

# Report of a Restrictive Practice Thematic Inspection of a Designated Centre for People with Disabilities.

# Issued by the Chief Inspector

Name of designated centre:	Fair Winds
Name of provider:	St Catherine's Association Company Limited By Guarantee
Address of centre:	Wicklow
Type of inspection:	Short Notice Announced
Date of inspection:	22 November 2019
Centre ID:	OSV-0005580
Fieldwork ID:	MON-0027770

#### What is a thematic inspection?

The purpose of a thematic inspection is to drive quality improvement. Service providers are expected to use any learning from thematic inspection reports to drive continuous quality improvement which will ultimately be of benefit to the people living in designated centres.

Thematic inspections assess compliance against the National Standards for Residential Services for Children and Adults with Disabilities. See Appendix 1 for a list of the relevant standards for this thematic programme.

There may be occasions during the course of a thematic inspection where inspectors form the view that the service is not in compliance with the regulations pertaining to restrictive practices. In such circumstances, the thematic inspection against the National Standards will cease and the inspector will proceed to a risk-based inspection against the appropriate regulations.

#### What is 'restrictive practice'?

Restrictive practices are defined in the *Health Act 2007 (Care and Support of Residents in Designated Centres for Persons (Children And Adults) with Disabilities) Regulations 2013* as **'the intentional restriction of a person's voluntary movement or behaviour'**.

Restrictive practices may be physical or environmental<sup>1</sup> in nature. They may also look to limit a person's choices or preferences (for example, access to cigarettes or certain foods), sometimes referred to as 'rights restraints'. A person can also experience restrictions through inaction. This means that the care and support a person requires to partake in normal daily activities are not being met within a reasonable timeframe. This thematic inspection is focussed on how service providers govern and manage the use of restrictive practices to ensure that people's rights are upheld, in so far as possible.

**Physical** restraint commonly involves any manual or physical method of restricting a person's movement. For example, physically holding the person back or holding them by the arm to prevent movement. **Environmental** restraint is the restriction of a person's access to their surroundings. This can include restricted access to external areas by means of a locked door or door that requires a code. It can also include

<sup>&</sup>lt;sup>1</sup> Chemical restraint does not form part of this thematic inspection programme.

limiting a person's access to certain activities or preventing them from exercising certain rights such as religious or civil liberties.

### About this report

This report outlines the findings on the day of inspection. There are three main sections:

- What the inspector observed and residents said on the day of inspection
- Oversight and quality improvement arrangements
- Overall judgment

In forming their overall judgment, inspectors will gather evidence by observing care practices, talking to residents, interviewing staff and management, and reviewing documentation. In doing so, they will take account of the relevant National Standards as laid out in the Appendix to this report.

#### This unannounced inspection was carried out during the following times:

Date	Inspector of Social Services
22 November 2019	Ann-Marie O'Neill

# What the inspector observed and residents said on the day of inspection

Fair Winds is a children's residential service operated by St. Catherine's Association Company Limited by Guarantee. This residential designated centre is located in a rural area in North Co. Wickow.

The designated centre provides residential services for up to three children. Children living in this designated centre are teenagers and have associated autism, communication and sensory support needs

The centre is a detached single story building which consists of a kitchen/dining room, two sitting rooms, a number of shared bathrooms, three individual bedrooms, of which two are en-suite and a staff office. There is a well maintained enclosed garden to the rear of the centre containing suitable play equipment including a large trampoline and varying types of swings.

On arrival to the centre the inspector noted children were not present as they were attending school. While children were at school, areas of the centre were being painted. The inspector noted throughout the centre was maintained to a very high standard. A high standard of cleanliness and décor was noted throughout. The person in charge informed the inspector that the colour scheme chosen throughout the centre was informed by the sensory and autism needs of the children and were chosen to ensure they were calming and muted tones and colours.

Each child had their own bedroom, each bedroom was laid out and decorated to meet the assessed needs of residents and to reflect their personalities, interests and preferences. The inspector noted photographs of the children and their families throughout the centre and also in the children's bedrooms. There were also photographs of the children's friends, family pets, siblings and other important people in their lives.

