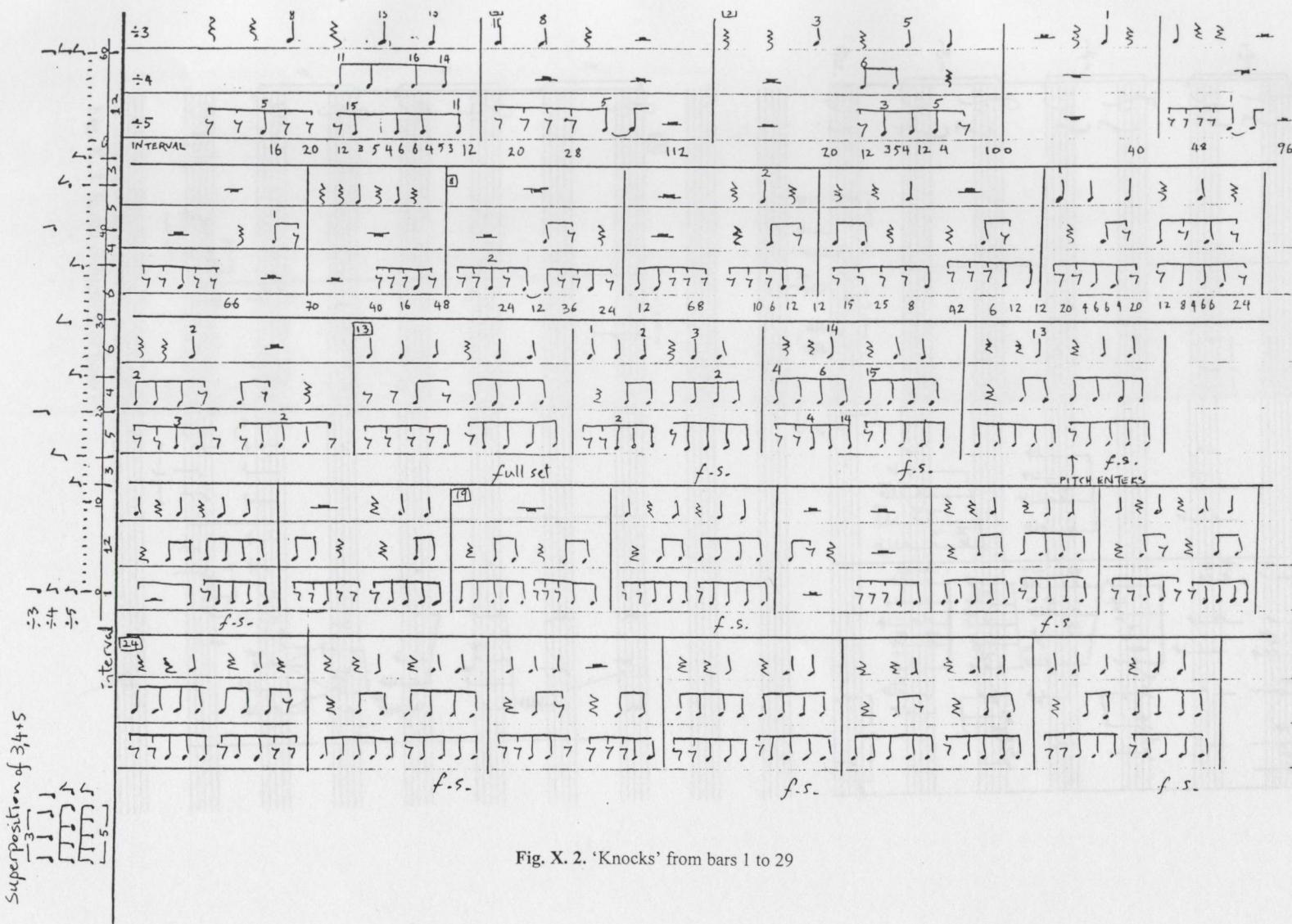


Fig. X. 2. 'Knocks' from bars 1 to 29

The image shows two pages of a handwritten musical score reduction. The top page, labeled [16], contains five staves of music for various instruments. The bottom page, labeled [26], continues the score with five staves. The music is written in a variety of clefs (G, F, C) and includes numerous rests, dynamic markings like 'f' (fortissimo), 'p' (pianissimo), and 'hp' (half-pianissimo), and performance instructions such as '3' and '5'. The score is highly detailed, showing complex harmonic progressions and rhythmic patterns.

