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**Bioinspired Aryldiazonium Carbohydrate Coatings: Reduced Adhesion of Foulants at Polymer and Stainless Steel Surfaces in a Marine Environment**

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**Abstract**

Surface treatments that minimise biofouling in marine environments are of interest for a variety of applications, such as environmental monitoring and aquaculture. We report on the effect of saccharide coatings on biomass accumulation at the surface of three materials that find applications in marine settings: stainless steel 316 (SS316), nylon-6 (N-6), and poly(ether sulfone) (PES). Saccharides were immobilized *via* aryldiazonium chemistry; SS316 and N-6 samples were subjected to oxidative surface pre-treatments prior to saccharide immobilization, whereas PES was modified *via* direct reaction of pristine surfaces with the aryldiazonium cations. Functionalization was confirmed by a combination of X-ray photoelectron spectroscopy, contact angle experiments and fluorescence imaging of lectin-saccharide binding. Saccharide immobilization was found to increase surface hydrophilicity of all materials tested, while laboratory tests demonstrate that the saccharide coating results in reduced protein adsorption in the absence of specific protein-saccharide interactions. The performance of all three materials after modification with aryldiazonium saccharide films was tested in the field *via* immersion of modified coupons in coastal waters over a 20 day time period. Results from combined infrared spectroscopy, light microscopy, scanning electron and He-ion microscopy and adenosine-triphosphate content assays show that the density of retained biomass at surfaces is significantly lower on carbohydrate modified samples with respect to unmodified controls. Therefore, functionalization and field test results suggest that carbohydrate aryldiazonium layers could find applications as fouling resistant coatings in marine environments.

## Introduction

Materials immersed in natural waters are typically subject to biofouling, a process that can compromise the integrity of the material or device of interest and result in performance degradation. Structures which are submerged in a marine environment are particularly susceptible to a wide range of opportunistic fouling organisms,<sup>1,2</sup> and material biofouling and colonization can have a negative impact in a wide range of fields, from marine transport to environmental monitoring and aquaculture.<sup>3-6</sup> Marine biofilms result in major economic and environmental problems, from corrosion and loss of functionality of marine structures and vessels,<sup>7,8</sup> to the spread of invasive species<sup>9</sup> and increased farmed fish mortality.<sup>10-12</sup> Therefore, there is great interest in developing new strategies for preventing and mitigating biofouling in the marine environment, particularly non-toxic or non-biocidal strategies that are environmentally sustainable, commercially scalable and compatible with the modern regulatory landscape.<sup>1,3,4,13</sup>

Biofouling occurs through a complex mechanism that involves multiple processes over a range of time and length scales.<sup>13</sup> It is proposed that, in the initial stages, the surface rapidly becomes conditioned by the adsorption of small molecules and organic matter, such as small organics, biopolymers and proteinaceous material. Microorganisms then adhere onto this primed surface eventually forming a biofilm onto which larger organisms can attach and subsequently proliferate.<sup>5</sup> Accumulation of undesired biomass can be minimized by interfering at one or more of these stages of the biofouling cascade. Historic methods of biofilm mitigation involve the use of toxic coatings such as lead-based and organotin paints,<sup>14</sup> which interfere at the micro- and macro-fouling stages. However, due to adverse effects on marine ecosystems, these methods have been phased-out and even use of

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3 alternative paints and coatings based on copper release is under regulatory scrutiny. The  
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5 disruption of quorum sensing signals to inhibit/regulate biofilm formation potentially offers  
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7 a more targeted approach than metal-based biocides; however, this technology is in its  
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9 infancy and its environmental impact on ecosystems remains to be assessed.<sup>15</sup>  
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13 The most promising non-biocidal strategies, on the contrary, rely on modifying the physico-  
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15 chemical properties of submerged materials to minimise adsorption and adhesion mainly at  
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17 early fouling stages. Regulation of surface roughness, electrostatic charge distribution and  
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19 wetting behaviour have all been investigated as non-biocidal methods.<sup>13, 16</sup> Bioinspired  
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21 engineered nanotopographies are effective for regulating cell/spore settling; however,  
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23 complex hierarchical patterns are required to repel settling from heterogeneous  
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25 populations,<sup>17</sup> thus posing significant problems for cost-effective scalability. Regulation of  
26  
27 wetting and spatial control of hydrophobicity at the nanoscale level have also been explored  
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29 as antifouling mechanisms. Low surface free energy and hydrophobic materials and coatings  
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31 have a long history in antifouling technologies and some well-known examples are  
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33 polysiloxanes, fluoropolymers and superhydrophobic coatings.<sup>1, 6, 13, 18, 19</sup> At the other end of  
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35 the spectrum, hydrophilic coatings, such as those based on polyethylene glycols (PEGs)<sup>20</sup>  
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37 and bioinspired superhydrophilic zwitterionic polymers,<sup>21, 22</sup> have similarly demonstrated  
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39 good performance in laboratory tests.  
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47 Surface-immobilized carbohydrates have previously been investigated for the fabrication of  
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49 hydrophilic coatings for biofouling prevention. Carbohydrates represent an interesting  
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51 family of biomolecules because they are environmentally benign and because they are  
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53 highly stable towards oxidation compared to other chemical species such as  
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55 ethyleneglycols.<sup>18, 20, 23</sup> Previous work has shown that self-assembled monolayers (SAMs) of  
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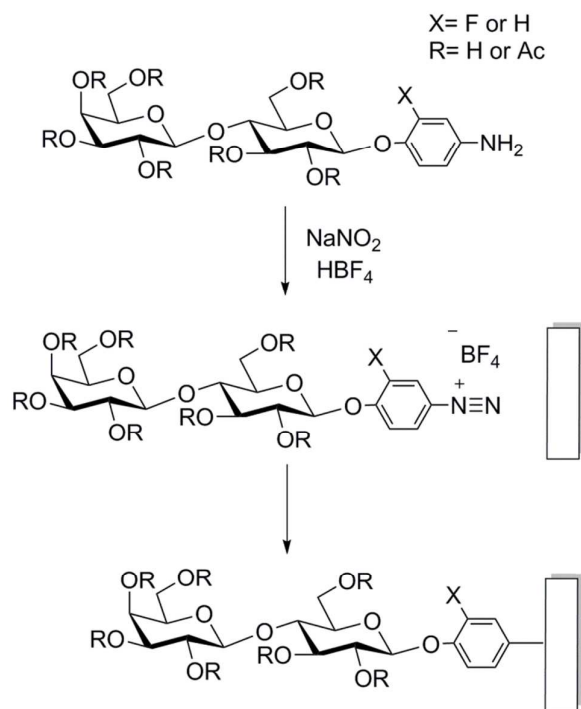
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3 monosaccharides and di-saccharides on gold surfaces can greatly reduce fouling from  
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5 protein solutions.<sup>24-27</sup> More recently, Ederth et al.<sup>25</sup> demonstrated that galactose-bearing  
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7 SAMs were successful at reducing *Ulva linza* spore settling, thus showing promise for marine  
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9 fouling control. Polysaccharides have also been investigated, however the efficient calcium  
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11 binding affinity displayed by many of these, e.g. hyaluronic and pectinic acids, has been  
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13 identified as detrimental for fouling control in the marine environment.<sup>28, 29</sup> Nonetheless,  
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15 relative to other functional coatings, carbohydrates are underexplored in marine  
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17 applications and results from field tests are rare in the literature.  
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23 We have recently shown that aryldiazonium chemistry offers a viable route for the  
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25 immobilization of saccharides at carbon, metal and selected polymer surfaces.<sup>30</sup> This  
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27 immobilization strategy can be carried out from solution *via* spontaneous reaction, resulting  
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29 in thin conformal functional films that can be applied *via* flow, spray or dip coating methods  
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31 using aqueous solutions, thus making it attractive and feasible for large scale applications.  
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33 Covalent grafting of mono- and disaccharide-bearing aryldiazonium cations to carbon,  
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35 polydimethylsiloxane (PDMS) and polyethersulfone (PES) was found to significantly reduce  
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37 protein adsorption in laboratory tests.<sup>31-33</sup> Interestingly, in the case of PES surfaces the  
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39 ability to prevent protein adsorption translated well to field tests, whereby aryldiazonium  
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41 glycoside coatings were found to reduce biomass accumulation after prolonged exposure to  
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43 wastewater effluents.<sup>33</sup> These prior results strongly suggest that aryldiazonium  
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45 carbohydrate coatings could be effective at minimising biofouling in the complex  
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47 environment of natural waters; however, to the best of our knowledge these coatings have  
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49 not been tested in marine settings.  
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3 In this work we report a study of the performance of carbohydrates immobilized *via*  
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5 arylidiazonium grafting as fouling resistant coatings on coupons of three different materials  
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7 of technological importance: stainless steel, nylon and PES. Polyamide materials and metal  
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9 alloys are used regularly in the marine environment and are particularly susceptible to  
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11 marine fouling, while PES is a common membrane material used in aquatic sensors.  
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13 Lactosides were chosen for immobilization *via* spontaneous arylidiazonium grafting, because  
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15 of their lack of calcium-binding carboxylic acid residues,<sup>28</sup> and on the basis of previously  
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17 published comparative tests on the performance of simple glycosides.<sup>24, 32, 33</sup> First, we report  
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19 on the effectiveness of spontaneous functionalization reactions on the above substrates;  
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21 second, we report the results of immersion tests in a coastal environment for 20 days over  
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23 the summer period. Results from field tests indicate that carbohydrate coatings show  
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25 promise as a sustainable and environmentally benign approach for reducing adhesion and  
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27 retention of marine foulants.  
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### 33 34 **Experimental Methods**

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36 **Chemicals and Materials:** Polyamide- Nylon 6 (N6) sheets, marine grade stainless steel 316  
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38 foil (SS316), and Polyethersulfone sheets (PES) were purchased from Goodfellow;  
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40 formaldehyde solution for molecular biology  $\geq 36.0\%$  in H<sub>2</sub>O, hypophosphorous acid solution  
41  
42 50%wt. in H<sub>2</sub>O, sodium hypochlorite (bleach), sodium hydroxide, potassium hydroxide,  
43  
44 phosphate buffered saline buffer (0.010 M PBS, pH 7.4), sodium nitrite, hydrochloric acid  
45  
46 and fluoroboric acid were purchased from Sigma Aldrich. Aquasnap ATP Total Water testing  
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48 strips were purchased from Water Technology Ltd. Bovine Serum Albumin (BSA) conjugates  
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50 with Alexa Fluor 647 were purchased from Biosciences. Bovine Serum Albumin (BSA) and  
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52 peanut agglutinin from *Arachis Hypogaea* (PNA) conjugates with fluorescein isothiocyanate  
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54 (FITC)  
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**Scheme 1.** 4-aminophenol- $\beta$ -D-lactopyranose compounds used for all functionalized samples and reaction protocol used for diazotization and functionalization with aryldiazonium cations in situ.

were purchased from Sigma Aldrich. 4-aminophenol- $\beta$ -D-lactopyranose and its fluorinated analogue 2-fluoro-4-aminophenol- $\beta$ -D-lactopyranose (Scheme 1) were synthesized as previously described.<sup>30,33</sup> The peracetylated lactoside 2-fluoro-4-aminophenyl-2,3,4,6-tetra-O-acetyl- $\beta$ -D-galactopyranosyl-(1 $\rightarrow$ 4)-2,3,6-tri-O-acetyl- $\beta$ -D-glucopyranoside (Scheme 1) was used for infrared experiments; synthesis and characterisation of this compound are reported in the Supplementary Information.

