

1 **Development of a platform for studying 3D astrocyte**
2 **mechanobiology: compression of astrocytes in collagen gels**

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21 **Abstract**

22 Glaucoma is a common optic neuropathy characterized by retinal ganglion cell death.
23 Elevated intraocular pressure (IOP), a key risk factor for glaucoma, leads to significant
24 biomechanical deformation of optic nerve head (ONH) cells and tissues. ONH astrocytes
25 respond to this deformation by transforming to a reactive, proliferative phenotype, which
26 has been implicated in the progression of glaucomatous vision loss. However, little is
27 known about the mechanisms of this transformation. In this study, we developed a 3D
28 collagen gel culture system to mimic features of ONH deformation due to elevated IOP.
29 Compressive loading of astrocyte-seeded collagen gels led to cell alignment
30 perpendicular to the direction of strain, and increased astrocyte activation, as assayed by
31 GFAP, vimentin, and s100 β levels, as well as MMP activity. This proof-of-concept study
32 shows that this system has potential for studying mechanisms of astrocyte
33 mechanobiology as related to the pathogenesis of glaucoma. **Further work is needed to**
34 **establish the possible interplay of mechanical stimulation, matrix properties, and hypoxia**
35 **on the observed response of astrocytes.**

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- 43 **Key Terms:** glaucoma; astrocyte mechanobiology; optic nerve head; 3D culture model;
- 44 mechanical conditioning

45 **Introduction**

46 Glaucoma, an optic neuropathy characterized by patterns of visual field loss due to
47 progressive loss of retinal ganglion cells (RGCs), is a leading cause of blindness
48 worldwide.⁴⁹ While the exact mechanism of RGC damage is unknown, elevated
49 intraocular pressure (IOP) is a known risk factor and a sustained reduction in IOP
50 prevents the progression of vision loss in glaucoma patients,^{2,18} indicating an important
51 role for mechanobiology in this disease. RGC damage is thought to begin within the optic
52 nerve head (ONH), which deforms in response to elevated IOP.^{3,8,35} It is believed that
53 ONH biomechanics, of which ONH deformation is one manifestation, significantly
54 contributes to RGC loss in glaucoma.^{20,23,27}

55 It has been hypothesized that astrocytes, the major glial cell type in the ONH, play an
56 important role in the initiation of axonal damage.^{19,23,25,30,44,48} Under healthy conditions,
57 astrocytes maintain the extracellular matrix (ECM) within the ONH and provide trophic
58 and metabolic support to RGC axons.^{10,21} In response to pathological deformation,
59 astrocytes transition from a quiescent phenotype to a more reactive, proliferative one.¹⁹
60 A major hallmark of reactive astrocytes is the increased expression of glial fibrillary acidic
61 protein (GFAP), vimentin, and α 100 β .^{19,43} GFAP and vimentin help provide intracellular
62 support and resistance to mechanical forces,¹⁹ while α 100 β is expressed by proliferating
63 astrocytes during neurite outgrowth.²⁶ Reactive astrocytes remodel the ONH via
64 synthesis of various ECM proteins and matrix metalloproteinases (MMPs).^{20,37} This tissue
65 remodeling may contribute to RGC death by altering the normal biomechanical supportive
66 functions of the ECM.^{29,30,44}

67 Due to its anatomic features, the ONH experiences a variety of modes of biomechanical
68 strain in response to IOP, with previous computational modeling studies indicating that
69 compression is the major mode.⁴¹ Therefore, it is of interest to understand how ONH
70 astrocytes respond to compressive biomechanical strain. Thus far, the effects of
71 mechanical strain on ONH astrocytes have only been studied in 2D under conditions of
72 tension, not compression.^{15,19,38} Further, 2D culture models do not accurately mimic the
73 3D physiological environment of the ONH, and in fact, have been shown to induce a
74 reactive phenotype in cortical astrocytes.^{14,34}

75 The purpose of this study was to develop a 3D in vitro system to study the effects of
76 compressive biomechanical strain due to elevated IOP on ONH astrocyte
77 mechanobiology. We demonstrate in this proof-of-concept study that a 3D culture system
78 provides a good platform for studying astrocyte mechanoreactivity, and that cortical
79 astrocytes respond to pathologic biomechanical stimulation by altering their orientation,
80 expression of key reactivity markers, and protease activity.

81 **Materials and Methods**

82 *Cell Sourcing*

83 DI TNC1 cells (CRL 2005, ATCC), an immortalized cell line derived from primary cultures
84 of type 1 astrocytes taken from brain diencephalon tissue of 1 day old rats,³⁶ were
85 maintained at 37°C and 5% CO₂ in Dulbecco's Modified Eagle Medium (DMEM, Cellgro)
86 supplemented with 10% fetal bovine serum (FBS, Hyclone, GE Healthcare) and 1x
87 penicillin/streptomycin (Hyclone, GE Healthcare).

88 *3D Collagen Gel Creation*

89 3D collagen gel discs were cast at a collagen concentration of 2 mg mL⁻¹ and 1x10⁶ cells
90 mL⁻¹ as previously described.⁴⁰ Briefly, lyophilized type I collagen derived from bovine
91 skin (MP Biomedical) was dissolved in 0.02 N acetic acid to obtain a 2x collagen solution
92 (4 mg mL⁻¹). DI TNC1 cells were mixed with 10x concentrated DMEM (1/10th of total final
93 volume, Cellgro) and 2x collagen solution. 0.1 M sodium hydroxide was added
94 immediately at 1/10th the total volume as required to neutralize the solution. The 3D
95 collagen gels were fabricated by adding 700 µL of the cell-laden collagen solution to a
96 non-tissue culture treated 24-well plate (CytoOne), with a well diameter of 15.5 mm and
97 gel height of 3.7 mm. The gels were allowed to polymerize for 15 minutes at 37°C, at
98 which point 2 mL cell culture medium was added. After 24 hours, all gels were transferred
99 to a 6-well plate for 7 days prior to mechanical stimulation, or placement in the static
100 control chamber. Static control gels were placed in the indentations in the chamber floor
101 (see below), and the plunger placed immediately above the gels to closely mimic the
102 conditions of the stimulated gels and to prevent the gels from free-floating in the media.
103 Fresh media was added to the gels every 2 days.