The inspector observed sensory equipment and aids, for example an indoor hammock, physio balls and sensory aids for children which helped to reduce self-injurious behaviours and promoted sensory regulation. These items were made freely available for children to use as they wished and were located throughout the centre and in specific locations such as bathrooms, children's bedrooms and living room spaces.

A transport vehicle was assigned to the designated centre which ensured children could engage in activities in the local town, the wider community and go on shopping trips and excursions to Dublin or within County Wicklow, for example.

Overall, this centre presented as a tastefully decorated, homely place for children to live. It appeared child friendly and safe throughout and provided sensory based activities and play items for children to use inside or outside.

A few environmental restrictive practices were implemented in the centre, for example, the locking away of sharp objects, locking of the wardrobe in a resident's

bedroom at night time and shutters on a resident's bedroom window to create a darkened room and reduce distractions at night for promoting optimum sleep.

In addition staff engaged in breakaway and blocking techniques in response to instances where children engaged in behaviours that challenge which had the potential to cause themselves or others injuries. These techniques formed part of an overall behaviour support management plan for residents and were implemented only on occasion as the need arose from time-to-time.

It was demonstrated that where a restrictive practice was implemented there was a clear reason for its use which in all instances were for the prevention of injury to residents or as prescribed by an allied professional as part of the child's overall personal plan. Overall, the centre was managed in a way that promoted the rights of each child to live in a restraint free environment but in a way that also ensured their safety.

Of particular note was the comprehensive use of sensory aids for children living in the centre. The use and implementation of sensory based activities and provision of such aids greatly reduced instances of self-injurious type behaviours and behaviours that challenge. For example, good use was made of specific sensory aids which children wore or used each day to provide them with sensory feedback in a safe and managed way that could otherwise cause injury to themselves.

Staff were observed to wear some sensory aids which they provided to children when the need occurred. Some sensory aids supported children to engage in personal care in an independent way and supported them in being able to engage in activities in the community by providing them with sensory feedback that grounded them and reduced anxiety.

Towards the afternoon the children arrived home from school. The inspector gave them time to settle themselves after arriving home before greeting them. Each child had their own unique communication repertoire. Children were unable to provide the inspector with specific feedback about the centre. The inspector therefore interacted with children on their terms and engaged in observations of them in their home.

One resident chose to go to their bedroom to relax and didn't wish to engage with staff or the inspector. Staff informed the inspector that this was the resident's routine sometimes when they arrived home and it was their way of relaxing and getting settled after their day in school.

Another resident was very happy to see staff, and the inspector, and engaged in their specific communication style by using vocalisations and pictures. They brought the inspector by the hand and pointed to some photographs in the hallway. The photographs were of all the residents that lived in the centre and the inspector spent a short time naming each child in the photographs. The inspector sat with the resident in the living room along with a staff member and listened to music with the resident.

The inspector noted that each child appeared happy, content and relaxed in their

home. Staff were observed to be very attentive to each child and allowed them time and space when they indicated this was their preference. Staff were observed encouraging children to use their communication aids and facilitating choices for the children when they chose specific items, for example offering a piece of fruit when a child chose this using their electronic communication device.

Residents' communication needs were well met in this centre which ensured their rights to make choices and provide feedback was supported and promoted. Some children used electronic communication devices.

The person in charge and deputy manager described how such devices had been trialled with children to ensure they were the most optimum device for the child's specific communication needs and abilities. The inspector observed children in the centre in the afternoon following their arrival home from school. Each child demonstrated proficiency in using their electronic devices for the purposes of communication and choosing music they liked.

Visual time-tables and weekly calendars were also used in the centre to promote children's understanding of what was happening next or how there day was planned. Staff were observed directing children to use these pictorial aids to inform them of when something would occur next. Pictures were also used to inform children what staff were on duty during the day and at night-time. Children were observed taking photographs of their loved ones and handing them to staff to which staff responded with telling them when they would visit them again or see them.

Overall, it clearly demonstrated a child focused service was being provided in this designated centre. Children were supported to have pleasant positive experiences regularly in an environment that strived to provide safety and comfort taking into account their unique abilities and assessed needs.