**Surface modification:** Prior to modification with aryldiazonium cations, both polyamide and stainless steel surfaces were pre-activated, while PES surfaces did not require pre-activation<sup>33</sup> and were used after light cleaning in methanol only. Nylon-6 (N6) samples were pre-activated by overnight immersion at 30 °C in a 36% aqueous formaldehyde solution with a catalytic amount of hypophosphorous acid. Stainless Steel samples (SS316) were pre-



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3 treated with 0.5% NaClO in basic aqueous solution (KOH 1% and NaOH 1%);<sup>32</sup> surfaces were  
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5 immersed three times in fresh solution for 10 min at room temperature. Samples were  
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7 rinsed thoroughly with deionized water and functionalized *via* immersion in freshly  
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9 prepared 1.0 mM solutions of aryldiazonium cations generated *in situ* from the  
10  
11 corresponding amine, 4-aminophenol- $\beta$ -D-lactopyranose (Scheme 1), following previously  
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13 published protocols.<sup>30</sup> Briefly, a 1.25 mM solution of the 4-aminophenol in 0.00150 M HBF<sub>4</sub>  
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15 was prepared and cooled to 4 °C or less in an ice bath for 1 h. The cold precursor solution  
16  
17 was prepared and cooled to 4 °C or less in an ice bath for 1 h. The cold precursor solution  
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19 was diluted via addition of a 0.010 M NaNO<sub>2</sub> to a final concentration of 0.0010 M in 4-  
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21 aminophenol precursor, acid and nitrite. Samples were immersed immediately into the  
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23 precursor solution and kept in the dark for 1 h, then rinsed with deionized water and kept  
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25 under wet storage in deionized water prior to further testing. For field studies a typical  
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27 batch size for material modification was 3 L, while laboratory experiments involved the  
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29 preparation of 25 mL solutions. Functionalization using peracetylated precursors followed  
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31 the same protocol except for the use of acetonitrile as a solvent; samples were rinsed using  
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33 sonication in acetonitrile/methanol, a protocol that had been shown to be effective at  
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35 removing physisorbed acetylated aryldiazonium glycosides.<sup>30</sup>  
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41 **Surface Characterization:** Water contact angles (WCA) were determined for all samples  
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43 using the sessile drop method (FTA1000), using 20  $\mu$ L droplets. Infrared reflectance  
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45 absorption spectroscopy (IRRAS) characterization was carried out on a Bruker Tensor 27  
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47 infrared spectrometer equipped with a mercury cadmium telluride detector and a VeeMax II  
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49 specular reflectance accessory with a wire grid polariser. All spectra were collected using p-  
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51 polarized light; 100 scans at 4 cm<sup>-1</sup> were collected for all samples and an unmodified sample  
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53 was used as substrate. X-ray photoelectron spectroscopy (XPS) was carried out on a VG  
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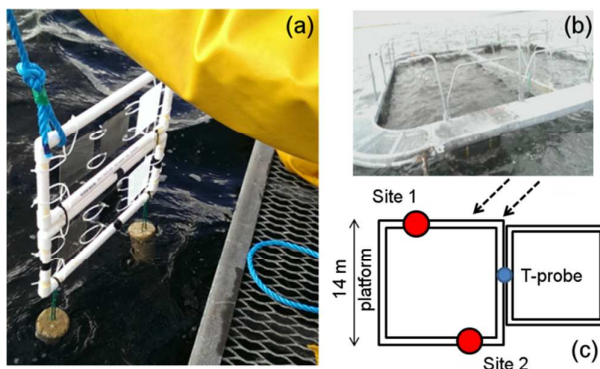
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3 Scientific ESCALab MK II system with an Al K $\alpha$  source at 90° takeoff angle. Wide surveys and  
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5 core level spectra were collected at 50 and 20 eV pass energy, respectively. All spectra were  
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7 calibrated to the Cr 2p<sub>3/2</sub> peak of Cr<sub>2</sub>O<sub>3</sub> present in the stainless steel substrate at 576.7 eV  
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9 (Figure S1).<sup>34, 35</sup> Fits were carried out using commercial software (CasaXPS) using Voigt line  
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11 shapes and background correction; atomic ratios were calculated from peak areas after  
12  
13 correction for relative sensitivity factors (RSF<sub>C1s</sub> = 1; RSF<sub>F1s</sub> = 4.43; RSF<sub>Cr2p</sub> = 11.7; RSF<sub>Fe2p</sub> =  
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15 16.4). Optical depths were calculated from UV-Vis transmittance measurements (Lambda 35  
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17 Perkin Elmer). Scanning electron microscopy (SEM) images were obtained on a Karl Zeiss  
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19 Ultra Field Emission SEM at accelerating voltages between 2-3 kV in secondary electron  
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21 mode. Helium ion microscopy (HIM) was obtained on a Karl Zeiss NanoFab HIM at 0.2-0.6 pA  
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23 beam currents and 30 kV accelerating voltage, while sample charging was minimized using a  
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25 flood gun.  
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32 **Affinity binding and protein adsorption studies *via* fluorescence imaging.** To determine  
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34 protein rejection ability, samples of N6 and SS316 were incubated in 0.2 mg mL<sup>-1</sup> solutions  
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36 of BSA fluorescent conjugates in PBS at pH 7.4 for 1 h; Alexa-647 and FITC were the dyes  
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38 used for N6 and SS316, respectively. To determine lectin binding affinity, samples of SS316  
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40 were incubated for 1 h in a 0.2 mg mL<sup>-1</sup> solution of PNA-FITC conjugate in pH 7.4 PBS buffer  
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42 with 0.1 mM CaCl<sub>2</sub> and MgCl<sub>2</sub>. All samples were washed with PBS solution prior to imaging  
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44 to remove excess unbound protein. Fluorescence images were acquired using an Olympus  
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46 BX51 inverted microscope with cellSense digital image processing software. Emission  
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48 intensities were analysed in triplicate using Image J software.<sup>32</sup>  
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54 **ATP determinations:** Adenosine triphosphate (ATP) concentrations per square cm of  
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56 substrate material were determined using the luciferase assay as implemented in a  
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3 commercial kit (Aquasnap Total Water).<sup>36</sup> The assay was first calibrated using standard  
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5 solutions and the luminometer (Hygiena) to obtain a conversion from relative luminescence  
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7 units (RLU) to ATP concentration (in nM range). Samples of approximately 1 cm<sup>2</sup> were cut  
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9 from each coupon in triplicate; the cutting was suspended in a known volume of deionized  
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11 water (10 mL or 5 mL, depending on level of fouling) in sterile centrifuge tubes and then  
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13 sonicated for 10 min. The value of RLU was determined for each water sample and  
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15 converted to ATP concentrations; water samples were diluted if needed to bring the ATP  
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17 concentration within the linear range of the assay. Post sonication, the cuttings were dried  
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19 under argon and their mass determined; the relative exposed area was estimated from the  
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21 mass of the cleaned sample cutting and this value was used to surface-normalise ATP  
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23 determinations on individual cutting. Values were compared using ANOVA at 5%  
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25 significance level ( $\alpha = 0.05$ ).  
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32 **Coastal Immersion Study:** Immersion studies were carried out on 24<sup>th</sup> August 2016 in  
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34 Bertraghboy Bay, County Galway, at the site of an unused salmon farming platform  
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36 (Lehanagh pool). Following functionalization, 6 control and 6 functionalized coupons, 100 x  
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38 100 mm<sup>2</sup> in size, were transported within 24 h under wet storage to the testing site located  
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40 at 150 m from the shore (53.402267°N, 9.820329°W). Polyethylene frames on which N6,  
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42 SS316 and PES coupons had been mounted were set up as shown in Figure 1a. Frames were  
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44 transported by power boat to the testing site and suspended from the edge of the test site  
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46 (Figure 1b) at a depth of approximately 1 m, considered to be optimal for rapid biofouling.<sup>37</sup>  
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48 The frames were weighted to ensure that all samples would remain in a vertical position  
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50 throughout the duration of the trial which lasted 20 days over the summer months (Aug 24  
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52 – Sept 13). The mean water temperature during the 20 day trial was 16.84 ± 0.31 °C  
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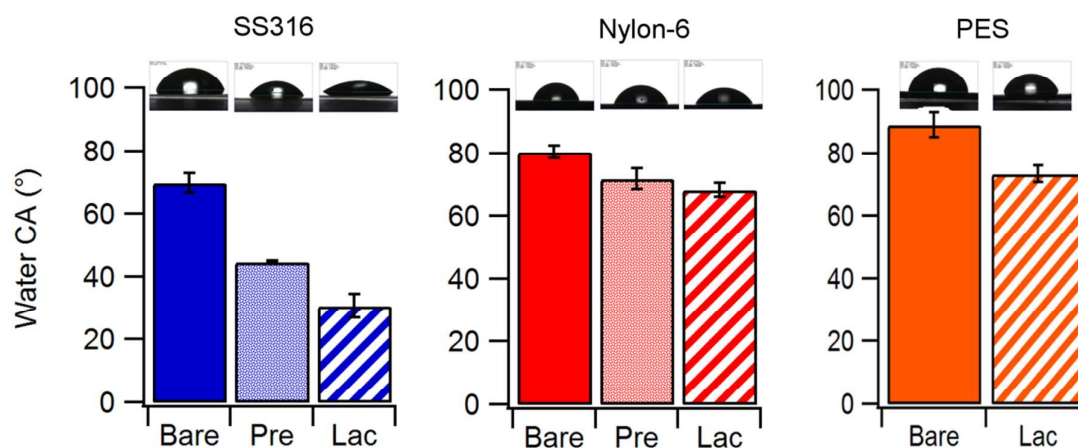
**Figure 1.** (a) Assembled frame with coupons, arranged from left to right, PES, SS316 and N6, immediately prior to immersion in sea water. (b) Salmon farm platform from which frames with coupons were suspended. (c) Scheme showing the two adjacent platforms and the location of frames at Site 1 and Site 2 relative to the tide (dashed arrows); a temperature probe measured surface water temperature at the position indicated in blue.

(maximum 18.20 °C, minimum 16.23 °C), measured from readings at 1 m depth (StowAway TidbiT). Two positions were chosen for suspending the frames: these are denoted as site 1 and site 2 and are mapped to the platform configuration in Figure 1c. Three samples of each control and functionalized coupon were mounted at each site, i.e. a total of 12 coupons, 4 of each material distributed over the two sites. After the 20 day test, all samples were transported to the laboratory immersed in seawater prior to testing. Samples were rinsed under a stream of deionized water delivered 10 cm above the sample by gravity for 30 s on each side. This procedure was used across all samples to remove loosely attached biomass. Samples were analysed immediately or stored frozen for further characterization.

## Results

### Aryldiazonium modification of Stainless Steel and Nylon coupons

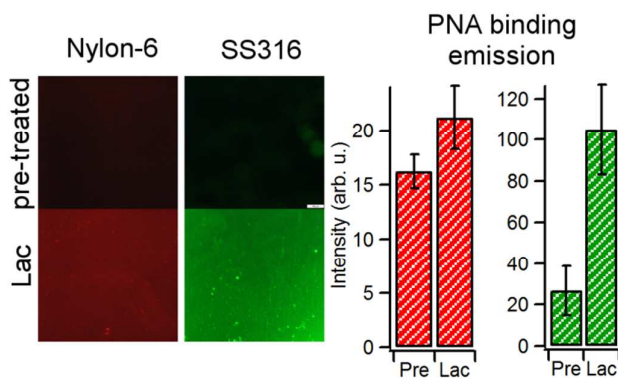
Coupons of polyethersulfone (PES), stainless steel (SS316) and Nylon-6 (N6) were first modified using lactoside groups *via* spontaneous reaction of aryldiazonium cations to yield



**Figure 2.** Water contact angle values obtained on bare, pre-treated (except for PES) and Lactose-modified (Lac) surfaces of SS316, Nylon-6 and PES. Samples were pre-activated in caustic bleach and formaldehyde solutions in the case of SS316 and nylon-6, respectively.

surfaces denoted as Lac-PES, Lac-SS and Lac-N6. Aryldiazonium cation solutions were freshly prepared immediately prior to functionalization following standard diazotization protocols; briefly, the arylamine compound was reacted with sodium nitrite in acid aqueous solution at 4 °C (Scheme 1) yielding the corresponding aryldiazonium cation, a highly reactive species.