104 *Mechanical Stimulation of Collagen Gels*

105 To mimic the conditions of the ONH under elevated IOP, astrocyte-seeded collagen gel
106 discs were cyclically compressed by 10% at 1 Hz for 24, 48, and 72 hours.^{12,41} Our choice
107 of 10% compression is broadly consistent with previous studies applying tensile strain to
108 cultured ONH astrocytes in 2D,^{16,38} and was originally motivated by previous finite
109 element models that suggested that the ONH experiences compressive strains of 10% or
110 more when IOP is 50 mmHg in humans.⁴¹ While 50 mmHg is an admittedly high IOP, it
111 was chosen here since it is seen in the clinic²⁴ and IOPs of above 50 mmHg are used in

112 acute studies of rat ocular hypertension.^{28,47} This point is further discussed in detail in the
113 Discussion section of the paper.

114 To apply the compressive mechanical load to the collagen gel discs, a custom-designed
115 bioreactor system was developed (Figs. 1 and S1). The collagen gels (36 discs) were
116 placed within an enclosed sterile chamber (thermoplastic polyester and transparent
117 polycarbonate). Shallow indentations (6.5 mm in diameter and 0.1 mm in height) were
118 made in the floor of chamber to prevent lateral motion of the discs during compression.
119 40 mL of media was added to the chamber, which was replenished each day. A linear
120 actuator (Zaber Inc., NA08A30-T4), attached to a solid plunger (thermoplastic polyester)
121 with a 5 mm clearance from the chamber wall, was set to cyclically compress
122 (sinusoidally) the collagen gels by between 1 and 10% of the gel height. Static controls,
123 not subject to compression, were cultured in an identical chamber for equivalent amounts
124 of time. The actuator was positioned such that the platen was as close to the static control
125 gels as possible without touching the gels. This approach allowed us to mimic the
126 environment of the compressed gels as closely as possible, without the platen applying
127 an unknown and uncontrolled load to the control gels. Thickness and diameter
128 measurements were acquired using Vernier calipers. For 2D controls, cells were seeded
129 at 2×10^4 cells cm^{-2} on type I collagen-coated ($10 \mu\text{g cm}^{-2}$) silicone membranes for 72
130 hours.

131 **FIGURE 1**

132 *Actin Labeling*

133 Cell morphology was visualized via actin staining of whole mount collagen gels (Fig. 2a
134 – top, left insert) and transverse sections (Fig. 2c – top, left insert) from the gels. For
135 transverse sections, a 1 mm thick slice, perpendicular to the circular face, was extracted
136 from the center of the whole mount gel using a scalpel. Gels were washed 3 times in
137 PBS followed by fixation in 10% buffered formalin (Thermo Fisher Scientific) for 20
138 minutes. Following 3 further washes with PBS, cells in the samples were permeabilized
139 by incubation with 0.1% (w/v) Triton X-100 for 15 minutes. Subsequently, the gels were
140 incubated with Alexa Fluor 488 phalloidin (Thermo Fisher Scientific) at a 1:40 dilution for
141 1 hour.

142 **FIGURE 2**

143 Images from the whole mount gels and transverse sections were acquired via an inverted
144 confocal microscope (LSM 700, Carl Zeiss). Z-stacks at increments of approximately 2.5
145 μm were taken through approximately 50 μm of the tissue starting from the top surface of
146 the gel (arbitrarily chosen for the transverse sections). All images are presented as
147 maximum intensity projections (MIPs) of z-stacks.

148 *Astrocyte Alignment*

149 Astrocyte orientation was assessed using the actin-labeled MIPs. To determine the
150 isotropy of cellular alignment, images were acquired at each cardinal point on the ‘circular
151 face’ of the gel (Fig. 2) as well as in the center. In these images the top of the gel was
152 placed on the coverslip. In addition, transverse sections of the collagen gels were taken
153 to better understand the alignment of the cells in 3D (Fig. 2c-d). Astrocyte alignment was
154 quantified using the Fourier component analysis feature of the directionality plugin of FIJI

155 (<http://fiji.sc/Fiji> [in the public domain]). Histograms between -90° and 90° in 2°
156 increments were generated (Fig. 2) and alignment indices were calculated to determine
157 the degree of isotropy of the cells. An alignment index was computed as the fraction of
158 the cells lying within 20° of the modal (most common) angle, normalized by the fraction
159 of cells that would lie within that range in a uniformly random distribution.³¹ Thus, an
160 alignment index of 1 indicates random alignment, with higher values indicating less
161 random alignment.

162 *Protein Expression*

163 Flow cytometry was used to quantify changes in protein expression in response to
164 mechanical stimulation. To harvest the cells, the collagen gels were digested using
165 collagenase type-2 (~ 400 units mL^{-1} , Worthington Biochemical, CLS-2), in live-cell
166 imaging solution (Thermo Fisher Scientific) at 37°C for 20 minutes on an orbital rocker,
167 which was followed by gentle trituration and filtration ($35\ \mu\text{m}$ nylon mesh filter; BD) of the
168 collagenase solution to remove remaining large tissue debris. Next, the cells were
169 centrifuged ($300g$ for 5 minutes at 4°C) and resuspended in flow cytometry buffer (FCB,
170 PBS containing 5% FBS and 0.02% (w/v) sodium azide) to wash the cells. Next, the cells
171 were fixed with 2% (v/v) paraformaldehyde in PBS for 10 minutes, followed by two washes
172 with FCB and 0.5% (w/v) saponin (Acros Organics) for permeabilization. Next, the cells
173 were incubated at room temperature for 45 minutes with primary antibodies for GFAP,
174 vimentin, and $\text{s}100\beta$ diluted in FCB (see Table S1 for dilutions and antibody sources),
175 supplemented with 0.5% (w/v) saponin for permeabilization. The cells were washed with
176 FCB-0.5% saponin and stained with appropriate secondary antibodies (Table S1) in FCB-
177 0.5% saponin. Dilutions and antibody information are provided in Table S1. Following an