Fig. X. 3. Score reduction of bars 16-46

Fig. X. 3. continued

[36]

P₁₂₂

[43]

Articulation/Timbre	Section							
	1 1-51	1a 52-59	2 60-104	2a 105-119	3 122-186	3a 189-195	4a 200-204	4 208-268
knocking on the body of the instrument	1-43				139-167			
woodblock (<i>ff</i>)	7 24 46-8		70 72		130 171	191		209 231 256
<i>pizz.</i> (<i>ff</i>)	15-41				130-3 146-8			
<i>arco</i> —all downbows ' <i>au talon</i> ' (<i>f</i>)	16-51							
<i>arco</i> —all upbows (<i>f</i>)	23(Vc)-51							
<i>gliss.</i> + <i>pizz.</i> (<i>fff</i>)		52-59						
long held notes <i>arco</i> (<i>mf</i>)			60-104					231-242
xyl, even pulse, repeated note (<i>mf</i>)			60-104					
repeated pitch <i>pizz.</i> (<i>ffff</i> / <i>ff</i>)			68-101		180-186	189-191		
<i>gliss</i> + repeating <i>pizz.</i> (<i>fff</i>)			97-104					210-218
<i>pizz.</i> + <i>arco gliss.</i> (<i>ff</i> , 2 insts share)				105-120				
<i>col legno frappé</i> (<i>ff</i> , <i>staccatiss.</i>)					122-171			
<i>col legno frotté</i> + <i>gliss.</i> (<i>pp</i>)					122-171			
<i>arco</i> (<i>pp</i>)					122-171			
<i>pizz.</i> (<i>p</i>)					122-171			
<i>arco brefs</i> (<i>ff</i>)					125-7 143-5			
<i>gliss. arco norm</i> (<i>fff</i>)					and 162			
trombones <i>gliss.*</i> (<i>ppp</i>)					154 158-62			
<i>col legno frappé</i> (<i>fff</i>)					and 164-6			
repeated pitch <i>col leg. frappé</i> (<i>fff</i>)					172-183			
unidirectional <i>pizz.</i> group (<i>fff</i>)					172-179			
unidirectional <i>col legno frappé</i> group (<i>fff</i>)					180-182	193-195		
<i>gliss. arco con sord.</i> (<i>p</i>)							200-201	208-237
<i>arco tremolo sul pont</i> + <i>gliss.</i> (<i>p</i>)							202-204	212-230
" " " "								239-250
<i>col legno frappé</i> + <i>trem. gliss.</i> (<i>ff</i>)								218-230
long held notes <i>arco</i> (<i>fff</i> , <i>con sord</i>)								231-242
harmonic, held note (<i>fff</i>)								250-267
harmonic, tremolo on single pitch (<i>fff</i>)								251-268

*the trombones are creating a special effect of tuning beats, which change frequency as trombone 1 glissandos very slowly away from trombone 2's held pitch, and back again.