It was also demonstrated where restrictive practices were utilised in the centre they were in place to manage an identified personal risk or assessed need for residents with a number of proactive strategies, such as sensory and communication systems, reducing the necessity for restrictions to be implemented in the first instance.

#### **Oversight and the Quality Improvement arrangements**

The provider, person in charge and staff were providing a restraint free environment for children living in this designated centre. It was clear from this inspection that children's rights to their own autonomy and choice were valued and promoted as much as possible. In addition, every effort was made to ensure parental and guardian feedback was taken into consideration as part of each child's care and support.

Prior to the inspection, the person in charge had completed and returned a restrictive practice self-assessed questionnaire. The inspector reviewed this document and found that the policies and practices outlined within the document were consistent with what the inspector observed during the inspection.

The provider had established a Rights Committee within the organisation providing an oversight arrangement in relation to the use of restrictive practices within the organisation. Referrals were submitted to this committee and restrictions were reviewed as required. The committee was made up of a number of stakeholders from within the organisation and an external stakeholder also. All restrictive practices in use in the centre had been reviewed by the committee.

The provider had created a restrictive practice register for the designated centre. In addition the provider had also established further governance oversight arrangements which included a restrictive practice log which was completed daily by staff and collated by the provider on a quarterly basis for tracking and trending purposes. Where some restrictions utilised showed an increase in length of duration implemented or an increase in frequency of use, this prompted the provider to engage with the staff team in a designated centre of the organisation to review the practices implemented and focus resources if required.

The inspector reviewed the restrictive practice register in the centre with the person in charge, as part of the inspection and discussed with the person in charge, and further with the CEO during the feedback meeting, where some enhancements to the restrictive practice register could be introduced to ensure a more comprehensive oversight arrangement, for example identifying the control measures currently in place to ensure a restrictive practice was used for the least amount of time and only for its prescribed purpose.

The centre was well resourced with adequate staffing arrangements in place to facilitate and support residents during the day and night. The inspector met with and spoke to staff working in the centre on the day of inspection and found them to be knowledgeable regarding the appropriate use of restrictive practices and the personalities and assessed needs of children living in the centre.

Staff were clear on how each agreed restriction should be implemented. All staff had received training in relation to positive behaviour support and management of potential and actual aggression.

Where required, behaviour support plans were in place. These plans focused on proactive strategies and de-escalation techniques to mitigate and prevent the likelihood of behaviours that challenge occurring in the first instance and a proactive approach to be implemented by staff should they occur. Each plan was created by a behaviour support specialist (allied professional), in conjunction with staff and informed by on-going review of evidence based data collected through daily monitoring charts and records maintained by staff.

The provider had also ensured the centre was adequately resourced with sensory equipment and aids to meet the needs of residents which contributed to a proactive management strategy to reduce the likelihood of behaviours that challenge from occurring.

# Overall Judgment

The following section describes the overall judgment made by the inspector in respect of how the service performed when assessed against the National Standards.

Compliant	Residents enjoyed a good quality of life where the culture, ethos
	and delivery of care were focused on reducing or eliminating the
	use of restrictive practices.

## Appendix 1

#### **The National Standards**

This inspection is based on the *National Standards for Residential Services for Children and Adults with Disabilities (2013).* Only those National Standards which are relevant to restrictive practices are included under the respective theme. Under each theme there will be a description of what a good service looks like and what this means for the resident.

The standards are comprised of two dimensions: Capacity and capability; and Quality and safety.

There are four themes under each of the two dimensions. The **Capacity and Capability** dimension includes the following four themes:

- Leadership, Governance and Management the arrangements put in place by a residential service for accountability, decision making, risk management as well as meeting its strategic, statutory and financial obligations.
- Use of Resources using resources effectively and efficiently to deliver best achievable outcomes for adults and children for the money and resources used.
- Responsive Workforce planning, recruiting, managing and organising staff with the necessary numbers, skills and competencies to respond to the needs of adults and children with disabilities in residential services.
- Use of Information actively using information as a resource for planning, delivering, monitoring, managing and improving care.