178 additional wash step, the cells were resuspended in 300 μ L FCB for analysis. Next, the
179 suspension was filtered one more time to remove any remaining debris. The 2D samples
180 were harvested from the silicone membranes using 0.05% trypsin and the samples were
181 prepared for flow cytometry as described above. Flow cytometry was carried out on a BD
182 Accuri C6 flow cytometer (35 μ L min⁻¹ flow rate, 100,000 cellular events per sample) and
183 median fluorescence intensity (MFI) values for each protein were determined with BD
184 Accuri C6 software. Compensation was carried out to correct for spectral overlap of the
185 fluorescent dyes via a compensation bead kit per manufacturer's instructions (Thermo
186 Fisher, A10497). To account for autofluorescence, MFI values of unstained controls were
187 subtracted from MFI values obtained for their respective stained sample. To account for
188 non-specific binding, flow cytometry samples were compared with respective isotype
189 controls (Table S1) to ensure increased MFI values were obtained in stained samples.

190 To confirm antibody specificity, Western blotting was carried out for each of the protein
191 markers used for flow cytometry per standard protocol. Briefly, collagen gels were
192 homogenized and protein concentrations were quantified via micro-BCA assay per
193 manufacturer's protocol (Thermo Scientific, Pierce Protein Research Products). For the
194 2D samples, cells were lysed and collected from the silicone membranes that they were
195 cultured on. Protein samples were denatured for 5 minutes at 95°C in Laemmli buffer
196 (Bio-Rad) and equal amounts of protein were loaded onto 8-16% polyacrylamide gels
197 (Bio-Rad) and sodium dodecyl sulfate-polyacrylamide gel electrophoresis (SDS-PAGE)
198 was carried out at 150 V for 45 minutes. Next, the resolved proteins were transferred to
199 polyvinyl difluoride (PVDF) membranes using a Trans-blot Turbo Transfer system (1.3 A
200 and 25 V for 7 minutes, Bio-Rad). Then the membranes were blocked with Odyssey

201 Blocking Buffer (LI-COR BioSciences). Due to the low molecular weight of s100 β (21
202 kDa), it could not be successfully transferred from the polyacrylamide gels to the
203 membranes in our system. Therefore, immunostaining was carried out directly on the
204 polyacrylamide gels following SDS-PAGE. The polyacrylamide gels were placed in
205 fixative solution (50% (v/v) isopropanol and 5% (v/v) acetic acid) for 1 hour. Both the
206 membranes and the gels were probed with primary and secondary antibodies (Table S1)
207 and imaged with an Amersham Imager 600 scanner (GE Healthcare).

208 *MMP Activity*

209 Gelatin zymography was used to quantify the MMP activity in the samples. Gels were
210 homogenized using a post mounted homogenizer in lysis buffer (20 mM Tris-HCl at pH
211 7.5, 5 mM ethylene glycol-bis(β -aminoethyl ether)-N,N,N',N'-tetraacetic acid (EGTA), 150
212 mM NaCl, 20 mM β -glycerol-phosphate, 10 mM NaF, 1 mM sodium orthovanadate, 1%
213 (v/v) Triton X-100, 0.1% (v/v) Tween-20) and 0.1 mM leupeptin, freshly added to stabilize
214 enzymes during electrophoresis. 2D samples were prepared as described above. Gelatin
215 zymography was carried out as previously described.^{9,32} Briefly, protein concentrations
216 were determined via micro-BCA assay. Next, equal amounts of protein in 5x non-reducing
217 loading buffer (0.05% (w/v) bromophenol blue, 10% (w/v) SDS, 1.5 M Tris, 50% (v/v)
218 glycerol) were resolved with 12.5% polyacrylamide gels (Protogel; National Diagnostics)
219 containing 0.2% (w/v) gelatin at 4°C for 2 hours at 100 V in running buffer (25 mM Tris,
220 192 mM Glycine, 0.1% (w/v) SDS). Proteins were renatured in 65 mM Tris buffer, pH 7.4,
221 with 20% (v/v) glycerol at room temperature for 30 minutes. Fresh buffer was added every
222 10 minutes, followed by incubation for 30 minutes in activity buffer (0.1 M sodium
223 phosphate buffer, pH 6.0, 1 mM EDTA, and 2 mM DTT freshly added) at room

224 temperature. Then, the activity buffer was replaced with fresh activity buffer and allowed
225 to incubate overnight at 37°C. Gels were then rinsed with deionized H₂O, incubated with
226 Coomassie stain (10% (v/v) acetic acid, 25% (v/v) isopropanol, 4.5% (w/v) Coomassie
227 Blue R-250) for 1 hour at room temperature and destained (10% (v/v) isopropanol and
228 10% (v/v) acetic acid) until gelatinolytic bands were evident, and imaged using the
229 ImageQuant LAS 4000 (GE Healthcare). Densitometry analysis was performed in
230 ImageQuant TL (GE Healthcare, v8.1).

231 *Statistical Analysis*

232 Statistical analysis was performed using Statistical Package for the Social Sciences
233 (SPSS) Statistics software (IBM Inc.). The normality of all variables was examined using
234 Shapiro-Wilk tests. Significant differences were identified between groups using two-way
235 ANOVA analysis with post-hoc Sidak correction test. A p-value of less than 0.05 was
236 considered statistically significant.

237 **Results**

238 *Astrocyte Alignment*

239 DI TNC1 rat cortical astrocytes were seeded into type I collagen gel discs and cultured
240 statically for 7 days. The gels were cast at an initial diameter of 15.5 mm and height of
241 3.7 mm. After 7 days of static culture, during which time astrocytes proliferated in the gels,
242 the cells contracted the gels to a diameter of 5.53 ± 0.02 mm (mean \pm SEM, n=6) and a
243 height of 2.32 ± 0.05 mm (mean \pm SEM, n=6). The gels were then subjected to cyclic 10%

244 compression and compared to unloaded controls. No differences in volume were
245 observed between the compressed and control gels.