Fig. X. 6. Articulation/Timbre in *Pithoprakta*

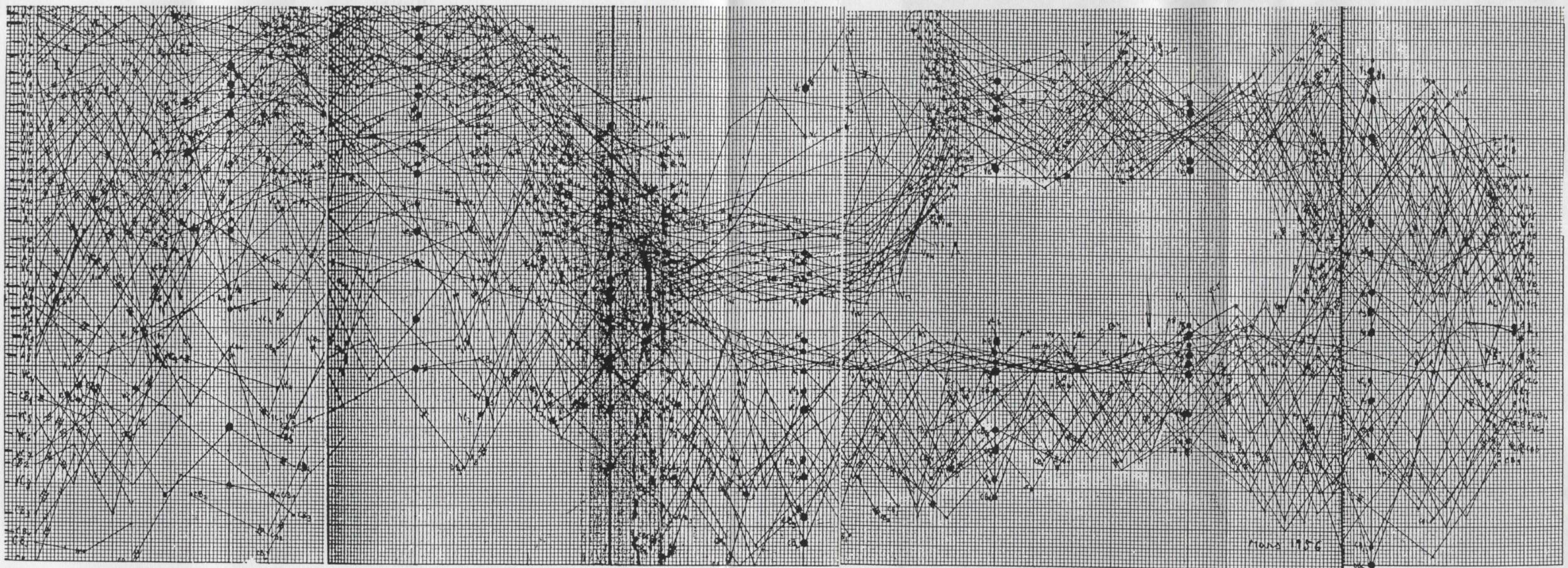


Fig. X. 9. Graph of S_{1a}

The image shows three staves of musical notation from a score. The top staff is for 'Vn I/4' (Violin I/4) in treble clef, featuring six horizontal lines. It contains two groups of notes: the first group has a bracket above it labeled '5' and includes note heads with '8' and '1' above them; the second group also has a '5' bracket and includes note heads with '8' and '1' below them. Below the staff are the numbers '24 20 32 5 34 34 8 27'. The middle staff is for 'Vn I/9' (Violin I/9) in treble clef, with six horizontal lines. It features a series of eighth-note patterns. Below the staff are the numbers '11 13 17 11 10 26 30 22 18 24 4 12 9'. The bottom staff is for 'Va 2' (Double Bass 2) in bass clef, with five horizontal lines. It shows a series of notes with various rests and dynamic markings like 'p' (piano). Below the staff are the numbers '16 5 12 20 11 21 37 6 17 18 22 26 11'. Brackets above the notes indicate rhythmic groupings: '3' for groups of three notes, '8' for groups of eight notes, and '5' for groups of five notes.

Fig. X. 12. Typical lines from bars 122-4

5
6
7
8
9
10
11
12

All (0,1,2,3,4)

pizz. 5 5 5

pizz. fff 5 5 5

200

pizz. 3 3 3 Sourd.

pizz. fff 3 3 3 Col legno frappe'

All (0,1,2,3,4)

(0,1,4,5,6)

pizz. 9 1 3 4 5 9 1,2,5,6 Col legno frappe'

pizz. fff 9 1 3 4 5 9 1,2,5,6 Sourd.

pizz. fff 9 1 3 4 5 9 1,2,5,6 Sourd.

pizz. fff 9 1 3 4 5 9 1,2,5,6 Col legno frappe'

pizz. fff 9 1 3 4 5 9 1,2,5,6 Col legno fff frappe'

pizz. fff 9 1 3 4 5 9 1,2,5,6 Col legno fff frappe'

pizz. fff 9 1 3 4 5 9 1,2,5,6 Col legno fff frappe'

All (0,1,2,3,4)