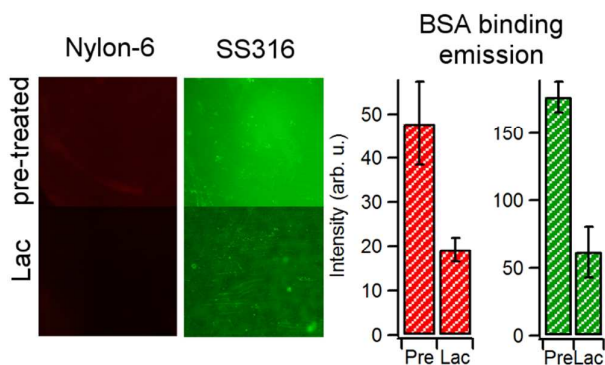
Work from our group demonstrated that PES undergoes functionalization by spontaneous reaction after immersion of pristine substrates in these solutions and we refer to our previous publication for a full characterization of Lac-PES surfaces thus obtained.<sup>33</sup> In the case of SS316 and N6, surfaces were pre-activated prior to functionalization. SS316 surfaces were pre-activated in caustic hypochlorite (bleach) solutions; this treatment is known to have cleaning and oxidising effects on SS316 surfaces.<sup>38-40</sup> N6 surfaces were pre-treated by immersion in formaldehyde solutions, which are known to activate amide groups in polyamides *via* formation of N-methylol groups.<sup>36, 41, 42</sup> Pre-activation treatments were found to increase surface hydrophilicity as evident from a marked change in water contact angle (Figure 2). The WCA of SS316 decreases from 69.8° to 44.5°, as expected from



**Figure 3.** Fluorescence images obtained after lectin binding experiments using dye-conjugated PNA on Nylon-6 and SS316 after pre-treatment and after aryldiazonium modification with lactosides (Lac). The images show that the emission intensity is higher on lactose-modified surfaces. Bar plots represent average emission intensities of Alexa-PNA on Nylon-6 (red bars) and of FITC-PNA on SS316 (green bars) obtained at pre-treated (Pre) and at lactose-modified coupons (Lac).

oxidative cleaning of adventitious organics and exposure of a hydrophilic oxide film.<sup>43</sup> The WCA of nylon also decreases from a value of 80.4°, in agreement with literature values for pristine N6, to 71.7°; this is consistent with an increase in the surface density of hydroxyl groups resulting from formaldehyde treatment. Coupons of all three materials tested displayed a significant change in WCA after immersion in the aryldiazonium cation solution. Figure 2 shows that all surfaces decreased their WCA, as expected from the immobilization of hydrophilic saccharide groups and in agreement with previous reports on the effect of lactoside immobilization.<sup>31</sup>

Lactoside immobilization was further confirmed using binding studies using PNA lectin. PNA is known to display binding affinity towards galactose<sup>44</sup> and can be used to confirm the presence of surface-bound lactosides as these display an available galactose unit at the solid-liquid interface. Lac-SS and Lac-N6 coupons were incubated for 1 h in a solution of fluorescently labeled PNA and rinsed with PBS prior to imaging; Figure 3 shows fluorescence microscopy images of pre-treated and Lac-modified surfaces after PNA incubation and a



**Figure 4.** Fluorescence images obtained after protein adsorption experiments using dye-conjugated BSA on Nylon-6 and SS316 after pre-treatment and after aryldiazonium modification with lactosides (Lac). The images show that the emission intensity is lower on lactose-modified surfaces. Bar plots represent average emission intensities of Alexa-BSA on Nylon-6 (red bars) and of FITC-BSA on SS316 (green bars) obtained at pre-treated (Pre) and at lactose-modified coupons (Lac).

comparison of average emission intensities. The stronger emission observed for surfaces after reaction with aryldiazonium cations indicates preferential specific binding with respect to the corresponding bare pre-treated surface and is therefore supporting of functionalization. No evidence of modification was found in the absence of pre-treatment for either SS316 or N6 surfaces.

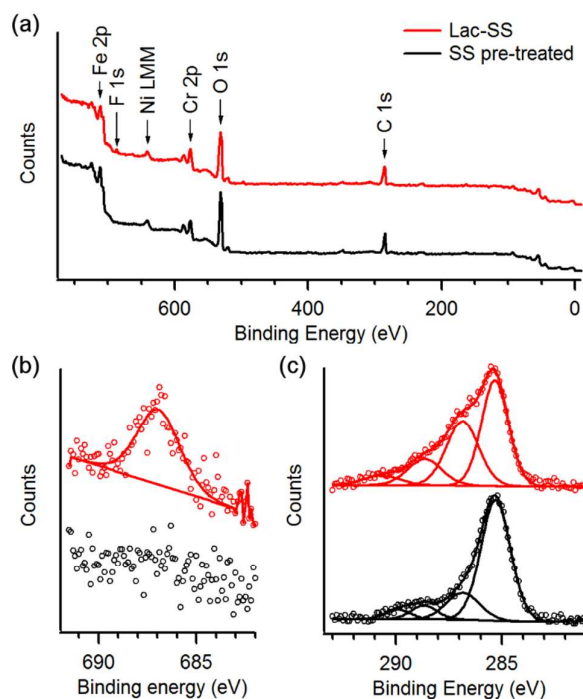
Protein adsorption experiments were also carried out using fluorescently labeled BSA, a protein that does not display specific binding with glycosides. Figure 4 shows images of pre-treated and Lac-modified SS316 and N6, together with a summary of average emission intensity values obtained using BSA on pre-treated and modified coupons. After functionalization with lactosides a decrease in emission is observed compared to the pre-treated surface, thus indicating that less BSA adsorbs at Lac-SS and Lac-N6 surfaces. This indicates, first, that the increase in fluorescence observed for Lac-SS and Lac-N6 after incubation in PNA solutions is the result of specific Gal-PNA interactions. Second, that immobilization of small saccharides leads to a decrease in unspecific protein binding, in

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3 agreement with observations on the effect of glycoside coatings on carbon and other  
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5 polymer surfaces.<sup>31,33</sup>  
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9 In the case of SS316, functionalization was also confirmed using the fluoro-substituted  
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11 derivative of the lactoside precursor shown in Scheme 1, as the presence of fluorine  
12  
13 substituents provides good elemental contrast between the functional layer and the bare  
14  
15 substrate. Survey spectra of pre-treated and modified SS316 in Figure 5a show the  
16  
17 characteristic peaks of stainless steel associated with Fe 2p, Cr 2p, Ni LMM, O 1s, and C 1s  
18  
19 lines.<sup>45, 46</sup> Figures 5b and 5c show the spectra of SS316, in the F 1s and C 1s regions,  
20  
21 respectively, after pre-treatment and after surface modification in solutions of the  
22  
23 fluorinated aryldiazonium lactoside.  
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27  
28 Analysis of peak area ratios and fitting of the C 1s line yielded results summarised in Table 1.  
29  
30 The pre-treated SS316 surface shows C/Cr and Cr/Fe atomic ratios that are consistent with  
31  
32 those observed for plasma cleaned SS316 by Williams et al.;<sup>46</sup> the surface was found to be C-  
33  
34 and Cr-rich with respect to the bulk composition, in agreement with previous compositional  
35  
36 studies.<sup>34</sup> Deconvolution of the C 1s line shows the presence of four main peaks at 285.3 eV  
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38 (C—C and C—H), at 286.8 and 288.7 eV (C—O), and at 289.9 eV (C=O), in agreement with  
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40 previous reports for stainless steel surfaces.<sup>47</sup> After functionalization, a clear peak is evident  
41  
42 at 687.0 eV consistent with the F 1s binding energy of fluorinated organics, where F atoms  
43  
44 are in a low F/C content environment.<sup>48, 49</sup> Identical changes were obtained in the F 1s  
45  
46 region after functionalization using chloride as a counterion (Figure S2), thus confirming that  
47  
48 the F 1s peak does not arise from tetrafluoroborate contamination. Therefore, this result  
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50 suggests that after functionalization the aryl group is bound to the SS316 surface. The  
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52 conclusion is further supported by an increase of the C 1s peak intensity relative to the Cr 2p  
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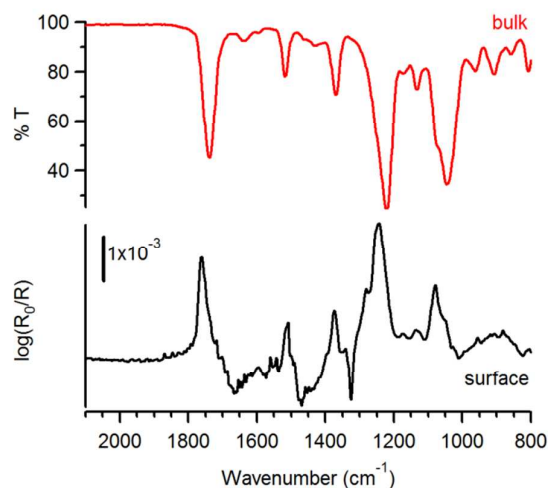
**Figure 5.** (a) Survey XPS spectra of SS316 after pre-treatment (black) and after modification with F-substituted aryl-lactoside (red). (b) F 1s and (c) C 1s high resolution spectra; these spectra show that upon reaction with aryldiazonium lactosides there appear peak contributions at 687 eV and at 286-289 eV that can be attributed to F-atoms and C—O groups, respectively.

**Table R1.** Summary of results from XPS analysis of spectra in Figure 5. Values in parentheses indicate %-contribution to the total peak intensity; elemental ratios are calculated as atomic ratios.

	SS pre-treated	Lac-SS
<b>C 1s (eV)</b>	285.3 (70%)	285.1 (46%)
	286.8 (18%)	286.7 (33%)
	288.7 (7%)	288.4 (15%)
	289.9 (5%)	290.5 (6%)
<b>F 1s (eV)</b>	-	687.0
<b>C/Cr at.</b>	6.9	7.6
<b>F/Cr at.</b>	-	0.30
<b>Cr/Fe at.</b>	0.67	0.68