246 We first investigated the changes in cellular orientation in response to cyclic mechanical
247 compression of these collagen gel discs. The orientation of actin-labeled astrocytes was
248 assessed in horizontal and transverse (Fig. 2 and S2) planes within collagen gel discs at
249 24, 48, and 72 hour time points. Random cell alignment was observed across all time
250 points in the unloaded control gels (Figs. 2 and 3). Astrocytes within compressed gels
251 demonstrated significantly increased alignment vs. unloaded gels in both the horizontal
252 and transverse planes at each time point (Fig. 3), as well as a time-dependent increase
253 in alignment over the 72 hour duration of the experiments. However, this alignment was
254 spatially heterogeneous: cells near the periphery of the gel but not near the center showed
255 alignment within a horizontal plane (Fig. 2). Conversely, cells near the center, but not
256 near the periphery, showed alignment in a transverse plane. This was unexpected, as the
257 theoretical strain distribution within the gel is spatially uniform (Fig. S3).

258 **FIGURE 3**

259 *Astrocyte Activation*

260 Three key markers associated with astrocyte activation, namely GFAP, vimentin, and
261 s100 β , were assayed via flow cytometry from astrocytes harvested from gels subjected
262 to loading, and compared to levels from astrocytes from unloaded control gels. GFAP,
263 vimentin, and s100 β expression was significantly higher in astrocytes cultured in 2D than
264 in astrocytes cultured statically (unloaded) in 3D collagen gels at 72 hours (Fig. 4).
265 Expression of GFAP, vimentin, and s100 β increased following mechanical stimulation as

266 compared to unloaded control collagen gels at each time point (Fig. 4). Western blots
267 were performed on proteins isolated from compressed and control gels to confirm
268 antibody specificity for each protein (Fig. S4).

269 **FIGURE 4**

270 *Protease Activity*

271 MMP-2 and MMP-9 activities were increased in mechanically stimulated astrocytes as
272 compared to static control gels at each time point (Fig. 5). MMP-2 and MMP-9 activities
273 were significantly higher in the compressed gels at 72 hours as compared to 24 and 48
274 hours. MMP activity in astrocytes grown in a 2D environment for 72 hours was significantly
275 lower than that from cells in unloaded control gels.

276 **FIGURE 5**

277 **DISCUSSION**

278 Identifying mechanisms that lead to glaucomatous vision loss is of great importance for
279 the development of clinical interventions. ONH astrocytes are believed to play a role in
280 vision loss in glaucoma, yet their specific role in this process has not been fully elucidated.
281 Because of the importance of biomechanics in glaucoma, techniques to understand how
282 astrocytes respond to biomechanical strain are needed. As a complement to in vivo
283 experiments, in vitro studies offer great potential in this regard; however, previous studies
284 of ONH astrocyte mechanobiology have used monolayer-based approaches, which do
285 not accurately represent the physiological environment of the ONH. Notably, monolayer

286 culture induces an artificially reactive phenotype in cultured astrocytes,^{4,14} making it
287 difficult to interpret the effect of biomechanical strain in such studies.

288 We present here a 3D culture system that has the potential to more accurately replicate
289 key biomechanical features of the 3D ONH astrocyte environment by allowing the
290 application of compressive biomechanical strains to the cells. Our data showed that
291 astrocytes cultured in 3D collagen gels respond to mechanical stimulation through
292 alterations in cell alignment. Specifically, in certain regions of the gel, the cells appeared
293 to align perpendicular to the direction of the maximum principal strain (concentrically
294 when viewed en face, horizontally when viewed in a transverse plane), whereas random
295 alignment was observed in unloaded control gels (Figs. 2 and 3). This is consistent with
296 studies performed on astrocytes in 2D, where the astrocytes aligned perpendicularly to
297 the direction of strain.⁶ We also observed a significant increase in cell alignment over the
298 time course of mechanical stimulation, with the greatest increase occurring during the first
299 24 hours. Tehrani et al.,⁴⁷ observed a change in astrocyte orientation following an 8 hour
300 increase in IOP in rats. Astrocyte orientation reverted to normal following IOP
301 normalization, suggesting that astrocyte orientation changes dynamically in response to
302 alterations in the tissue's local mechanical environment. Since this change in cell
303 alignment occurred as early as 8 hours following a change in the homeostatic mechanical
304 environment of the ONH, future studies should characterize astrocyte alignment at more
305 frequent hourly intervals.

306 Interestingly, this cell alignment did not occur uniformly throughout the gel: in some
307 regions, a random cell orientation persisted. It is unclear why this occurred. One
308 possibility is that the gel did not deform homogeneously when mechanically loaded.

309 Another possibility is that cell orientation could have been affected by local cellular
310 density, leading to cell-mediated residual stresses due to unevenly generated contractile
311 forces within the gels.⁴² A final possibility for the observed cell alignment pattern could be
312 due to non-uniform distribution of strain under cyclic compressive loading due to the
313 poroelastic nature of the collagen gels coupled with the oscillatory compressive loading.
314 Future studies should investigate these possibilities.

315 When analyzing the entire gel, we showed that mechanical stimulation increases
316 astrocyte reactivity within collagen gels. Altered astrocyte reactivity is believed to be a
317 significant component of glaucomatous ONH damage.^{19,39} This altered reactivity was
318 evidenced by increased expression of GFAP, vimentin, and s100 β , and by increased
319 activity of MMP-2 and MMP-9. MMP-2 and MMP-9 are known indicators of astrocyte
320 activation and ECM remodeling, which could be an instigator of the changes in the
321 biomechanical properties of the ONH during glaucoma progression.²³ Future work should
322 characterize structural and compositional changes to the matrix in which the astrocytes
323 are cultured.

324 Finally, we showed that this 3D culture platform induces less artificial astrocyte activation
325 than cells cultured in a 2D monolayer. Notably, GFAP, vimentin, and s100 β were
326 expressed at lower levels in cells obtained from the 3D static controls than in cells cultured
327 in a 2D monolayer (Fig. 4). However, MMP activity was significantly lower in astrocytes
328 cultured in a 2D monolayer environment as compared to cells from the 3D unloaded
329 control. This increased protease activity in a 3D environment compared to 2D has been
330 observed in fibroblasts in previous studies,^{22,46} and could be attributed to two possible
331 factors: 1) in the 2D cultures, the MMPs could have been secreted into the cell culture

332 medium, since only cell lysates were assayed in our studies, and 2) proteolytic remodeling
333 has been shown to be necessary for cell proliferation in 3D⁷ and could lead to increased
334 expression of MMPs in 3D as compared to 2D.