The **Quality and Safety** dimension includes the following four themes:

- Individualised Supports and Care how residential services place children and adults at the centre of what they do.
- Effective Services how residential services deliver best outcomes and a good quality of life for children and adults, using best available evidence and information.
- **Safe Services** how residential services protect children and adults and promote their welfare. Safe services also avoid, prevent and minimise harm and learn from things when they go wrong.
- Health and Wellbeing how residential services identify and promote optimum health and development for children and adults.

List of National Standards used for this thematic inspection (standards that only apply to children's services are marked in italics):

## **Capacity and capability**

Theme: Lea	Theme: Leadership, Governance and Management	
5.1	The residential service performs its functions as outlined in relevant legislation, regulations, national policies and standards to protect each person and promote their welfare.	
5.2	The residential service has effective leadership, governance and management arrangements in place and clear lines of accountability.	
5.3	The residential service has a publicly available statement of purpose that accurately and clearly describes the services provided.	

٦	Theme: Use of Resources	
	6.1	The use of available resources is planned and managed to provide person-centred, effective and safe services and supports to people living in the residential service.
	6.1 (Child Services)	The use of available resources is planned and managed to provide child-centred, effective and safe residential services and supports to children.

Theme: Res	Theme: Responsive Workforce	
7.2	Staff have the required competencies to manage and deliver person- centred, effective and safe services to people living in the residential service.	
7.2 (Child Services)	Staff have the required competencies to manage and deliver child- centred, effective and safe services to children.	
7.3	Staff are supported and supervised to carry out their duties to protect and promote the care and welfare of people living in the residential service.	
7.3 (Child Services)	Staff are supported and supervised to carry out their duties to protect and promote the care and welfare of children.	
7.4	Training is provided to staff to improve outcomes for people living in the residential service.	
7.4 (Child Services)	Training is provided to staff to improve outcomes for children.	

Theme: Use of Information	
8.1	Information is used to plan and deliver person-centred/child-centred, safe and effective residential services and supports.

# **Quality and safety**

Theme: Ind	ividualised supports and care
1.1	The rights and diversity of each person/child are respected and promoted.
1.2	The privacy and dignity of each person/child are respected.
1.3	Each person exercises choice and control in their daily life in accordance with their preferences.
1.3 (Child Services)	Each child exercises choice and experiences care and support in everyday life.
1.4	Each person develops and maintains personal relationships and links with the community in accordance with their wishes.
1.4 (Child Services)	Each child develops and maintains relationships and links with family and the community.
1.5	Each person has access to information, provided in a format appropriate to their communication needs.
1.5 (Child Services)	Each child has access to information, provided in an accessible format that takes account of their communication needs.
1.6	Each person makes decisions and, has access to an advocate and consent is obtained in accordance with legislation and current best practice guidelines.
1.6 (Child Services)	Each child participates in decision making, has access to an advocate, and consent is obtained in accordance with legislation and current best practice guidelines.
1.7	Each person's/child's complaints and concerns are listened to and acted upon in a timely, supportive and effective manner.

Theme: Effe	Theme: Effective Services	
2.1	Each person has a personal plan which details their needs and outlines the supports required to maximise their personal development and quality of life, in accordance with their wishes.	
2.1 (Child Services)	Each child has a personal plan which details their needs and outlines the supports required to maximise their personal development and quality of life.	
2.2	The residential service is homely and accessible and promotes the privacy, dignity and welfare of each person/child.	

Theme: Sat	Theme: Safe Services	
3.1	Each person/child is protected from abuse and neglect and their safety and welfare is promoted.	
3.2	Each person/child experiences care that supports positive behaviour and emotional wellbeing.	
3.3	People living in the residential service are not subjected to a restrictive procedure unless there is evidence that it has been assessed as being	

	required due to a serious risk to their safety and welfare.
3.3 (Child Services)	Children are not subjected to a restrictive procedure unless there is evidence that it has been assessed as being required due to a serious risk to their safety and welfare.

Theme: Health and Wellbeing	
4.3	The health and development of each person/child is promoted.