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3 signal, which arises from the substrate alloy. The fit of the C 1s line of Lac-SS (Figure 5c)  
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5 shows (a) the appearance of a contribution at 290.5 eV, consistent with the binding energy  
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7 expected for a C—F group,<sup>48, 50, 51</sup> and (b) increased emission in the region 286-289 eV  
8  
9 consistent with greater surface density of C—O containing groups and with the presence of  
10  
11 surface bound glycosides. The RSF corrected peak area ratio  $(A_{286}+A_{288}):A_{687} = 12.2$  is in good  
12  
13 agreement with the 12:1 ratio of C—O to C—F expected from the molecular stoichiometry  
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15 of the fluorinated precursor, thus confirming the assignment of peaks in the region 286-289  
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17 eV to, predominantly, C—O groups from the lactoside, with likely minor contributions from  
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19 substrate carbon. These results therefore indicate that the functionalization protocol  
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21 resulted in surface modification of SS316 with aryl-lactosides.  
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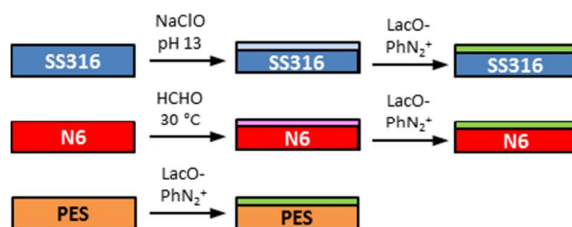
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28 An estimate of the molecular density can be obtained by assuming that the SS316 substrate  
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30 surface consists of  $\text{Cr}_2\text{O}_3/\text{Fe}_2\text{O}_3$  with 40%  $\text{Cr}_2\text{O}_3$  content ( $\text{Cr}/\text{Fe} = 0.67$ ), as calculated from  
31  
32 XPS and in agreement with Williams et al.<sup>46</sup> Considering that both  $\text{Cr}_2\text{O}_3$  and  $\text{Fe}_2\text{O}_3$  have a  
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34 density of  $5.2 \text{ g cm}^{-3}$ , the photoelectron attenuation depth of Cr 2p photoelectrons can be  
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36 predicted to be  $\lambda = 1.5 \text{ nm}$  using Gries' G-1 predictive formula.<sup>52</sup> Under the assumption that  
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38 no photoelectrons escape from depths  $>3\lambda$ , the average experimental F/Cr  $0.17 \pm 0.10$   
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40 atomic ratio measured over 5 samples yields an estimated mean density of  $1.9 \times 10^{-9} \text{ mol cm}^{-2}$ .<sup>53</sup> For a perfectly smooth surface, this coverage is equivalent to  $<5$  monolayers of  
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lactosides.<sup>30, 54</sup> Given that the microscopic roughness factor of unpolished SS316 is  $>1$ , the  
estimated coverage value suggests the presence of a relatively sparse lactoside layer, as  
expected from a spontaneous reaction of the oxide surface with these bulky aryl-diazonium  
cations and consistent with thin molecular layers formed on carbon substrates via similar  
protocols.<sup>31</sup>



**Figure 6.** Infrared transmittance spectrum of a peracetylated aminophenol lactoside precursor (red, top) and IRRAS spectrum at 80° incidence of the organic layer obtained after modification of a SS316 sample (black, bottom) with the same aryldiazonium precursor. The IRRAS spectrum displays the characteristic peaks of the precursor compound; peak assignments are discussed in the main text.

Finally, functionalization of SS316 surfaces was confirmed using a peracetylated analog of the aminophenol lactoside precursor (see Scheme 1): acetyl moieties serve as infrared labels thanks to their intense infrared absorbances.<sup>30</sup> Figure 6 shows the IRRAS spectrum of a SS316 sample after functionalization (surface, bottom trace), compared to the transmittance spectrum of the peracetylated phenyl-glycoside precursor compound (bulk, top trace).<sup>30</sup> The IRRAS spectrum displays the characteristic peaks of acetyl groups at 1760 cm<sup>-1</sup> (C=O stretching), 1373 cm<sup>-1</sup> (CH<sub>3</sub> bending) and 1246 cm<sup>-1</sup> (C-O-C asymmetric stretching).<sup>55</sup> The peak centered at 1080 cm<sup>-1</sup> is associated with C-O stretching modes of the carbohydrate ring, while the peak at 1510 cm<sup>-1</sup> arises from C-C skeletal vibrations of phenyl rings.<sup>33, 55</sup>

In summary, the functionalization protocol outlined in Scheme 2 was found to be successful at grafting lactoside groups *via* spontaneous reactions of aryldiazonium cations onto SS316



**Scheme 2.** Protocol used for the modification of SS316, N6 and PES.

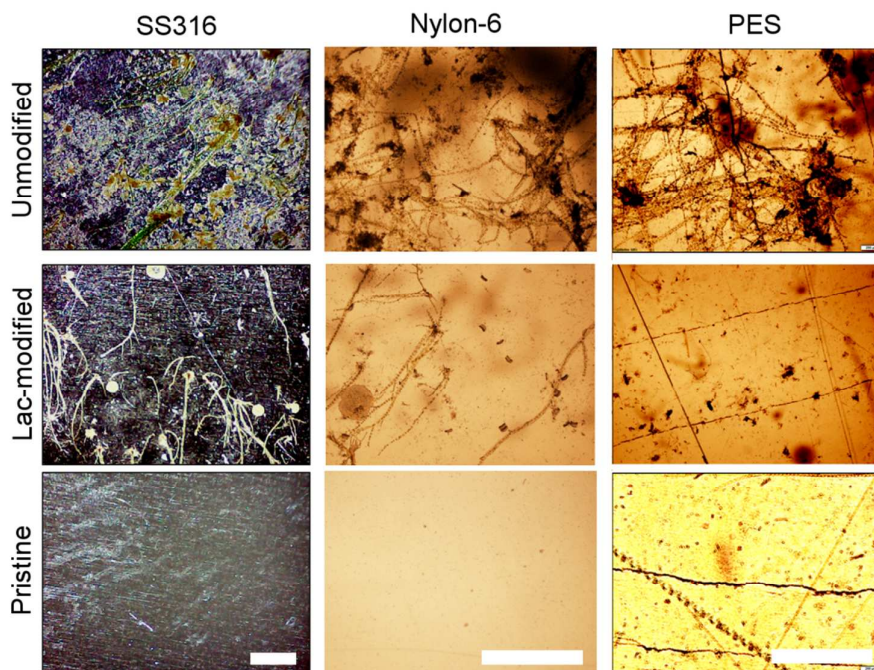
and N6 surfaces. To the best of our knowledge this is the first reported protocol for the modification of nylon using aryldiazonium cations. It is interesting to note that the pre-treatment protocol results in the formation of  $\text{-OH}$  groups and it is therefore likely that the functionalization mechanism involves nucleophilic attack of the hydroxyl onto the electron deficient para position of the aryl ring, in analogy to the  $\text{S}_{\text{N}}1$  hydrolysis mechanism of aryldiazonium cations (see Scheme S1).<sup>56</sup> As regards stainless steel functionalization, most previous reports make use of cathodic electrografting reactions that can be driven even in the presence of a continuous passive oxide.<sup>57-59</sup> Small et al.<sup>60</sup> recently reported on the spontaneous attachment of fluorinated aryldiazonium salts on stainless steel from solution, achieved by polishing samples immediately prior to modification. Mechanical polishing breaks down the steel passive oxide, exposing the iron-rich underlayer which can act as an effective spontaneous reductant in aryldiazonium grafting, in agreement with findings on various oxide-free metals.<sup>61</sup> In our case we have carried out an oxidising pre-treatment which is expected to yield instead a homogeneous hydrophilic passive oxide that cannot directly reduce the aryldiazonium cation; nonetheless, this oxide surface offers a high density of functional  $\text{M-OH}$  and/or  $\text{M-OOH}$  sites<sup>60, 62</sup> available to chemical reaction. There are few reports of spontaneous aryldiazonium reactions on oxides,<sup>63, 64</sup> however the spontaneous formation of  $\text{M-O-Ar}$  bonds has been demonstrated experimentally.<sup>64</sup> Based

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3 on our results, spontaneous grafting can take place on passivated stainless steel surfaces *via*  
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5 aryl group cross-linking. It is likely that, as in the case of reactions with primary alcohols,  
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7 functionalization proceeds *via* nucleophilic substitution involving oxide hydroxyl groups (see  
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9 Scheme S1).<sup>56</sup>  
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### 11 12 13 **Field tests of bare and lactose-modified surfaces**

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16 Control and lactose-modified coupons remained immersed in coastal waters for 20 days at  
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18 the end of which samples were taken out of the water and the amount of biomass  
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20 accumulated on the coupons was compared using a combination of optical and scanning  
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22 microscopies, ATP content analysis, IRRAS in the case of SS316 and optical transmission in  
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24 the case of PES. After immersion minimal differences were observed among different  
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26 coupon materials, and between lactose-modified samples and unmodified controls of the  
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28 same material upon visual inspection (Figure S3). However, after controlled light rinsing it  
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30 was possible to observe clear and significant differences between coated and uncoated  
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32 samples as discussed below.  
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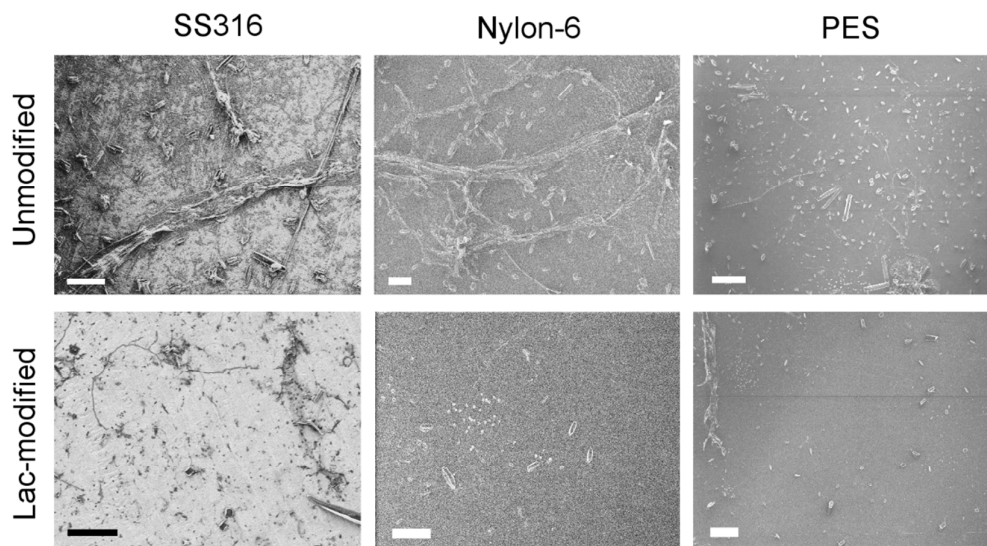
37  
38 Figure 7 shows representative optical microscopy images of SS316, nylon-6 and PES coupons  
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40 positioned at site 1, together with images of a corresponding pristine surface that had not  
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42 undergone immersion; images of coupons at site 2 showed a similar trend (Figures S4-S6).  
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44 Samples that had been coated with the aryldiazonium layer of lactoside units were found to  
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46 display a visibly lower density of foulants. Unmodified samples in Figure 7 (top row) appear  
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48 to show the evidence of secondary adhesive structures (algae pads or stalks),<sup>65</sup> which are  
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50 mostly absent in Lac-modified samples (middle row), and that are important for the  
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52 development of microbial slimes. Figure 8 shows images at higher resolution obtained by  
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**Figure 7.** Optical microscope images of coupons of SS316, Nylon-6 and PES (scalebar = 1 mm) extracted after 20 day immersion in coastal waters at site 1 (see Figure 1); samples were rinsed under the same conditions prior to imaging. The top row shows images of coupons that had not been coated with an aryldiazonium layer of glycosides; the middle row shows coupons that had been coated with a layer of lactosides prior to immersion; the bottom row shows samples as supplied by the vendor, without undergoing any immersion tests. All immersed samples display biomass accumulation however the density of adhered organic matter appears to be higher on unmodified when compared to lactoside-modified samples.