335 This study is subject to several limitations. First, the cell line used in this proof-of-concept
336 study was an immortalized rat cell line obtained from cortical tissue rather than human
337 ONH astrocytes. While these factors can influence astrocyte activation, our results are
338 consistent with studies performed on ONH astrocytes in 2D and other types of astrocytes
339 cultured in 3D. In addition, static controls are likely not ideal controls for the physiologic
340 environment as ONH astrocytes are typically under mechanical loading. Future studies
341 will incorporate a small strain (e.g. 3%) as the normal, healthy control³⁸ to compare to the
342 effects of the pathological 10% strain on ONH astrocytes. In addition, an ideal in vitro
343 model would impose a constant baseline strain, corresponding to a selected mean IOP,
344 as well as a superimposed oscillatory strain, corresponding to the ocular pulse, typically
345 4 mmHg at 1 Hz. However, the precise magnitude of the appropriate cellular-level strains
346 is surprisingly difficult to determine. Considering only the pulsatile component,
347 interpolation of experimental data suggests that a 4 mmHg ocular pulse corresponds to
348 a pulsatile peak macroscopic-level strain of c. 0.5% within the lamina cribrosa.¹¹ However,
349 computational models suggest that macroscopic strain is significantly amplified at the
350 cellular level in the lamina cribrosa, sometimes by a factor of 10 or more.¹³ This would
351 suggest that oscillatory strains of 5% or more are appropriate, which is broadly consistent
352 with tensile oscillatory strains of 12% imposed in previous studies.^{16,38} Therefore, we
353 chose to simply impose a 10% compressive strain at 1 Hz. Future work should clearly
354 include a range of strains and frequencies. Furthermore, while we did not observe any

355 change in volume between the compressed and control gels during compression, it is
356 possible that due to cellular compaction of the collagen matrix during the pre-compression
357 period, the choice of a collagen gel matrix in this study might not be optimal for tracking
358 the effects of compression on astrocyte activation. Further studies should utilize different
359 matrices that do not contract as readily, such as crosslinked collagen^{33,45} or a collagen-
360 alginate mixture,¹⁷ to more directly study the effects of strain on these cells. In addition, it
361 is possible that the observed differences between compressed and uncompressed gels
362 were due in part to nutrient transport limitations, including oxygen transport. To minimize
363 these effects the plunger face (platen) was positioned as close to the control gels as
364 possible. Further, as the platen was not in direct contact with the control gel's surface,
365 fluid motion over the gel surface was possible due to external factors such as incubator
366 vibrations. This could have resulted in different levels of hypoxia between the control and
367 experimental groups and it is therefore a possibility that the observed response is partially
368 due to hypoxic effects and not solely due to the applied mechanical deformation. Future
369 studies will include hypoxic assays to quantify oxygen levels and thus better understand
370 what effect transport limitations may have had in this system.

371 Moreover, while a cell-seeded disk was a necessary starting point in this preliminary
372 study, it is only a simplified representation of the ECM in the ONH. Further, it is possible
373 that a 10% compression of these gels does not lead to a 10% compression of the cells
374 themselves.⁵ Characterization of the strain field throughout the gel/cells was not possible
375 with the current design of the system due to the use of non-transparent materials that
376 prevented optical interrogation of the gels. In the future, we plan to use a transparent

377 base in the bioreactor system that will allow for imaging and characterization of the strain
378 field via digital image correlation methods.

379 Importantly, due to the limitations of in vitro studies it is not possible to completely mimic
380 the in vivo environment of the ONH. For instance, surrounding tissues such as the sclera
381 and choroid play an important role in the deformation of the ONH and help to determine
382 the complex deformations of the ONH in vivo. Furthermore, the collagen gel does not truly
383 mimic the structure and composition of the lamina cribrosa, which contains a dense
384 intricate dispersion of various types of collagens (I, III, IV, V, and VI) as well as elastin,¹
385 and therefore the load applied to the cells in a less dense collagen gel does not completely
386 mimic the load on the cells in the ONH in vivo. Future studies will utilize more dense
387 scaffolds (e.g. crosslinked collagen or a collagen-alginate mixture) to develop a system
388 that more accurately resembles the material properties of the ONH. In addition, other cell
389 types from the ONH and these surrounding tissues should be incorporated into this
390 system in the future, as they might affect the astrocyte response to deformation. Finally,
391 while the main mode of deformation in the lamina cribrosa is compression, the
392 deformations in vivo are complex and thus this system does not completely mimic the in
393 vivo mechanical environment of the ONH. Future studies will include the effects of more
394 complex loading conditions on astrocytes to more accurately characterize the effects of
395 in vivo mechanical loading on the astrocytes of the ONH.

396 In conclusion, we have developed a 3D system to study the effects of IOP-induced
397 deformation on astrocytes. This is an important first step in the development of a
398 physiologically relevant in vitro platform to study ONH astrocyte mechanobiology. Further

399 analysis of astrocytic response to deformation will advance the understanding of
400 glaucoma and enable the development of future therapeutics and interventions.