All (0,1,2,3,4)

pizz. 5 5

pizz. fff 5 5 Col legno frappe' 9 5

pizz. fff 5 5 Col legno frappe' 9 5

pizz. fff 5 5 Col legno frappe' 9 5

pizz. fff 5 5 Col legno frappe' 9 5

pizz. fff 5 5 Col legno frappe' 9 5

pizz. fff 5 5 Col legno frappe' 9 5

pizz. fff 5 5 Col legno frappe' 9 5

B. & H. 19583

Fig. X. 13. Vertical pitch structures, bar 200-201

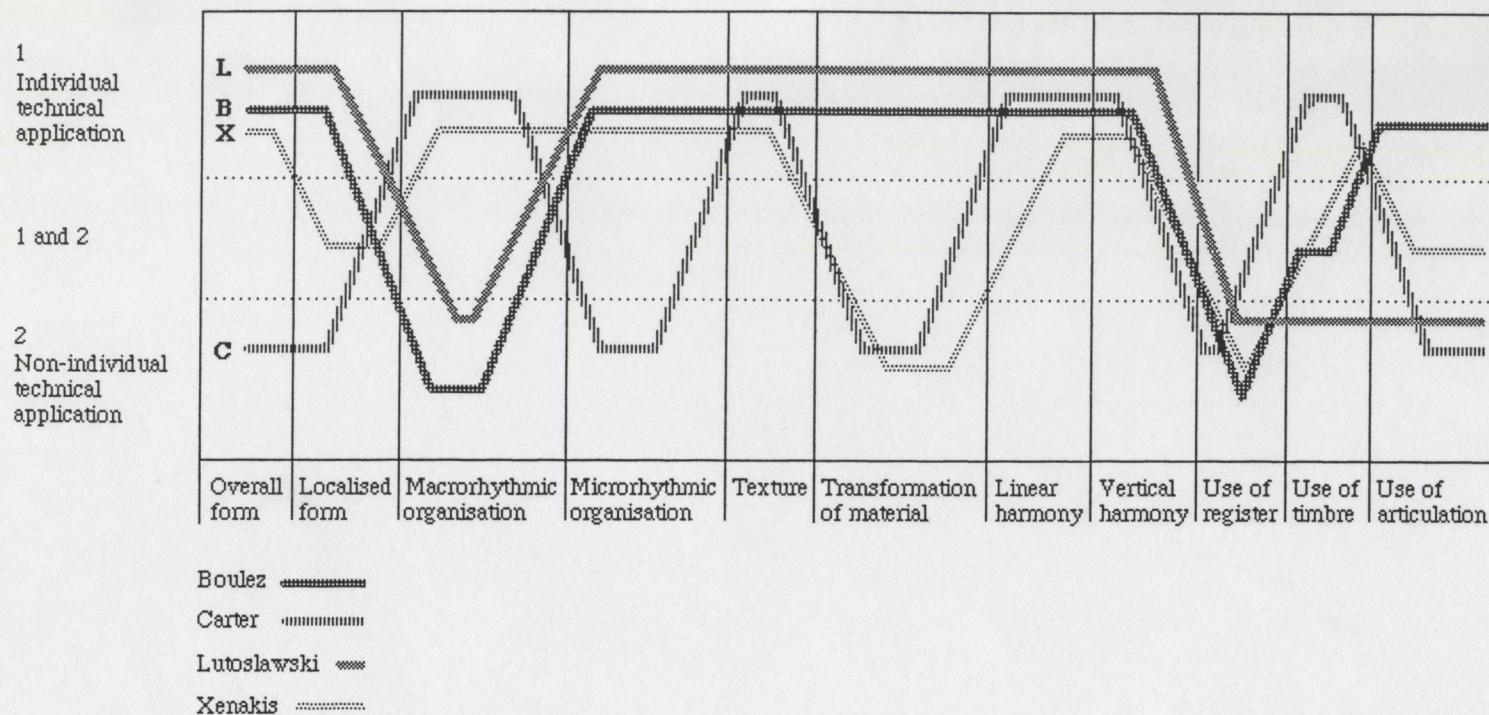


Fig. Cn. 1. Overview of technical applications