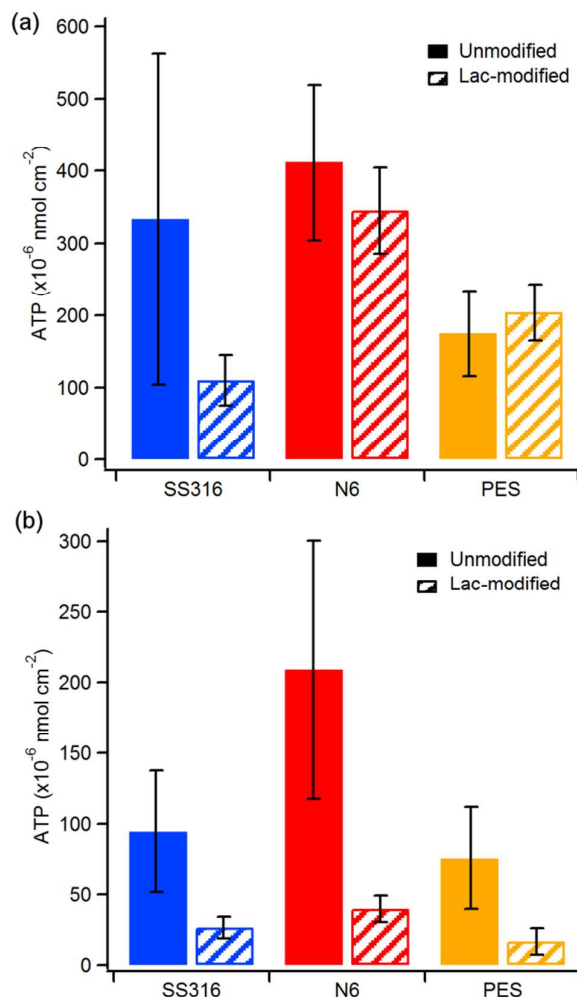
SEM and HIM microscopies on SS316 and polymer coupons, respectively. It is possible to observe the presence of diatoms and mucilaginous trails; visual inspection suggests that pennate diatoms dominate the retained deposits, in agreement with typical findings in marine fouling experiments.<sup>65</sup> Scanning microscopy images also confirmed that a higher density of foulants remained adhered to the unmodified coupons compared to the modified ones for all tested materials.

Total ATP is an indicator of microbial biomass content and can be used to assess biomass accumulation at surfaces.<sup>66</sup> Samples of known size taken from coupons were immersed into identical volumes of deionized water and sonicated to extract adsorbed biomass; a



**Figure 8.** Microscopy images of coupons of SS316 (SEM, scalebar = 40  $\mu\text{m}$ ), Nylon-6 (HIM, scalebar = 40  $\mu\text{m}$ ) and PES (HIM, scalebar = 100  $\mu\text{m}$ ). The figures show details of surfaces after 20 day immersion in coastal waters followed by rinsing under identical conditions prior to imaging. The top row shows images of coupons that had not been coated with an aryldiazonium layer of glycosides; the bottom row shows coupons that had been coated with a layer of lactosides prior to immersion.

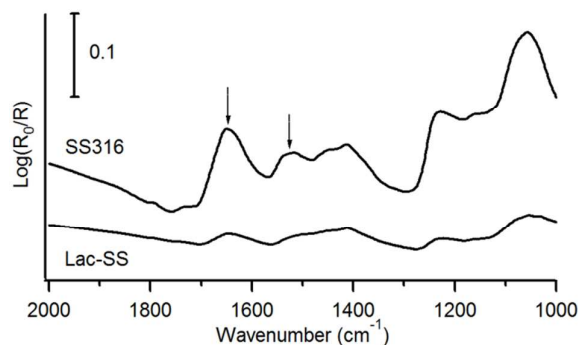
commercial bioluminescence assay was used in order to compare the ATP content extracted from control and lactose-modified samples. All RLU values were determined in deionized water and dilution factors were chosen which ensured that measurements fell within the linear dynamic range of the assay;<sup>67</sup> this procedure allowed for a conversion of RLU values to ATP concentrations in the extract and subsequent conversion to ATP mass released per unit area. Figure 9a shows a summary of ATP determinations obtained for SS316, nylon-6 and PES surfaces after immersion tests and prior to any rinsing. A comparison of ATP values indicates that biofilm accumulation was unaffected by the nature of the substrate material, with similar values obtained for SS316, N6 and PES coupons ( $P = 0.18$ ). ATP values were found to be similar for control and modified coupons; in the case of SS316, results suggest a beneficial effect from the coating ( $P = 0.08$ ) at a slightly higher significance level that might be clarified by further studies with a larger sample size.



**Figure 9.** Average ATP released per unit area from unmodified (solid) and lactose-modified (striped) SS316, nylon-6 and PES coupons after 20 day immersion tests in coastal waters prior to any rinsing (a) and after controlled rinsing (b). Error bars indicate 90% C.I.

Figure 9b shows a comparison of ATP values obtained at the three surfaces after controlled rinsing. The level of ATP measured at unmodified (control) surfaces was found to vary depending on the material, with results indicating that nylon-6 retains the highest levels of biomass. A comparison between control and lactose-modified samples clearly shows that surfaces coated by carbohydrate layers have significantly lower amounts of retained biomass; this was confirmed in the case of SS316 ( $P = 0.04$ ), N6 ( $P = 0.03$ ) and PES ( $P = 0.04$ ).

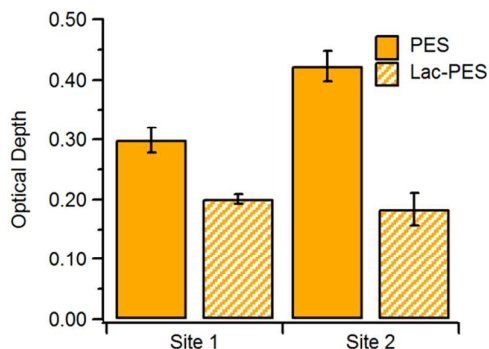




**Figure 10.** IRRAS spectra at 45° incidence of SS316 unmodified sample and lactose-modified SS316 after 20 day immersion tests; this specific sample was located at site 2 however in all cases unmodified samples show more intense absorption peaks. Arrows indicate peaks at 1645 cm<sup>-1</sup> and 1525 cm<sup>-1</sup> corresponding to amide I and amide II modes, respectively.

The controlled rinsing process resulted in a reduction of ATP for all samples, however, the effect is noticeably greater in the case of lactose-modified surfaces yielding reductions of 75%, 89% and 92% for SS316, nylon-6 and PES, respectively. These results therefore indicate that the lactoside layer has a strong impact on the ability of foulants to adhere to the material surface, thus improving resistance to biomass retention; this effect is particularly evident in the case of the two polymers tested.

IRRAS analysis was carried out to compare biomass accumulation at control and modified SS316; this was not possible in the case of N6 and PES due to the poor reflectance of these substrates. Figure 10 shows representative IRRAS spectra in the amide region of both a control and a lactose-modified SS316 sample after rinsing. The spectra show peaks at 1640 cm<sup>-1</sup> and 1530 cm<sup>-1</sup> which are assigned to the amide I and amide II modes, respectively, of polypeptides.<sup>55</sup> These peaks display higher intensity for unmodified SS316, thus indicating that the surface density of proteinaceous material accumulated on control surfaces is higher than on lactose-modified surfaces.



**Figure 11.** Optical depth of PES coupons at 600 nm measured after 20 day immersion test followed by controlled rinsing. Lac-modified samples are more transparent than unmodified ones.

PES coupons used in our studies were optically transparent, therefore, a quantitative assessment of biomass accumulation could also be obtained through measurements of optical depth ( $-\ln(T)$ ). Figure 11 shows a comparison of the optical depth at 600 nm measured through PES coupons using a pristine PES sample as background: lactose-modified samples were more transparent than unmodified ones, and independently of the site tested, displayed significantly lower optical depth than the corresponding control sample. These results are in agreement with ATP determinations and with microscopy observations.

Carbohydrate layers prepared *via* aryldiazonium chemistry are molecular coatings in the 1-2 nm thickness range that preserve the topography of the original substrate,<sup>31,33</sup> so that their main effect is expected to be on surface chemistry and free energy. Results from field experiments show that in the absence of rinsing these coatings do not significantly impact on fouling resistance and little difference is observed with controls. Coupons extracted after the 20 day immersion were significantly fouled by a mixture of organisms and the presence of the coating did not affect marine biofilm formation. However, the accumulated biomass

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3 was dramatically reduced at carbohydrate-modified surfaces after only light rinsing by  
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5 gravity driven streams. SEM and HIM imaging of samples showed that rinsing leaves a  
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7 relatively clean surface, indicating effective detachment of the biofilm under very mild  
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9 treatment. Therefore, these carbohydrate coatings were found to be effective at reducing  
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11 adhesion of foulants on all three materials tested.  
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15 Recent field tests of coatings based on zwitterionic polymers by Hibbs et al.<sup>68</sup> resulted in  
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17 similar findings: zwitterionic coatings were found to affect foulant retention after jet rinsing,  
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19 rather than to alter the amount of biomass accumulated on the coupons over the testing  
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21 period. The striking agreement with our trends suggests analogies in the mode of action of  
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23 carbohydrate thin films: these are thought to control fouling by regulating surface  
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25 hydration, which is a similar mechanism to that proposed for zwitterionic polymers,<sup>1</sup> albeit  
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27 in the absence of a change in surface electrostatic charge. It has been proposed that the  
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29 exact distribution of charged regions in zwitterionic coatings might play a role in modulating  
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31 settlement behaviour;<sup>68</sup> it would be therefore relevant to carry out similar experiments to  
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33 those by Aldred et al.<sup>22</sup> on settlement behaviour to investigate whether glycoside structure  
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35 and presentation could be similarly leveraged in carbohydrate coatings.  
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## 42 **Conclusions**

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44 Functionalization and field test results suggest that carbohydrate aryldiazonium layers could  
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46 find applications as fouling resistant coatings. For all materials tested, the density of  
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48 retained biomass at surfaces was found to be significantly lower on carbohydrate modified  
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50 samples with respect to unmodified controls. The mode of action of these layers appears to  
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52 affect biofilm adhesion rather than biofilm formation, operating *via* fouling release rather  
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54 than *via* antifouling mechanisms. It is recognized that fouling minimization in natural  
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3 seawaters is extremely challenging due to the presence of multiple organism populations  
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5 with a wide range of adhesion mechanisms. ATP tests suggest that fouling resistance  
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7 observed for lactoside-aryldiazonium layers is comparable to that observed for more  
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9 chemically complex coating systems in laboratory assays, which use populations containing  
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11 a single organism. It is therefore significant that the promising results herein reported were  
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13 obtained in coastal waters, over prolonged times of exposure and during the summer  
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15 months, when fouling activity is maximized.  
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20 Given the marked differences in physico-chemical properties among SS316 and the two  
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22 polymers it is also encouraging to observe similar trends independent of material, as it  
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24 suggests potential applicability on a variety of devices, including devices consisting of mixed  
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26 materials. Although comprehensive fouling control remains elusive, our experiments  
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28 indicate that thin carbohydrate layers could enhance the effectiveness of other fouling  
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30 control methods. For instance, they could be easily combined/integrated with topography-  
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32 based antifouling strategies, as they coat surfaces conformally with few molecular layers; or  
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34 be combined with mechanical methods to reduce power consumption associated to foulant  
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36 removal. The observed performance, together with the complete absence of toxicity and  
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38 environmental impact of glycan based coatings make them attractive as a sustainable  
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40 fouling mitigation strategy.  
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#### 46 47 **Supporting Information**

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49 Additional XPS spectra; details of compound synthesis, proposed functionalization  
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51 mechanism; comparison of coupons in the absence of rinsing and immersed at the two  
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53 different sites. This material is available free of charge *via* the Internet at \_\_\_\_\_.  
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## Notes