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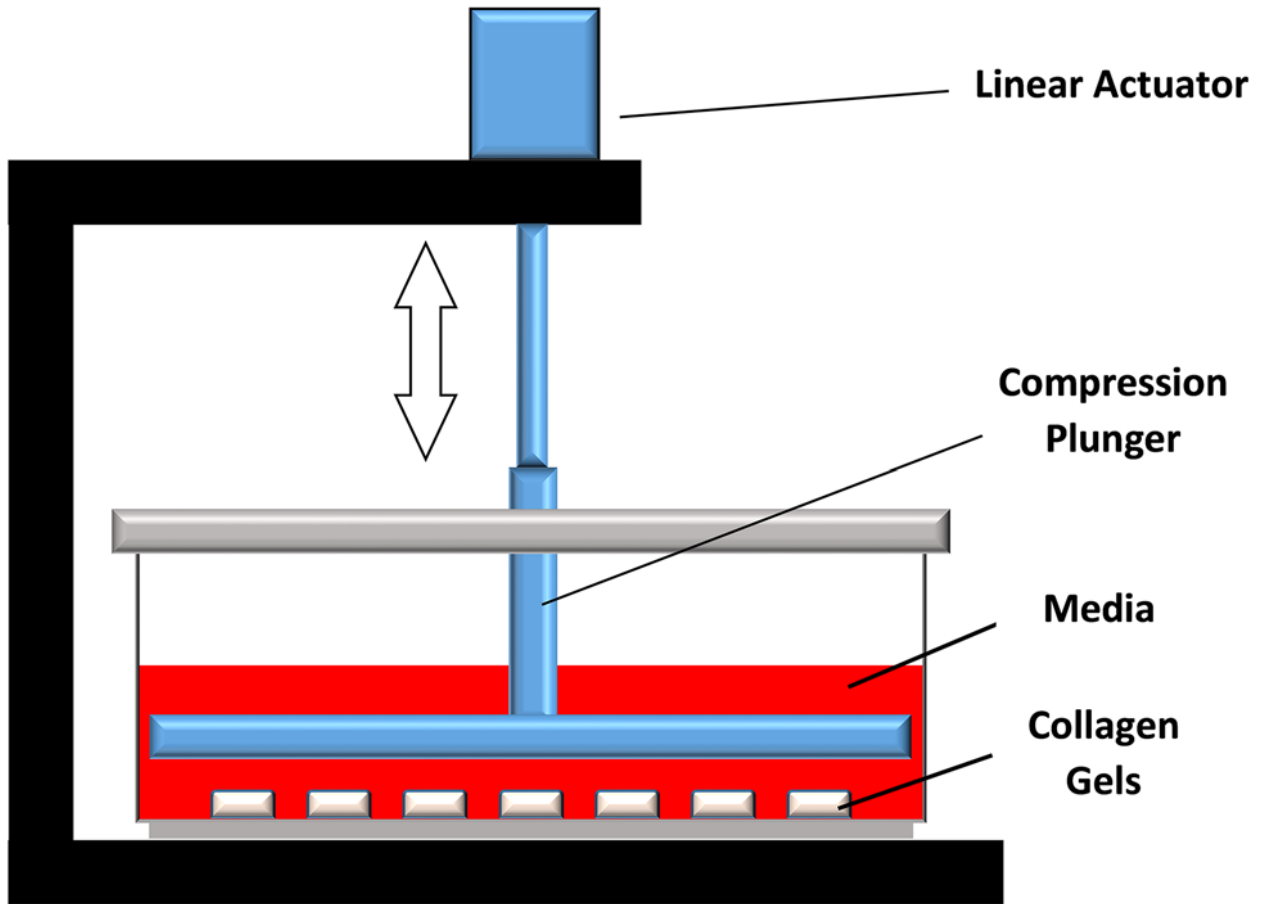
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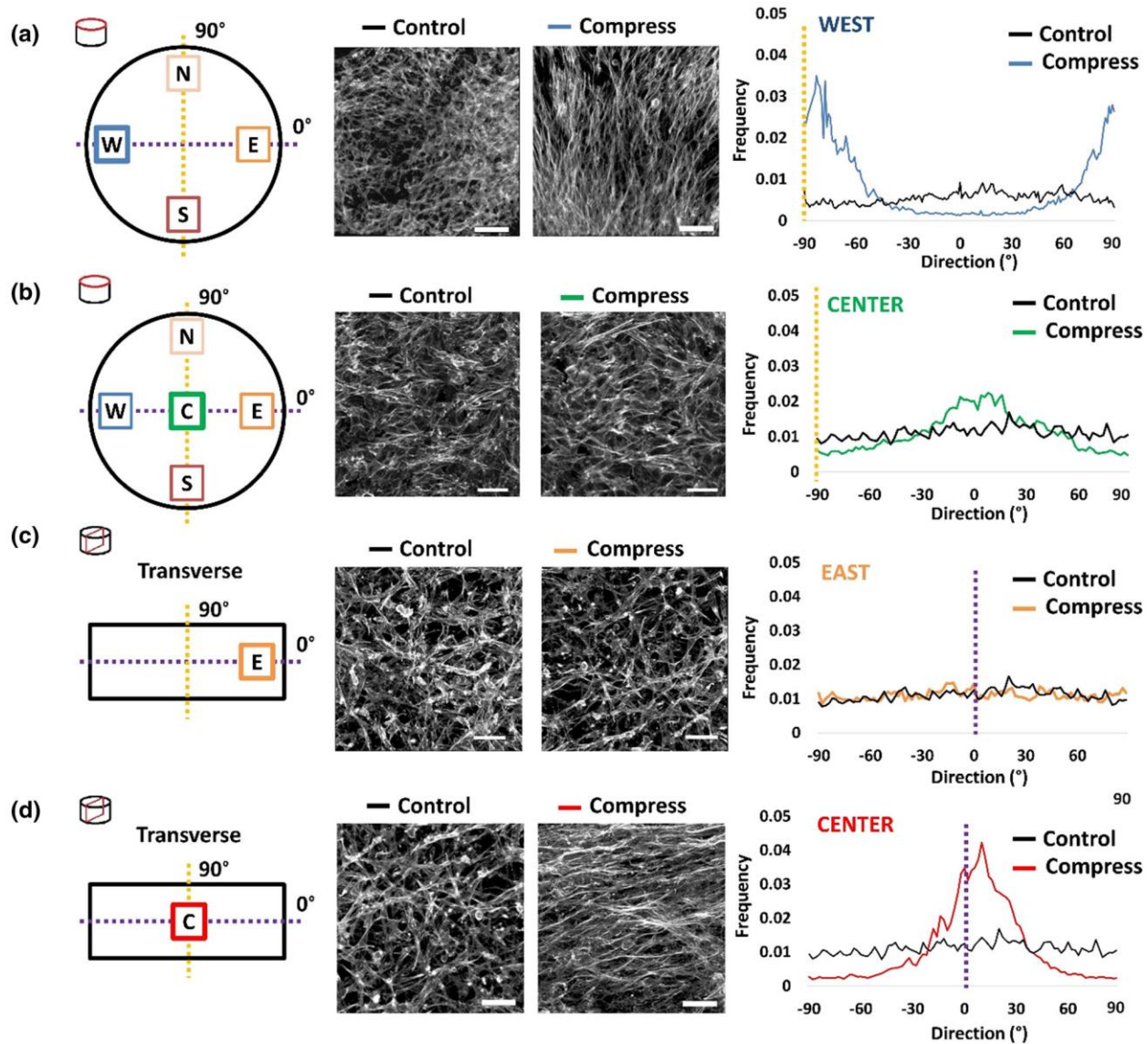
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553 **FIGURES**



554

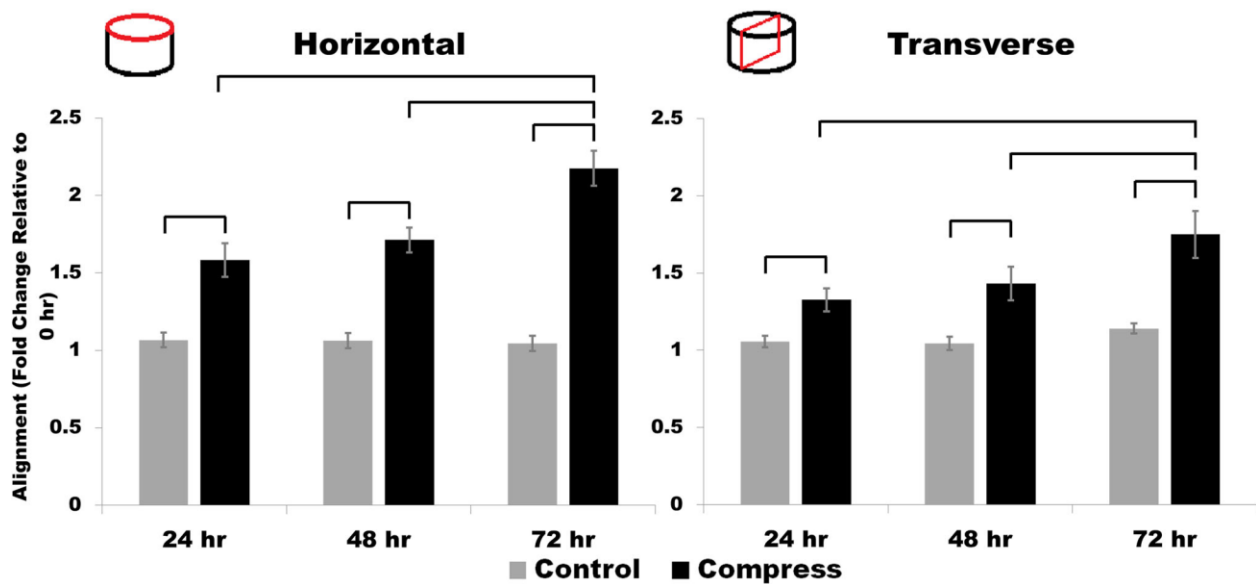
555 **FIGURE 1: Bioreactor schematic.** Cyclic compressive load was applied to multiple
556 collagen gels using a linear actuator and plunger located in an enclosed, sterile culture
557 chamber.



558

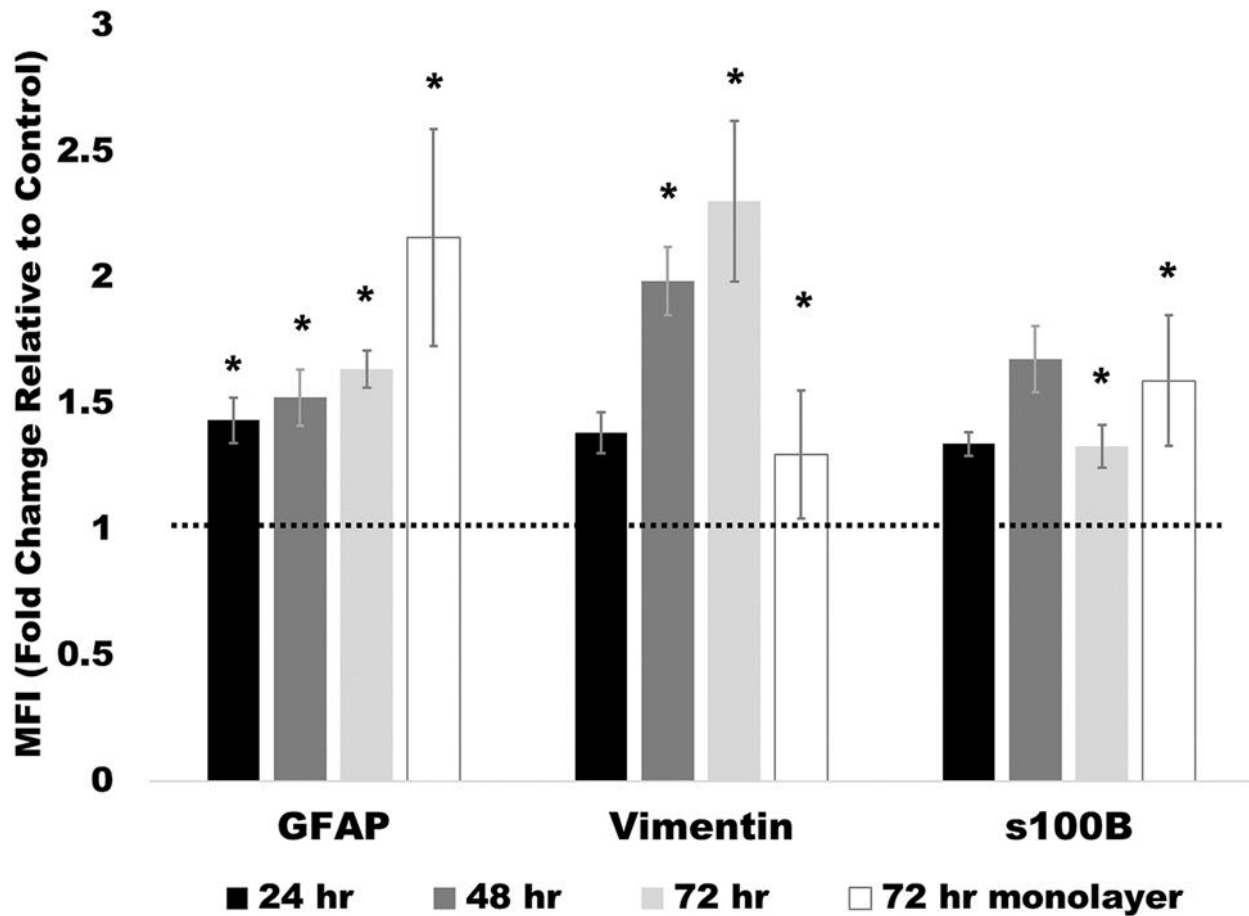
559 **FIGURE 2: Effects of mechanical loading on alignment of astrocytes seeded within**
 560 **collagen type I discs.** Representative maximum intensity projections (MIP) of actin
 561 labeled astrocytes in unloaded control and compressed gels at 24 hours taken at various
 562 locations of the gel, namely the (A) west and (B) center locations of a horizontally oriented
 563 plane, and in the (C) east and (D) center locations of a transverse plane. Left columns
 564 show the locations in the gel where the images in the middle column were taken and the
 565 right column shows histograms of the cell orientations of these images. The images in (A)