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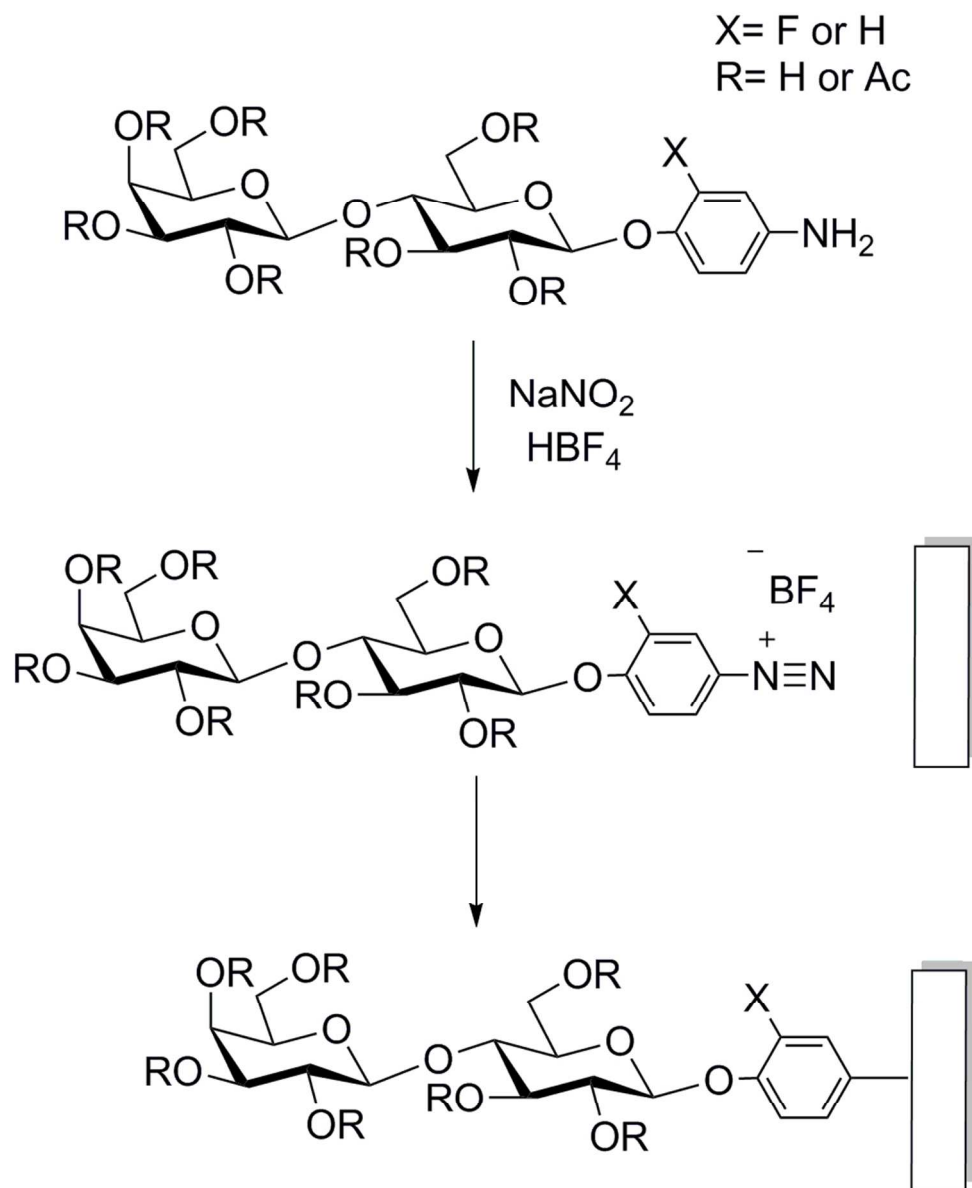
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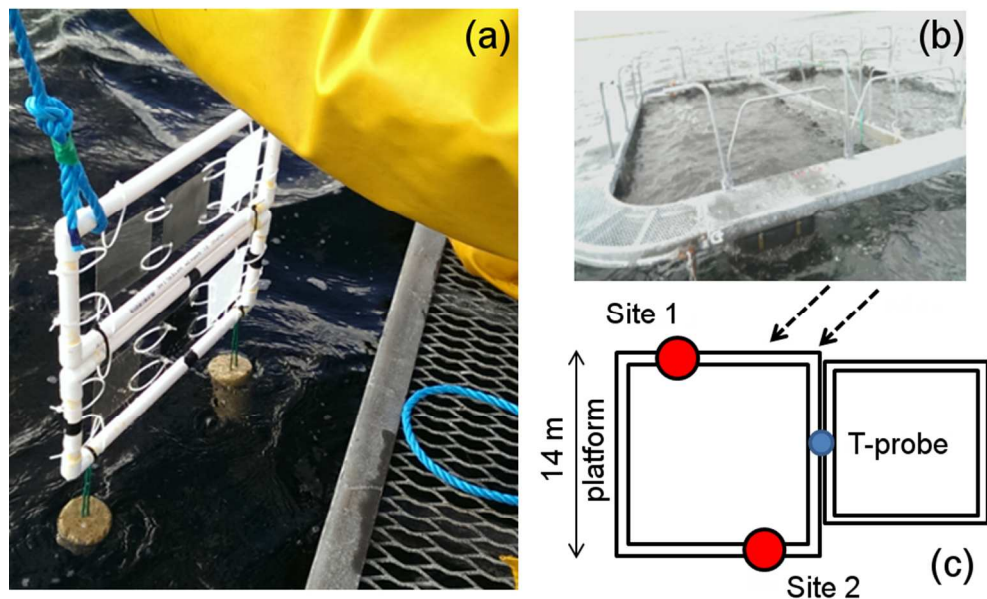
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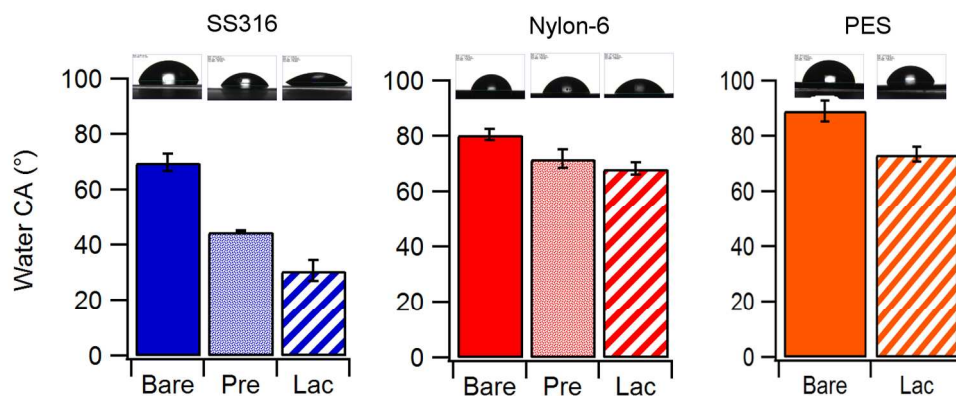
**Scheme 1.** 4-aminophenol- $\beta$ -D-lactopyranose compounds used for all functionalized samples and reaction protocol used for diazotization and functionalization with aryldiazonium cations in situ.

87x106mm (300 x 300 DPI)



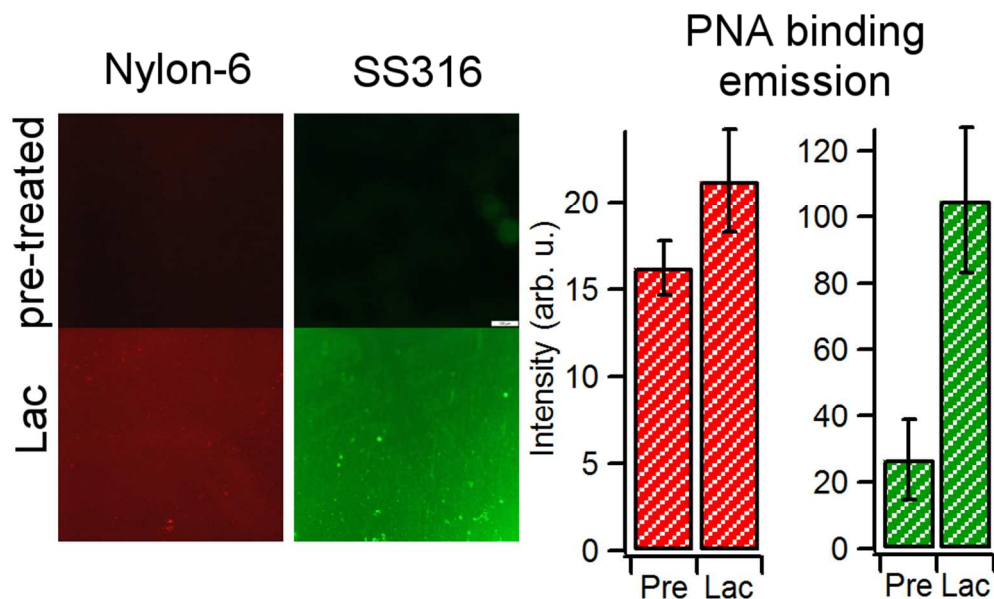
**Figure 1.** (a) Assembled frame with coupons, arranged from left to right, PES, SS316 and N6, immediately prior to immersion in sea water. (b) Salmon farm platform from which frames with coupons were suspended. (c) Scheme showing the two adjacent platforms and the location of frames at Site 1 and Site 2 relative to the tide (dashed arrows); a temperature probe measured surface water temperature at the position indicated in blue.

86x52mm (300 x 300 DPI)



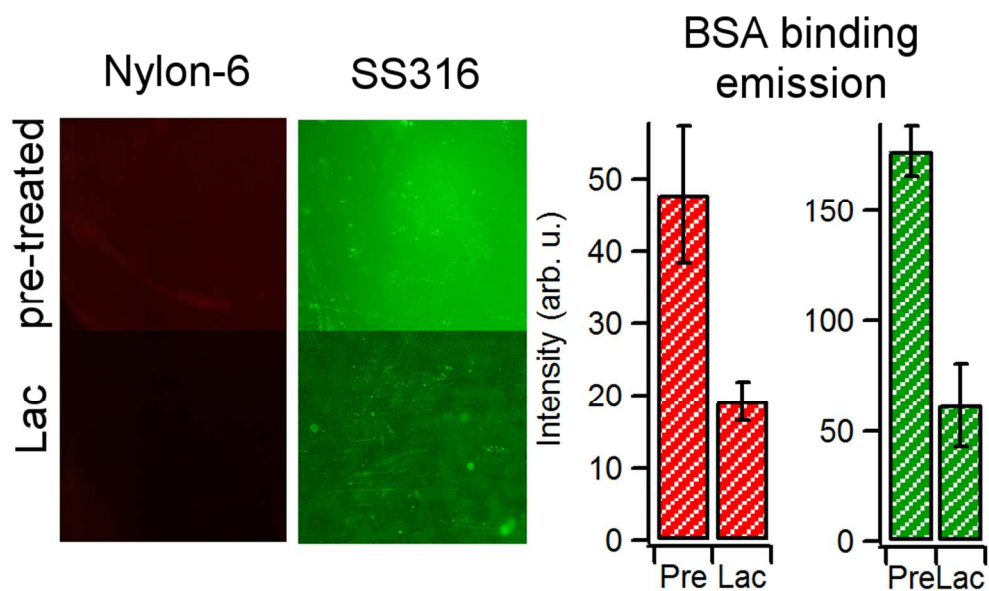
**Figure 2.** Water contact angle values obtained on bare, pre-treated (except for PES) and Lactose-modified (Lac) surfaces of SS316, Nylon-6 and PES. Samples were pre-activated in caustic bleach and formaldehyde solutions in the case of SS316 and nylon-6, respectively.