566 are representative of the four cardinal directions at the periphery of the gels. Histograms
 567 were generated from 320x320 μm MIPs. All MIPs were acquired from z-stacks taken at
 568 2.5 μm increments consisting of 20 total images starting from the top of the gel for images
 569 acquired in the horizontally oriented plane and arbitrarily chosen for images acquired in
 570 the transverse plane. Purple dashes indicate horizontal astrocyte alignment, while yellow
 571 dashes indicate vertical alignment. Scale bars: 50 μm .



572

573 **FIGURE 3. Astrocyte alignment in 3D collagen gels.** Alignment indices (see text) for
 574 control and compressed collagen type I gel discs normalized to 0 hour static controls at
 575 24, 48, and 72 hour time points. “Horizontal” refers to cell alignment averaged from 4
 576 regions near the gel periphery representing all 4 cardinal directions (Fig. 1A), as viewed
 577 in the imaging plane indicated at top left. Similarly, “transverse” refers to cell alignment
 578 within a single central region, as viewed in the imaging plane at top left. These are regions
 579 where cell alignment was observed. Brackets indicate $p \leq 0.05$; error bars indicate
 580 standard error of the mean of $n=4$.



581

582 **FIGURE 4: Expression of GFAP, vimentin, and s100β from astrocytes in 3D**

583 **collagen type I gels and from 72 hour monolayer controls.** Normalized median

584 fluorescence intensity (MFI) of GFAP, vimentin, and s100β obtained via flow cytometry of

585 astrocytes isolated from static and compressed and control collagen gels at each time

586 point as well as 72 hour monolayer static controls. Note that since flow cytometry was

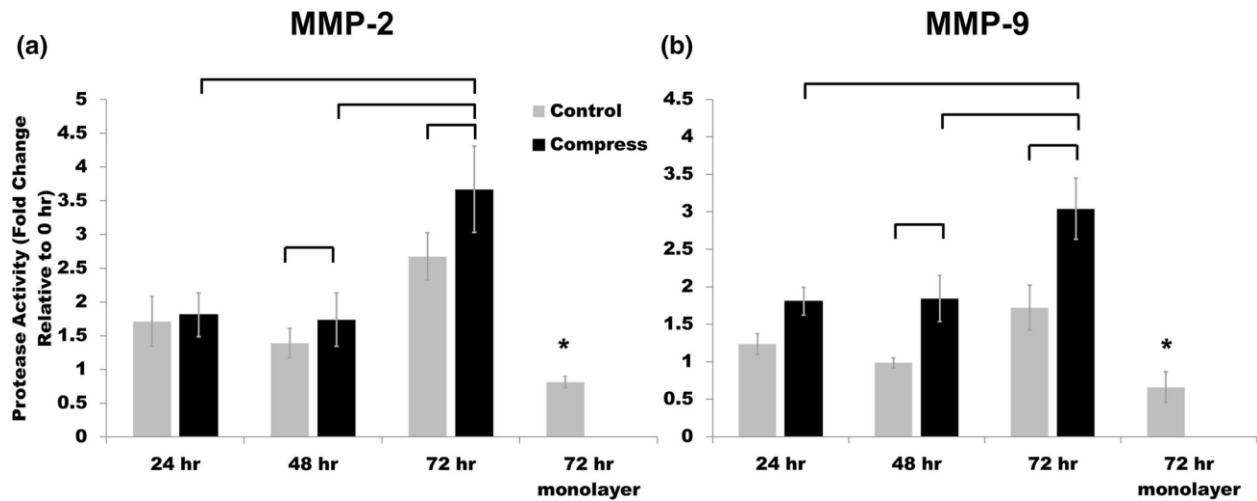
587 performed on freshly fixed cells it was not possible to normalize to 0 hour data in this

588 case. Therefore, each condition was normalized by its respective unloaded control. Data

589 from 2D culture was normalized to the 72 hour unloaded 3D gel control. * indicates $p \leq 0.05$

590 comparing MFI values of compressed gels to respective time controls, error bars indicate

591 standard error of the mean of $n=4$.



592

593 **FIGURE 5: Protease activity from astrocytes in 3D collagen type I gels and from 72**
 594 **hour monolayer controls as determined by gelatin zymography. (A) MMP-2 and (B)**
 595 **MMP-9 activities. All values are normalized to 0 hour static control. Brackets indicate**
 596 **$p \leq 0.05$, * indicates $p \leq 0.05$ for 72 hour monolayer compared to 72 hour static control, error**
 597 **bars indicate standard error of the mean of $n=6$.**

598