159x66mm (300 x 300 DPI)



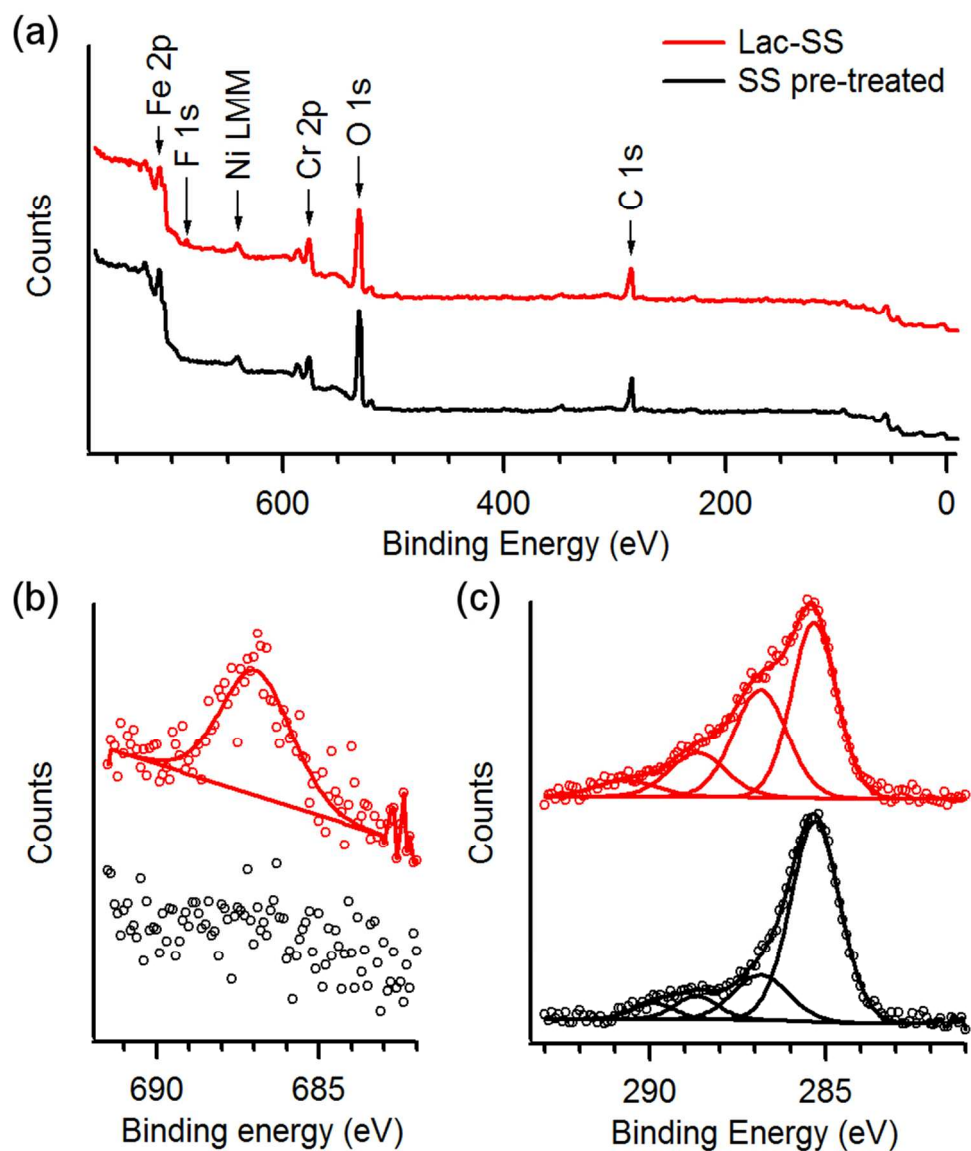
28 **Figure 3.** Fluorescence images obtained after lectin binding experiments using dye-conjugated PNA on  
29 Nylon-6 and SS316 after pre-treatment and after aryldiazonium modification with lactosides (Lac). The  
30 images show that the emission intensity is higher on lactose-modified surfaces. Bar plots represent average  
31 emission intensities of Alexa-PNA on Nylon-6 (red bars) and of FITC-PNA on SS316 (green bars) obtained at  
32 pre-treated (Pre) and at lactose-modified coupons (Lac).

33 87x53mm (300 x 300 DPI)



**Figure 4.** Fluorescence images obtained after protein adsorption experiments using dye-conjugated BSA on Nylon-6 and SS316 after pre-treatment and after aryldiazonium modification with lactosides (Lac). The images show that the emission intensity is lower on lactose-modified surfaces. Bar plots represent average emission intensities of Alexa-BSA on Nylon-6 (red bars) and of FITC-BSA on SS316 (green bars) obtained at pre-treated (Pre) and at lactose-modified coupons (Lac).

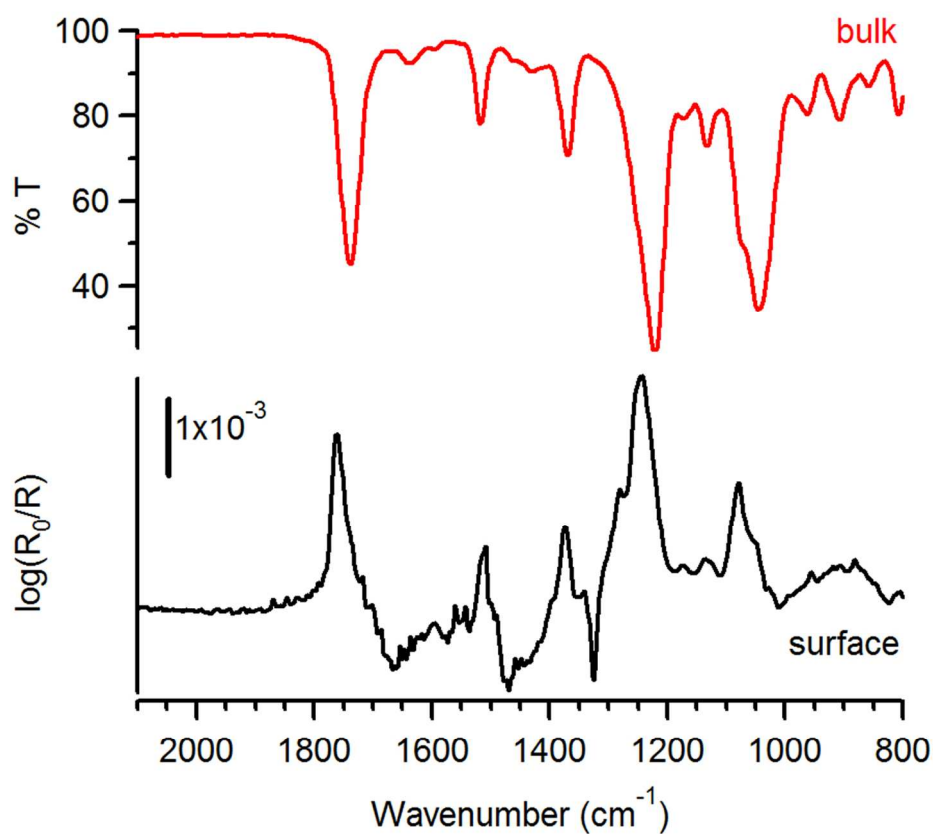
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**Figure 5.** (a) Survey XPS spectra of SS316 after pre-treatment (black) and after modification with F-substituted aryl-lactoside (red). (b) F 1s and (c) C 1s high resolution spectra; these spectra show that upon reaction with aryldiazonium lactosides there appear peak contributions at 687 eV and at 286-289 eV that can be attributed to F-atoms and C—O groups, respectively.

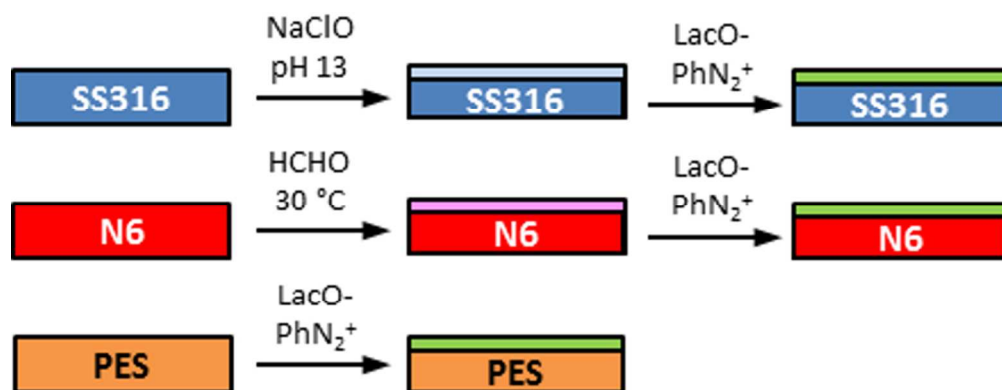
84x99mm (300 x 300 DPI)





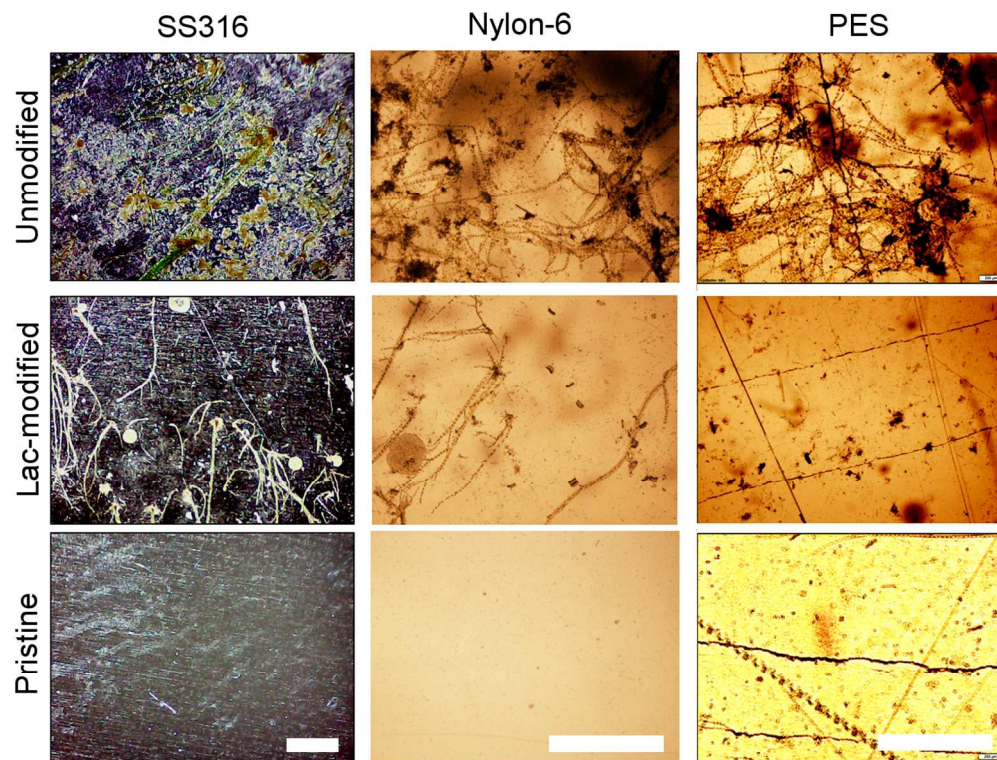
**Figure 6.** Infrared transmittance spectrum of a peracetylated aminophenol lactoside precursor (red, top) and IRRAS spectrum at 80° incidence of the organic layer obtained after modification of a SS316 sample (black, bottom) with the same aryldiazonium precursor. The IRRAS spectrum displays the characteristic peaks of the precursor compound; peak assignments are discussed in the main text.

81x69mm (300 x 300 DPI)



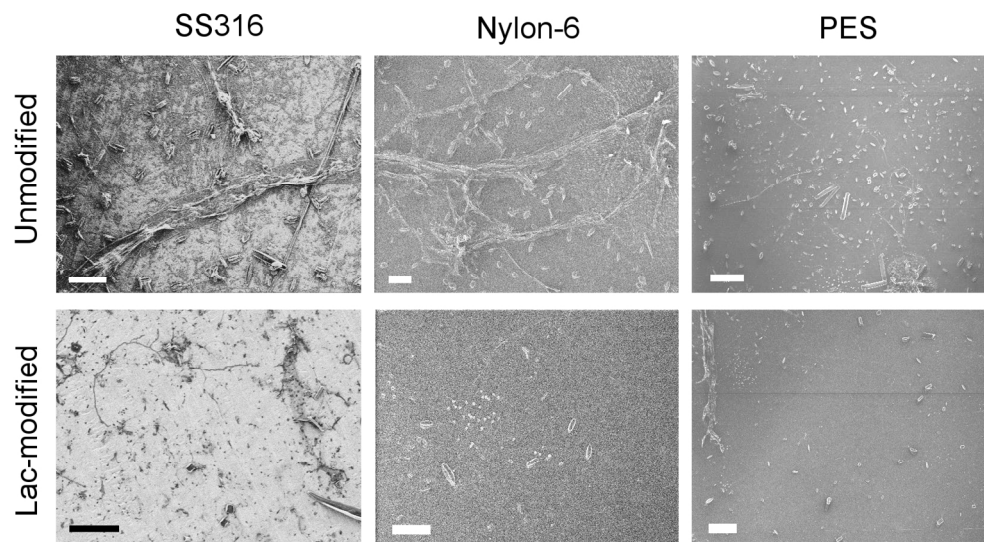
**Scheme 2.** Protocol used for the modification of SS316, N6 and PES.

80x30mm (300 x 300 DPI)



**Figure 7.** Optical microscope images of coupons of SS316, Nylon- and PES (scalebar = 1 mm) extracted after 20 day immersion in coastal waters at site 1 (see Figure 1); samples were rinsed under the same conditions prior to imaging. The top row shows images of coupons that had not been coated with an aryldiazonium layer of glycosides; the middle row shows coupons that had been coated with a layer of lactosides prior to immersion; the bottom row shows samples as supplied by the vendor, without undergoing any immersion tests. All immersed samples display biomass accumulation however the density of adhered organic matter appears to be higher on unmodified when compared to lactoside-modified samples.

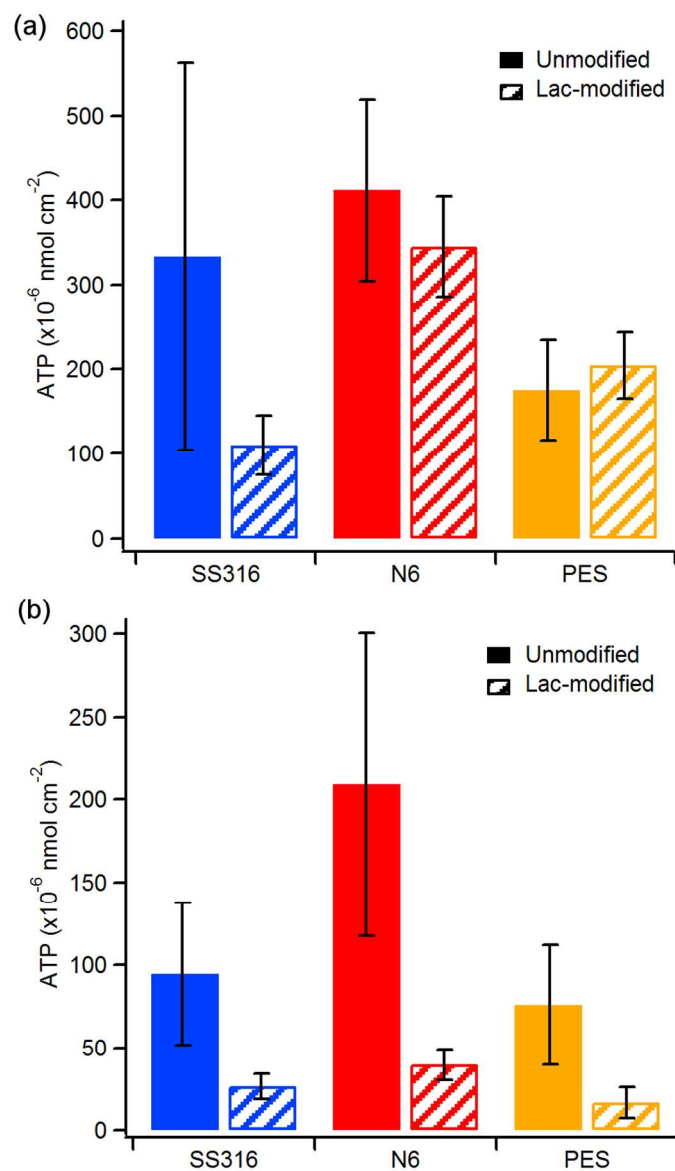
124x95mm (300 x 300 DPI)



26 **Figure 8.** Microscopy images of coupons of SS316 (SEM, scalebar = 40  $\mu\text{m}$ ), Nylon-6 (HIM, scalebar = 40  
27  $\mu\text{m}$ ) and PES (HIM, scalebar = 100  $\mu\text{m}$ ). The figures show details of surfaces after 20 day immersion in  
28 coastal waters followed by rinsing under identical conditions prior to imaging. The top row shows images of  
29 coupons that had not been coated with an aryldiazonium layer of glycosides; the bottom row shows coupons  
30 that had been coated with a layer of lactosides prior to immersion.

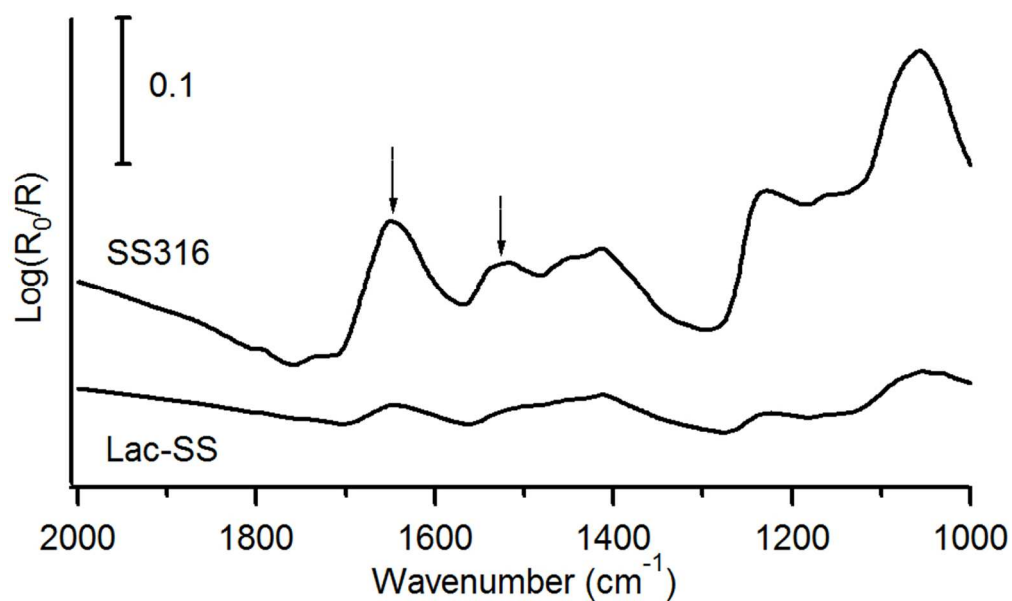
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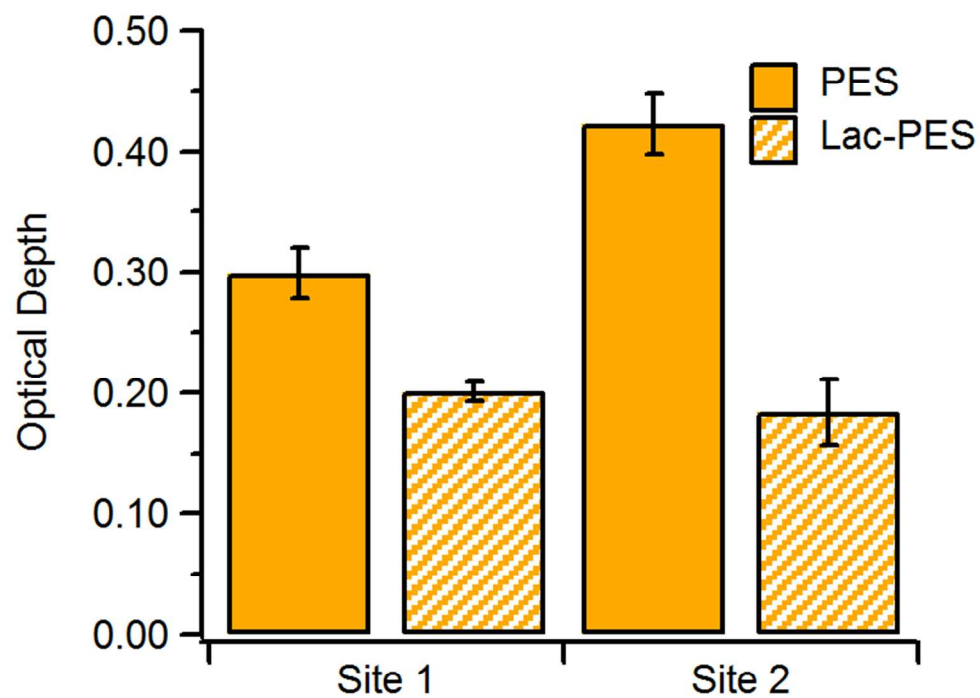
**Figure 9.** Average ATP released per unit area from unmodified (solid) and lactose-modified (striped) SS316, nylon-6 and PES coupons after 20 day immersion tests in coastal waters prior to any rinsing (a) and after controlled rinsing (b). Error bars indicate 90% C.I.

84x144mm (300 x 300 DPI)



**Figure 10.** IRRAS spectra at 45° incidence of SS316 unmodified sample and lactose-modified SS316 after 20 day immersion tests; this specific sample was located at site 2 however in all cases unmodified samples show more intense absorption peaks. Arrows indicate peaks at 1645  $\text{cm}^{-1}$  and 1525  $\text{cm}^{-1}$  corresponding to amide I and amide II modes, respectively.

80x50mm (300 x 300 DPI)

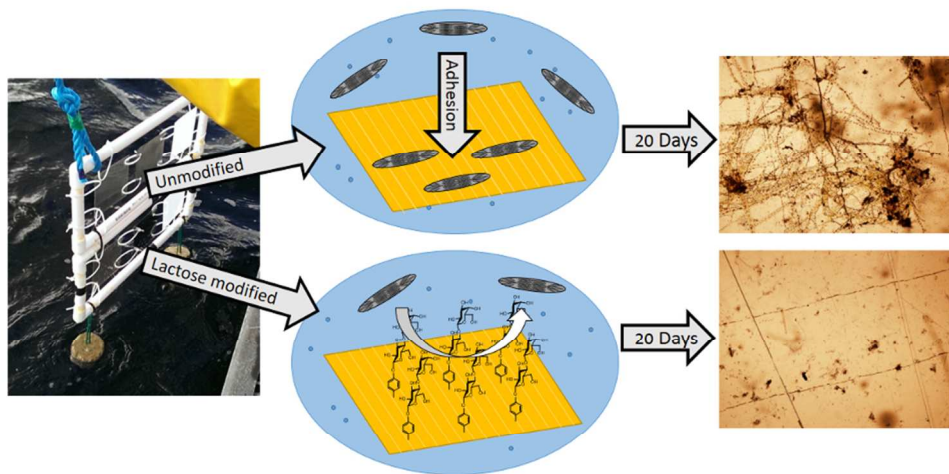


**Figure 11.** Optical depth of PES coupons at 600 nm measured after 20 day immersion test followed by controlled rinsing. Lac-modified samples are more transparent than unmodified ones.

69x55mm (300 x 300 DPI)



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Ultra-thin saccharide layers offer a non-biocidal, sustainable fouling mitigation strategy.

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